



Funding Request Instructions

Tailored for Focused Portfolios
and Tailored for Transition

Allocation Period 2023-2025

These instructions guide applicants on how to complete the Tailored for Focused Portfolios¹ and Tailored for Transition funding request form. These instructions should be read by all groups engaged in the development of a Tailored for Focused Portfolios or Tailored for Transition funding request for the 2023-2025 allocation period.

Contents

Introduction	2
Important Changes within the Tailored for Focused Portfolios Form for the 2023-2025 Allocation Period	3
Submitting a Tailored for Focused Portfolios Funding Request	5
Completing the Funding Request Form	7
Section 1. Funding Request and Rationale	9
Section 2. Maximizing Impact	13
Section 3. Implementation	21
Annex 1. Transition from Global Fund Funding	23
Annex 2: Submission Documents Checklist	25

¹ Country components receiving transition funding or using the transition workplan as the basis for their funding request shall complete the Tailored for Focused Portfolios funding request, along with Annex 1 that is designed to highlight transition information to facilitate the TRP review.

Introduction

Use of the Tailored for Focused Portfolios funding request

Applicants will receive an Allocation Letter indicating an allocation amount and whether a Tailored for Focused Portfolios application approach should be completed.

The Tailored for Focused Portfolios application is the standard approach for countries categorized as Focused portfolios.² This is also the standard approach for country components receiving transition funding or using a transition workplan as the basis for the funding request. In such instances, applicants will complete the Tailored for Focused Portfolios funding request, along with Annex 1.

Development of the funding request

Applicants will receive a blank application form and attachments from their Global Fund Country Team after the Allocation Letter has been shared. Annex 2 includes a checklist of the various attachments to be included with the funding request.

The Global Fund requires that the funding request be developed in an inclusive way. Applicants are encouraged to refer to the [Preparing for Country Dialogue in the 2023-2025 Funding Cycle](#) eLearning³ for expectations and recommendations related to inclusive funding request development.

As described in the [Information Notes](#),⁴ proposed interventions should be responsive to community needs, supported by up-to-date disaggregated data, aligned with technical guidance, and address equity and human rights- and gender-related barriers in access to services. Responses to the funding request should be guided by relevant National Strategy Plan(s) (NSPs), health sector strategies, health financing strategies, program reviews, assessments, national technical guidelines, and other national documents, and should be aligned with the [2023-2028 Global Fund Strategy](#).⁵

Integrated and joint funding requests

The Global Fund uses the term “component” to refer to the collective HIV, TB, malaria, or RSSH investments in a funding request or a grant. For example, a funding request might include HIV, TB and RSSH components, which means it has modules for each of those three areas of investment.

The term “joint funding request” refers to a funding request that includes at least two components. The term “integrated funding request” refers to funding requests which include all eligible disease components and RSSH. For Focused Portfolios, joint funding requests with a single Principal Recipient are particularly relevant, given the size of the allocations. To ensure streamlining of programs and increasing impact of investments in Focused Portfolios and to enhance coordination in the fight against HIV, TB and malaria, the Global Fund may request that applicants submit a joint or integrated funding request.

All relevant stakeholders should engage in the development of the joint or integrated funding request at all stages of the process (including country dialogue). Integrated programming should aim at better targeting of resources and harmonization of efforts to increase effectiveness and efficiency, quality and sustainability of programs.

² The differentiation principles and a current portfolio categorization are available in the [Operational Policy Manual](https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf) - https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf

³ Preparing for Country Dialogue in the 2023-2025 Funding Cycle eLearning (Registration on [iLearn](#) required : <https://www.theglobalfund.org/en/ilearn/>) -

<https://theglobalfund.csod.com/DeepLink/ProcessRedirect.aspx?module=IoRegisterAndLaunch&Io=e92ab83a-37b8-405d-9b4c-64684a7e4013> https://www.theglobalfund.org/media/4755/fundingmodel_applicanthandbook_guide_en.pdf

⁴ Information Notes - <https://www.theglobalfund.org/en/applying-for-funding/design-and-submit-funding-requests/applicant-guidance-materials/>

⁵ 2023-2028 Global Fund Strategy - https://www.theglobalfund.org/media/11612/strategy_globalfund2023-2028_narrative_en.pdf

Flexibilities for countries classified as Challenging Operating Environments (COEs)

All countries have been impacted by the COVID-19 pandemic and many countries continue to face emergencies and multiple challenges which impact their health and community systems. However, some countries may face additional operational challenges and fragilities⁶ which should be taken into consideration.⁷ Countries which have been classified as COEs may be granted enhanced flexibilities in the funding request process.⁸

Review of the funding request

Once submitted, documents assessed by the Global Fund Secretariat (as indicated in Annex 2) will be used for an initial screening to ensure submission requirements are met and to establish baselines for future allocation periods. Funding requests will then be reviewed by the Technical Review Panel (TRP) using their published [review criteria](#)⁹ which assesses technical soundness, alignment with the [2023-2028 Global Fund Strategy](#), potential for impact, and the extent to which the funding request is poised for sustainability. The TRP will also consider any progress made towards Issues identified in previous TRP Review and Recommendation Forms, especially those from the 2020-2022 allocation period.

Applicants are encouraged to review the [TRP Observations Report 2020-2022](#)¹⁰ and consider lessons learned which can be applied to national programs and assist in successful development of funding requests. Applicants should also review previous TRP Review and Recommendation Forms to demonstrate that progress has been made on Issues previously identified.

Learning more

The [Applicant Handbook](#)¹¹ provides information on the Global Fund Funding Cycle going beyond the scope of these Instructions. It also includes recommended learning materials about developing funding requests.

For questions, contact accesstofunding@theglobalfund.org.

Important Changes within the Tailored for Focused Portfolios Form for the 2023-2025 Allocation Period

Applicants who have used the Tailored for Focused Portfolios application approach in the past may find the 2023-2025 allocation period form familiar. This section describes what has changed, to align expectations to the new [2023-2028 Global Fund Strategy](#). Applicants are encouraged to refer to the [Applicant Handbook](#) and other applicant guidance materials for more information on these important areas.

Engagement and leadership of most affect communities

The Global Fund recognizes that the robust engagement of communities leads to investments that are based on evidence and on human rights, are responsive to age and gender, are equitable and are sustainable. To encourage communities being at the center of programs, expectations of community engagement and leadership during the development of funding requests have been refined and are reflected in two new annexes which should be submitted with the funding requests:

⁶ The term “fragilities” is used in alignment with other development partners, such as the [WHO](#), [GAVI](#), the [World Bank](#) and the [OECD](#).

⁷ The Challenging Operating Environments Policy Note is currently undergoing revision. As of March 2022, the list of COE countries eligible for the 2023-2025 allocation period include Afghanistan, Burkina Faso, Burundi, Central African Republic, Chad, Democratic Republic of the Congo, Eritrea, Guinea, Guinea-Bissau, Haiti, Iraq, Democratic People's Republic of Korea, Lebanon, Liberia, Mali, Myanmar, Nicaragua, Niger, Nigeria, Pakistan, Palestine, Sierra Leone, Somalia, South Sudan, Sudan, Syria, Ukraine, Venezuela and Yemen.

⁸ As indicated in the [Challenging Operating Environment Operational Policy Note](#) - https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf

⁹ Terms of Reference of the Technical Review Panel – Review criteria - https://www.theglobalfund.org/media/3048/trp_technicalreviewpanel_tor_en.pdf#page=15

¹⁰ TRP Observations Report 2020-2022 - https://www.theglobalfund.org/media/12137/trp_2020-2022observations_report_en.pdf

¹¹ Applicant Handbook - https://www.theglobalfund.org/media/4755/fundingmodel_applicanthandbook_guide_en.pdf

- The Funding Request Priorities from Civil Society and Communities annex will list the needs and requests identified during funding request development, and whether these were prioritized for inclusion under Allocation Funding or the PAAR.
- The Country Dialogue Narrative annex will include descriptions of the structure of and participation in the country dialogue used to inform the development of the funding requests.

Applicants are encouraged to refer to the [Preparing for Country Dialogue eLearning](#) for expectations and recommendations related to inclusive funding request development.

Investing in resilient and sustainable systems for health

Applicants should consider how investments in resilient and sustainable systems for health (RSSH) go beyond individual disease programs and focus on aligning and strengthening broader health and community systems.

Applicants are encouraged to refer to the [RSSH Information Note](#)¹² which includes 'critical approaches' for laboratory, human resources for health and health product management systems.

The [Applicant Handbook](#) includes recommendations on how timelines for analyses and decisions can be structured to optimize the efficiency and flow of country dialogue.

Pandemic preparedness

The impact that the COVID-19 pandemic has had on health systems and disease programs around the world highlights the importance of preparing for future pandemics while we are responding to HIV, TB and malaria. In the 2023-2025 allocation period, applicants are asked to demonstrate this consideration and show preparatory steps.

In many cases, it is expected that investments in pandemic preparedness will be reflected in the RSSH modules and interventions prioritized for allocation funding or in the Prioritized Above Allocation Request. These modules include laboratory, human resources for health, surveillance, and medical oxygen and respiratory care. Where appropriate, specific interventions and activities from these modules should align with and deliberately strengthen International Health Regulation capacities as reflected in joint external assessments and national action plans for health security, or through support to implementation of other related pandemic preparedness plans e.g., influenza, at national and local levels.

In all cases, applicants are encouraged to refer to the [RSSH Information Note](#) for more details on how to prepare against future pandemics.

Completing the Essential Data Tables

The Essential Data Tables now include additional fields and tabs which applicants are asked to complete.

Part of the tables are still pre-filled by the Global Fund Secretariat using publicly available datasets and information submitted to the Global Fund from the current grants. Applicants are still asked to review the data and update or correct it if it is different from the data being used for analyses incorporated in the funding request. Applicants are also asked to complete any data tables which were not pre-filled by the Secretariat.

Applicants are encouraged to review and complete the Essential Data Table(s) before filling out the funding request template, providing the data with the funding request where relevant and indicating the source of the data. Once the Essential Data Tables are reviewed and complete, applicants are encouraged to refer to this information throughout the funding request template, ensuring the data is aligned with data included in the funding request form.

To ensure that programs are on track to fulfilling **Program Essentials**, applicants are asked to indicate their progress towards meeting these key evidence-based interventions and approaches in the Essential

¹² RSSH Information Note - https://www.theglobalfund.org/media/4759/core_resilientsustainablehealth_infonote_en.pdf

Data Tables for TB and HIV. As a part of their review, the TRP will consider an applicant's level of advancement toward fulfilling Program Essentials.

Demonstrating value for money

The Global Fund expects that all funding requests are good value for money, as demonstrated through the five dimensions of economy, effectiveness, efficiency, equity, and sustainability, following the [Value for Money Technical Brief](#).¹³

Instead of a stand-alone question on value for money, applicants are encouraged to demonstrate these five dimensions in their responses to answers throughout the form.

Scaling comprehensive programs to remove human rights- and gender-related barriers

In the [2023-2028 Global Fund Strategy](#), the Global Fund committed to scaling-up comprehensive programs and approaches to remove human rights and gender-related barriers across the portfolio. In the 2023-2025 allocation period, applicants are asked to provide an analysis of barriers and efforts to remove them, and to refer to this analysis when including scaled up, comprehensive programs in their request. The TRP will assess whether programs to reduce human rights and gender-related barriers are indeed comprehensive and at scale to generate impact.

Strengthening domestic resources for health systems and the fight against the three diseases

Domestic resources are critical to achieving and sustaining programmatic outcomes (including for RSSH) and a major driver of efforts to enhance value for money. Global Fund grants are catalytic and must complement country owned prioritization of national HIV, TB, and malaria responses and RSSH. To ensure that domestic financing is prioritized in the funding requests, applicants are required to provide information on co-financing commitments, an analysis of efforts to strengthen health financing, and a detailed overview of their domestic investments, including evidence and sources to substantiate this information.

Protection from sexual exploitation, abuse and harassment

Protection from sexual exploitation, abuse and harassment (SEAH) requires the commitment and support of the Global Fund partnership, including implementing countries and partners.

For the 2023-2025 allocation period, all applicants are recommended to identify SEAH-related risks and corresponding mitigation measures during program design. The use of the SEAH risk assessment tool is optional.

Submitting a Tailored for Focused Portfolios Funding Request

Page recommendations

Applicants are encouraged to develop responses to questions that are concise, complete and avoid repetition. Applicants are also invited to make use of visual representations, such as graphs or tables, to portray key information, trends, or approaches.

These Instructions include a recommendation on the number of pages of text necessary to develop concise and complete responses. While the exact number of pages of text will vary based on country context, the Technical Review Panel requests that applicants carefully observe the length of their response.

¹³ Value for Money Technical Brief - https://www.theglobalfund.org/media/8596/core_valueformoney_technicalbrief_en.pdf

Supporting documentation

Applicants should only reference documents which either substantiate the funding request or are necessary to fulfil the list of essential data points for the requested components as indicated in the respective Information Notes.

All documents referenced in the funding request form should be included in the List of Annexes and Abbreviations and included as a part of the application package. Only documents directly referenced in the funding request form should be submitted.

Translation of documents

The Global Fund accepts application documents in English, French or Spanish. The working language of the Secretariat and the TRP is English.

The Global Fund will translate only the funding request narrative and core application documents submitted in French or Spanish. Supplementary attachments can be submitted in the documents' original language but translation by the Global Fund will be limited to specific sections, within reason.

As the Secretariat cannot ensure translations of all supplementary documents, applicants are encouraged to translate and submit the most critical attachments in English whenever possible. Contact your Fund Portfolio Manager if you have any questions related to translations.

Complete application

Details on which documents should be submitted with the funding request is included in Annex 2. The TRP will only review complete application packages.

Timing of submission

Applicants are encouraged to submit a funding request for a given component well in advance of the end of implementation period of the current grant(s) for that component, ideally nine months before the current grant ends. For the next allocation period (2023-2025), all grants will need to be Board-approved by the end of 2025. See the [Applicant Handbook](#) for more detail on timelines for submission.

Submitting the application

The complete application package is to be uploaded to a Global Fund webpage specific to each Funding Request. The link to this site will be shared with the CCM Focal Point by the Country Team. The entire application package should be submitted on or before the deadline listed for a given [submission window](#).

Transparency and Privacy

Funding requests may be published by the Global Fund in accordance with the [Global Fund Document Policy](#).¹⁴ Published documents may include the funding request form, annexes (including the Funding Landscape Table), and other materials referenced in the request.

Personal data obtained by the Global Fund through funding requests documents and Access to Funding processes is processed in accordance with the applicable Privacy Statements, in particular the [Supplemental statement for Global Fund Grant Funding and Management Activities](#).¹⁵

¹⁴ Global Fund Document Policy - https://www.theglobalfund.org/media/5715/core_documents_policy_en.pdf

¹⁵ Supplemental statement for Global Fund Grant Funding and Management Activities - <https://www.theglobalfund.org/en/legal/privacy-statement/>

Completing the Funding Request Form

The following resources can be used as a reference by applicants as they complete their funding request:

- Allocation letter (shared starting in December 2022)
- [2023-2028 Global Fund Strategy](#)
- [Applicant Handbook](#)
- Global Fund Information Notes on: [HIV](#),¹⁶ [TB](#),¹⁷ [Malaria](#),¹⁸ [Building Resilient and Sustainable Systems for Health](#)
- [Global Fund Modular Framework Handbook](#)¹⁹
- [Global Fund Technical Briefs](#)²⁰
- [Guidelines for Grant Budgeting](#)²¹
- [Review Criteria of the Technical Review Panel](#)
- [TRP Observations on the 2020-2022 Allocation Period](#)
- [Operational Policy Note on the Design and Review of Funding Requests](#)²²

Applicants are requested to refer to the reviewed and completed Essential Data Tables in their responses and ensure that data is consistent throughout the funding request.

Responses to all questions should be brief and focus on evidence and information necessary to [review](#) the request against the current epidemiological, gender, human rights, funding and national response contexts. Responses should be country-specific.

Responding to questions

These instructions are formatted differently than in previous allocation periods. The following guide is provided to ensure that questions in the form are completely answered.

Question 1.1A: As applicable, for each module provide information on the funding being requested from the Global Fund, limited to the areas of focus as indicated in the allocation letter or otherwise agreed with the Global Fund.

Questions are shared exactly as they are in the funding request form. To completely answer the question, address all relevant question elements from these instructions.

When responding to this question, applicants are asked to consider not only how the funding request addresses these challenges, but also how health financing reforms and/or other initiatives planned by the country help to address them.

Each question includes introductory text unique to the question. This often includes contextual information, such as analyses or references, which should be considered for all of the question elements which follow.

¹⁶ HIV Information Note - https://www.theglobalfund.org/media/4765/core_hiv_infonote_en.pdf

¹⁷ TB Information Note - https://www.theglobalfund.org/media/4762/core_tuberculosis_infonote_en.pdf

¹⁸ Malaria Information Note - https://www.theglobalfund.org/media/4768/core_malaria_infonote_en.pdf

¹⁹ Global Fund Modular Framework Handbook - https://www.theglobalfund.org/media/4309/fundingmodel_modularframework_handbook_en.pdf

²⁰ Technical Briefs - <https://www.theglobalfund.org/en/applying-for-funding/design-and-submit-funding-requests/applicant-guidance-materials/>

²¹ Guidelines for Grant Budgeting - https://www.theglobalfund.org/media/3261/core_budgetingglobalfundgrants_guideline_en.pdf

²² Operational Policy Note on the Design and Review of Funding Requests - https://www.theglobalfund.org/media/3266/core_operationalpolicy_manual_en.pdf

To respond to this question, summarize:

Element	Details
How the selected interventions meet the focus of application requirements outlined in the Allocation Letter	Refer to the Sustainability, Transition and Co-Financing Guidance Note for descriptions of the focus of application requirements.

Respond to each relevant question element so the funding request can be considered as complete.

The “Details” column includes additional information for the respective question element. In some cases, these may be references to help the applicant respond to the question element. In other cases, these may be reminders to ensure responses and figures are aligned across the various application materials. Additionally:

- **Provide, indicate, list, or describe** indicates that addressing these details are necessary for a complete response.
- **Consider** indicates that applicants should evaluate the details to see whether they would apply in the context of the program or country. Applicants are not expected to respond in the form to each area they are asked to consider.

Some questions only need to be responded to in funding requests which meet certain conditions. For example, “**For funding requests with malaria modules**” indicates that that question element needs only be answered by applicants which are requesting funding for malaria modules. Funding requests without a malaria module would not need to respond to this question element.

Applicants may choose to use tables to present their responses if they find it allows for concise responses.

Summary Information

This information is used for data purposes:

Section	Requested Information
Country(s)	Country of funding request (or list of countries, if multi-country request). If the applicant is not the CCM, specify the name of the applicant, after confirming with the relevant Country Team.
Component(s)	Component(s) of the funding request (every component can include modules for Resilient and Sustainable Systems for Health).
Planned grant(s) start date(s)	Projected start date(s) for the grant(s).
Planned grant(s) end date(s)	Projected end date(s) for the grant(s).
Principal Recipient(s)	The entity or entities nominated by the applicant to implement the program(s).
Currency	Relevant currency as per the Allocation Letter; indicate Euro or US dollar.
Areas of focus to be covered by this funding request	List here area(s) of focus identified for your program as communicated in the Allocation Letter or otherwise agreed with the Global Fund.
Allocation funding request amount	Amount requested from the Allocation.

	The amount entered should be consistent across all application documents and in line with the program split submitted by the applicant and confirmed by the Global Fund.
Prioritized above allocation request (PAAR) amount	Amount requested in the PAAR. The amount should be consistent across all application documents.
Matching funds request amount (if applicable)	Amount requested in Matching Funds (if eligible), as outlined in the Allocation Letter. The amount entered should be consistent across all application documents.

Section 1. Funding Request and Rationale

In this section, applicants are asked to summarize by module the interventions for which they are requesting funding, why these interventions were chosen, program improvements since the last funding request submission, and how the programs will meet certain requirements.

Where possible, applicants should refer to existing documents, such as national strategy documents, assessments, or program reviews.

Prioritized request

Question 1.1A: As applicable, for each module provide information on the funding being requested from the Global Fund, limited to the areas of focus as indicated in the allocation letter or otherwise agreed with the Global Fund.

Recommended Page Length: 1 Page per Module

Investments prioritized for funding should be aligned with the defined main areas of focus and should be:

- Evidence-based, in line with normative guidance, the epidemiological context and lessons learned from the current implementation period, and aim to maximize impact against HIV, TB and malaria.
- Appropriately focused on building RSSH, in line with the RSSH Gap and Priorities Annex.
- Focused on evidence-based programs for key and/or vulnerable populations.
- Integrating program design that address human rights- and gender-related barriers.
- Using approaches which advance gender equality.
- Reaching those most marginalized through equity-informed approaches.
- Addressing critical gaps to strengthen the sustainability of the national disease response, including Global Fund-financed interventions.
- Addressing value for money.
- Compliant with the focus of application requirements.²³

Applicants are asked to populate the table as follows:

Column	Input Details
Module #<Number> and <Name>	Number each prioritized module. Indicate the name of the module that is requested to be funded, as described in the Performance Framework. Each module should have a separate table.
Intervention(s)	Indicate the specific interventions within the module that are requested to be funded by the Global Fund, as described in the Performance Framework.
Population, geographies and/or barriers addressed	List the population and/or barriers (if applicable) that are relevant to these interventions.

²³ [Sustainability, Transition and Co-Financing Policy](#)

	Populations should include priority populations that are relevant to the respective interventions, including any relevant key and/ vulnerable populations, and also general populations if relevant to the interventions. Geographies should include geographies or locations that are related to the interventions which may have a higher disease burden, intensity of transmission, risk of transmission, or hard-to-reach populations. Seasonality should be specified, if applicable. Barriers should include the relevant gender and human rights barriers and other inequities to access to health services that are addressed by the interventions. Indicate each population-, geography-, or population-barrier combination with a separate bullet. Indicate intersections of populations with a separate bullet, where appropriate (for example, male sex workers who inject drugs).
Amount requested	Input the cost of the module in the currency of the funding request for the period of the allocation (typically 3 years). Make sure that the amounts correspond to the Budget template and that the total amount equals to the total allocation amount provided on the cover page of this funding request.
Expected outcome	List the effects of the interventions on populations and/or key barriers and/or health and community systems.

If this funding request is partially using a Payment for Results approach, please use the Payment for Results table to complement the main prioritization table. If this funding request is only using a Payment for Results modality, please delete the table above and respond with “Only Payment for Results modalities are being requested.”

Question 1.1B: If you are using a Payment for Results modality to receive funding from the Global Fund, provide information on the performance indicators / milestones, targets and amounts that are proposed. Specify how the accuracy and reliability of the reported results will be ensured.

Recommended Page Length: 1 Page

Payment for Results is a modality in which Global Fund makes payments based on the verification of results being achieved. This approach allows for the flexible use of grant funds within pre-agreed parameters, and is not based on monitoring and managing inputs.

When responding to this question, applicants should only consider Payment for Results at the level of the program. Any proposed use of incentive payments (at the facility/provider level or individual basis) should be discussed in Section 3: Implementation Arrangements.

If a country is interested in using a Payment for Results modality, they are encouraged to discuss with their Global Fund Country Team.

Applicants are asked to populate the table as follows and specify how the accuracy and reliability of the reported results will be ensured:

Column	Input Details
Performance indicator or milestone	List the proposed indicator(s) that will be directly linked to the definition of the payments. To the extent possible, the indicators should be selected from the core list of indicators in the Global Fund Modular Framework .
Target	Define the proposed target, by year and with the value for the baseline. These values should match those provided in the Performance Framework.

Rationale for selection of the indicator/milestone	Describe the analysis/reasons that led to prioritizing each indicator or milestone. Applicants are strongly encouraged to reference key documents (for example, the Programmatic Gap Table or NSP) to strengthen their rationale.
Amount requested	Input the disbursement amount associated with the indicator, in the currency of the funding request for the period of the allocation (typically 3 years). Make sure that the amounts correspond to the amounts classified as Payment for Results in the Budget template, and to the amounts indicated in the Performance Framework.
Expected outcome	List the effects of the interventions on populations and/or key barriers and/or health and community systems and/or geographies.

Element

Details

- Specify how the accuracy and reliability of the reported results will be ensured.

If no Payment for Results modalities are used, delete the table and respond with “No Payment for Results modalities are used.”

Question 1.2: Rationale: Provide a short summary of the relevant epidemiological context and trends, health systems, and community needs that justifies the above request.

Recommended Page Length: 4 Pages (+ Up to 4 additional pages per component if additional information requested)

Responses to this question should be addressed at the level of the module and intervention.

Applicants should refer to key documents, such as the Programmatic Gap Table(s), NSPs, or RSSH Gaps and Priorities Annex, to strengthen their rationale.

Reference any other documentation (such as analyses, prioritization exercises, etc.) which inform responses to the following questions, include this documentation in the List of Annexes and Abbreviations, and include the documentation in the application package.

Summarize:

Element

Details

- The impact of COVID-19 and any other emergencies on the respective health systems.
- **If emergency responses diverted resources from HIV, TB, and malaria programming:** please describe the extent to which this happened.
- Consider any environmental or climate change related events that impacted health systems.
- Changes to the health financing landscape.
- Indicate the main changes in funding either from domestic sources and/or external financing. If this information is provided in the Sustainability, Domestic Financing, and Resource Mobilization section (2.2), please list the main changes and reference the Sustainability, Domestic Financing, and Resource Mobilization section.
- Changes to or additional information on the equitability of access to HIV, TB and malaria services.
- Consider changes in the social, political, environmental, human rights, or legal contexts that impact access to services. (For example: increasing reports of violence or other human rights violations against key populations, proposed legal reforms and/or policy reforms).

- Consider changes that disproportionately impact key and vulnerable populations or people of different genders.
- Consider changes that impact specific age groups, such as children or adolescent girls and young women.
- Consider expansions of access through public, private (for profit and non-profit) or community health platforms, including through online service delivery and training.
- **For funding requests with HIV modules:** Recent data on the 95-95-95 targets.
- **For funding requests with TB modules:** Cascade analysis, with the estimated incidence rate, the cases notified rate, the cases treated rate, and the rate of cured/completed cases.
- **For TB/HIV funding requests:** Data on TB/HIV collaborative activities.
- List in both absolute numbers and percentages, disaggregated by key and vulnerable populations, by gender, and by age.
- Provide information on different forms of TB, including drug-sensitive TB and drug-resistant TB, focusing on key and vulnerable populations.
- Indicate any changes to the context since the most recent Community, Rights and Gender assessment or TB stigma assessment.
- Consider TB/HIV policy and service delivery coordination and integration activities (e.g., TPT, testing, ART).

Question 1.3: Focus of Application Requirement: Describe how the funding request complies with the focus of application requirements specified in the Allocation Letter.

Recommended Page Length: 0.5 Pages

The purpose of this question is to demonstrate how the proposed investments from the Global Fund are strategically focused on the most relevant and impactful interventions according to the country context. These focus of application requirements are different depending on the income level of the country. The specific requirements for an applicant are found in the Allocation Letter.

To respond to this question, summarize:

Element	Details
○ How the selected interventions meet the focus of application requirements outlined in the Allocation Letter.	○ Refer to the Sustainability, Transition and Co-Financing Guidance Note ²⁵ for descriptions of the focus of application requirements.

Matching Funds

Question 1.4.A: If Matching Funds were designated for the 2023-2025 allocation period, describe how integrating the Matching Funds will increase the impact and improve the outcome of the allocation for the Matching Funds area.

Recommended Page Length: 0.5 Pages per Matching Funds area

The Global Fund provides a catalytic funding stream, called Matching Funds, to incentivize a sub-set of countries to align their allocations towards strategic priorities that are critical to driving impact, achieving global goals and aligning with the 2023-2028 Global Fund Strategy.

Only eligible applicants who were informed in their Allocation Letter that they have been designated any Matching Funds for the 2023-2025 allocation period are required to answer. If no Matching Funds were designated for the 2023-2025 allocation period, respond with “No Matching Funds were designated.”

²⁵ Sustainability, Transition and Co-Financing Guidance Note - https://www.theglobalfund.org/media/5648/core_sustainabilityandtransition_guidancenote_en.pdf

For more information on Matching Funds, see the Matching Funds Guidance Note (forthcoming).

For each Matching Fund Priority Area related to a component presented in this funding request, summarize:

Element	Details
○ How Matching Funds will increase the impact and improve the outcome of the allocation for the Matching Funds area.	○ Indicate the proposed focus of these funds.
○ If and how the additional funding enables further funding from other sources or allows the scale-up of innovative interventions already in place.	
○ If Matching Funds were received in the previous period: describe the impact of these investments.	

Question 1.4.B: If Matching Funds were designated for the 2023-2025 allocation period, describe how programmatic and access conditions have been met.

Recommended Page Length: 0.5 Pages per Matching Funds area

For each priority area outlined in the Allocation Letter, please summarize:

Element	Details
○ If applicable, how programmatic conditions have been met.	
○ If applicable, how access conditions have been met.	

Section 2. Maximizing Impact

The purpose of this section is to support country programs that are designed to meet both national and global goals and objectives. Questions are aligned with the [2023-2028 Global Fund Strategy](#) as expressed through the [Review Criteria](#) of the Technical Review Panel. Applicants are encouraged to refer to the Review Criteria when developing their programs to ensure that their funding requests to the Global Fund are strategically aligned.

Applicants are requested to describe the overall program, highlighting the interventions proposed for Global Fund financing, as described in Section 1. While filling in this section, applicants should refer to the numbered modules and interventions from Question 1.1, where applicable.

Question 2.1. To meet national and global goals and objectives: Describe how the prioritized funding request contributes to the following areas: (1) ending AIDS, TB and malaria; and (2) strengthening the integration of health and/or community systems; and/or (3) advancing health equity, gender equality, and human rights; and/or (4) pandemic preparedness. Limit this response to the focus areas indicated in the Allocation Letter or otherwise agreed upon with the Global Fund.

Recommended Page Length: 2 Pages

Applicants using the Tailored for Focused Portfolios application approach are asked to provide a summary of how their request aligns with the [2023-2028 Global Fund Strategy](#). While all Focused Portfolio requests are expected to be poised for sustainability, it is not expected that Focused Portfolios are able to advance all areas of the Strategy within the limited scope of the investment.

Before responding to the question, applicants should consider how the **programs** the Global Fund will be supporting are aligned with the Strategy. Applicants are not prompted to consider alignment related to sustainability, which should be discussed in Question 2.2.A.

Points to Consider

Details

- | | |
|--|---|
| <ul style="list-style-type: none"> ○ How the programs contribute to ending AIDS, TB and malaria. ○ How the programs strengthen the integration of health and/or community systems. | <ul style="list-style-type: none"> ○ Consider gender inequalities and barriers in the country's context, the reason they exist, and their impact on health outcomes. If a recent gender assessment related to each component in the funding request is available, indicate how you have incorporated these learnings into the program design. ○ Consider how gender intersects with other barriers, such as age, place of residence, race/ethnicity, occupation, gender/sex, religion, education, socioeconomic status and social capital. ○ Consider human rights-related barriers, including stigma, discrimination, and violence against key and vulnerable populations. If you have a recent Human Rights assessment indicate how these learnings have been incorporated into the program design. ○ Consider existing legal and policy settings and contexts. ○ Consider age-specific barriers, including lack of independent access to HIV and/or sexual productive services. ○ Consult the Technical Briefs for examples of human rights and gender barriers. |
| <ul style="list-style-type: none"> ○ How the program contributes to pandemic preparedness. | <ul style="list-style-type: none"> ○ Consider how the proposed program will strengthen capacities that are most critical to prevent, detect and respond to infectious disease outbreaks. ○ Consider how proposed pandemic preparedness investments will complement investments in strengthening health and community systems. |

After considering the strategic alignment of the program, applicants are asked to describe the top 2-3 ways that the funding request demonstrates that alignment. Applicants should limit their responses to the parts

of national **programs** which are within the focus area indicated in the Allocation Letter- or otherwise agreed between the applicant and the Global Fund.

As an example, if the focus area for the Funding Request is on prevention for key and vulnerable populations, then the question related to environmental impact should only look at the part of the program that works on prevention for key and vulnerable populations, even if the Global Fund only finances a portion of that program. In this case, environmental impact for interventions for general populations would not need to be considered.

Please describe:

Element	Details
The primary ways that the proposed program is aligned with the 2023-2028 Global Fund Strategy.	- Indicate how the program contributes to ending AIDS, TB and Malaria. - Indicate the top ways that the program demonstrates alignment: strengthening the integration of health and/or community systems; and/or advancing health equity, gender equality, and human rights; and/or pandemic preparedness. Only 2-3 ways across the different areas are expected. Applicants do not need to provide responses for each area. - Do not include answers related to sustainability when answering this question. Sustainability aspects are covered in next question.
The steps that will be taken to address the potential environmental impact of the programs.	- Indicate any existing or planned climate vulnerability and adaptation (V&A) assessments. - Describe mitigation efforts, regardless of funding source, and describe how the investments will complement existing or planned efforts. - Indicate investments in waste management systems. If funding is not requested for waste management, describe how it is already addressed. - Indicate how investments will adopt, contribute to and/or incentivize reverse logistics and green procurement, recycling, and 'take-back' schemes for end-of-life products. - Refer to the Technical Brief on Sustainable Healthcare Waste Management.²⁶

Sustainability, Domestic Financing, and Resource Mobilization

Sustainability

As part of the implementation of the Global Fund's [Sustainability, Transition, and Co-Financing Policy](#)²⁷ and the [2023-2028 Global Fund Strategy](#), the Global Fund strongly encourages countries to identify and address key sustainability challenges and enhance domestic financing of health and the national responses. This is essential to achieving programmatic impact and sustaining the gains achieved with the support of Global Fund support.

This section of the funding request focuses specifically on defining the key sustainability challenges affecting the national responses and describing how those challenges are being addressed / mitigated. It

²⁶ Technical Brief on Sustainable Healthcare Waste Management -

https://www.theglobalfund.org/media/9356/core_healthcarewastemanagement_technicalbrief_en.pdf

²⁷ Sustainability, Transition, and Co-Financing Policy - https://www.theglobalfund.org/media/4221/bm35_04-sustainabilitytransitionandcofinancing_policy_en.pdf

also focuses on the overall trends in domestic financing of the national responses, and how the country leverages the Global Fund's co-financing approach.

To respond to the questions below, refer to the domestic financing section of Allocation Letter, the [Sustainability, Transition and Co-Financing Guidance Note](#) and [Value for Money Technical Brief](#), the completed Funding Landscape Table(s), Programmatic Gap Tables(s), national strategic plans and their costing, and other relevant country documents, including health financing strategies, sustainability plans and/or transition work-plan, if available. If information is available from specific country documents, responses can reference the specific sections of the documents provided, rather than describing them in the narrative.

Question 2.2.A. Briefly highlight major achievements and challenges to the sustainability of the national response. Describe efforts to address the challenges through this funding request, efforts to strengthen health financing, or other initiatives planned by the country.

Recommended Page Length: 0.5 Pages

When responding to this question, applicants are asked to consider not only how the funding request addresses these challenges, but also how health financing reforms and/or other initiatives planned by the country help to address them.

Include summaries of:

Element	Details
○ Key challenges related to sustainability and past and future actions to address these challenges.	○ Consider different dimensions of sustainability, including: ▪ Finances. ▪ Programmatic. ▪ Health and community systems. ▪ Governance. ○ Indicate whether the different challenges are addressed through the funding request, through Global Fund catalytic funding, or through other initiatives undertaken by the country. ○ For more information, see the Global Fund's Sustainability, Transition, and Co-Financing Policy which outlines a wide variety of sustainability challenges faced by national responses and may be helpful to guide responses to this question.
○ Challenges related to the sustainability of programs and health services currently funded primarily by external funding (including by the Global Fund), and past and future actions to address these challenges.	○ Consider challenges faced by community-led and community-based organizations, which are often highly reliant on external financing. If applicable, describe the enabling legal framework related to the public financing and contracting (often referred to as "social contracting") of service delivery provided through civil society and community-led and -based organizations, including to key and vulnerable populations. ○ Provide an analysis of any specific dependencies on Global Fund financing for key interventions, particularly those that are critical to impact in the national response given the programmatic and epidemiological context.
○ Health financing reforms and initiatives to strengthen the overall financing of health and the national response.	○ This may include: ▪ Health finance reforms, including to support the increasing resource mobilization; pooling of resources,

	- and strategic purchasing of health services and health products. ▪ Development of health financing strategies or implementation of existing strategies. ▪ Efforts to move towards and finance Universal Health Coverage, including efforts to address financial barriers to healthcare access. ▪ Analysis of financial sustainability and transition challenges. ▪ Other efforts to strengthen financial sustainability.
○ Changes in domestic or external funding.	○ Indicate existing support for health financing reforms and initiatives from other partners. ○ Explain how the funding request supports planned or ongoing health sector reforms and initiatives, if applicable.
○ Other challenges impacting funding availability and the sustainability of the national response.	○ Indicate the arrival or withdrawal of a major donor or a significant increase or decrease in domestic funding. ○ Indicate recent windfall gains (e.g., oil/mining) and/or external shocks to the economy. ○ Indicate any other challenges (not addressed above) that may impact available funding and the sustainability of the national response.

Co-financing

This section focuses specifically on how and to what extent the country has realized the co-financing commitments made during the 2020-2022 allocation period, and how the country will increase domestic financing and co-financing for the national response and health systems throughout the 2023-2025 allocation period. When assessing and answering the questions below, the country should consider the Global Fund's co-financing requirements as outlined in the [Sustainability, Transition and Co-Financing Guidance Note](#)) and refer specifically to information in the domestic financing section of the Allocation Letter.²⁸

It is essential that supporting information for the following questions be included with the Funding Request, including the sources of information related to financing of the health system, national responses, and co-financing commitments. Although not required until grant-making, applicants are also encouraged to include commitment letters if they are already available.

It is also important to ensure consistency between the information included in the Funding Request, the Funding Landscape Table, and the official Commitment Letter (if submitted at the time of the funding request).

Question 2.2.B: Describe how co-financing commitments for the 2020-2022 allocation period have been realized. Highlight additional domestic investments in the national responses and specific programmatic areas supported by domestic co-financing. If co-financing commitments have not been fully met, provide a justification as to why.

Recommended Page Length: 0.5 Pages

²⁸ PEPFAR HIV Resource Alignment reports should also be consulted while developing this section for the following countries: Angola, Benin, Botswana, Burkina Faso, Burma, Burundi, Cambodia, Cameroon, Cote d'Ivoire, Dominican Republic, DRC, El Salvador, Eswatini, Ethiopia, Ghana, Guatemala, Guyana, Haiti, Honduras, India, Indonesia, Jamaica, Kazakhstan, Kenya, Kyrgyz Republic, Laos, Lesotho, Liberia, Malawi, Mali, Mozambique, Namibia, Nepal, Nicaragua, Nigeria, Panama, Papua New Guinea, Philippines, Rwanda, Senegal, Sierra Leone, South Africa, South Sudan, Tajikistan, Tanzania, Thailand, Togo, Uganda, Ukraine, Vietnam, Zambia, Zimbabwe. Please contact your respective FPM to access this document.

Include summaries of:

Element	Details
Trends in government health expenditure.	- Indicate how overall government health expenditure has evolved in the 2020-2022 allocation period, compared to the 2017-2019 allocation period, both in terms of total amounts, as well as share of health in government expenditure. - Describe briefly the trends (with references to supporting evidence and sources of information), especially if there is a decline or a significant increase in government health expenditure.
Increased domestic investments that have been made in the national responses during the 2020-2022 allocation period.	- Indicate domestic investments for the national response and for RSSH (with references to supporting evidence and sources of information). - Describe if additional investments comply with requirements of the Sustainability, Transition and Co-financing policy. - Ensure consistency with information included in the Funding Landscape Table and with letters of commitment.
Specific programmatic areas supported by domestic co-financing and how the country has increased investments in these specific programmatic areas over time.	Ensure consistency with information included in the detailed gap analysis of the Funding Landscape Table.
If the applicant agreed to finance specific programmatic interventions or specific activities as part of its co-financing commitments for the 2020-2022 allocation period: specify commitments which were made and the extent to which they have been realized.	In some instances, the Global Fund and countries have agreed on highly specific activities that will be financed as part of co-financing commitments. If this is the case, describe these commitments in detail and the extent to which they have been realized.
If the country has not fully met the co-financing commitments for the 2020-2022 allocation period: explain why the country has not fully met the commitments.	Provide a rationale for not meeting the co-financing commitments, and any actions that will be taken to address these challenges in the upcoming allocation period.

Question 2.2.C: Describe how co-financing will increase over the 2023-2025 allocation period. Indicate the focus of additional domestic investments in specific programmatic areas. Describe the planned actions to address the remaining funding gaps from domestic or other resources. Describe how co-financing commitments will be tracked and reported.

Recommended Page Length: 1 Page

Include summaries of:

Element	Details
Planned government health expenditures.	- Indicate how overall government health expenditure will evolve in the 2023-2025 allocation period, compared to the 2020-2022 allocation period – both in terms of total amounts, as well as share of health in government expenditure. - Describe briefly the trends (with references to supporting evidence and sources of information), especially if there is a decline or significant increase in planned government health expenditures.
How co-financing will increase during the 2023-2025 allocation period, including the overall financial commitment for the 2023-2025 period and the additional amounts projected to be invested in the national response and RSSH.	- Provide an overview of how co-financing will increase over the 2023-2025 allocation period (with references to supporting evidence and sources of information). - Describe if additional commitments comply with requirements of the Sustainability, Transition and Co-financing policy. - If commitments are not sufficient to comply with the Sustainability, Transition and Co-financing policy: provide a justification. - Ensure consistency with information in the Funding Landscape Table. - Ensure consistency with the country's commitment letter(s), if submitted at the time of the funding request.
Programmatic areas that the co-financing will support.	- Provide an overview of the funding needs for the National Strategic Plan and the key cost drivers. - Indicate the key interventions of the National Strategic Plan that will be supported by co-financing. - Ensure alignment with the detailed gap analysis in the Funding Landscape Table. - Ensure alignment with the analysis of coverage of interventions in the Programmatic Gap Table. - Ensure consistency with the country's commitment letter(s), if submitted at the time of the funding request.
Remaining funding gaps for major program areas, if applicable.	- Describe available funding and gaps for key program areas. - Ensure consistency with those outlined in the Funding Landscape Table, including the overall gap and the Detailed Financial Gap.
Planned actions to identify domestic resources and/or efficiencies to reduce the funding gaps in the 2023-2025 allocation period.	Describe planned actions to identify additional domestic resources, and/or other funders.
How co-financing commitments will be tracked and reported.	- Describe how the co-financing commitments will be tracked and reported to the Global Fund during the 2023-2025 allocation period. - If a commitment letter is submitted at the time of the funding request, the letter should indicate how commitments will be verified and reported.

- How the funding request supports better generation and use of quality health financing data.
- If applicable, explain how the funding request supports strengthening of public finance management systems and/or institutionalization of expenditure tracking mechanisms.
- How the funding request supports domestic spending for health products used for disease prevention, diagnosis, and treatment and for investments in procurement and supply management systems.
- Describe systems to track these expenditures.

Question 2.2.D: If applicable, describe specific arrangements and modalities related to innovative financing approaches linked to this funding request and/or the national responses, with a specific focus on blended finance, joint investments, and Debt2Health.

Recommended Page Length: 0.5 Pages

To learn more about the Innovative Finance Approaches, consult the relevant section of the [Sustainability, Transition and Co-Financing Guidance Note](#).

Any documents that describe the innovative approaches should be referred to in this section, included in the List of Annexes and Abbreviations, and submitted with the request.

The Global Fund encourages investments through joint platforms to address high-priority areas at the country or sub-regional levels. Such joint investments leverage the capabilities of other institutions, as well as additional funding to maximize the impact in the fight against the diseases and achieve universal health coverage and health system sustainability.

Include summaries of:

Element	Details
○ Any efforts to use innovative financing modalities specifically described in the 2023-2028 Global Fund Strategy .	○ Consider modalities such as blended financing/joint financing and Debt2Health.
○ The innovative financing approach.	○ Include: ▪ Specific goals and objectives. ▪ Structure. ▪ Partners involved. ▪ Amounts. ▪ Timelines. ▪ Terms for utilization. ▪ Alignment with the funding request and national responses.

If no Innovative Finance Approaches are used, respond with “No Innovative Finance Approaches are used.”

Section 3. Implementation

In this section, applicants are asked to describe how the programs will be effectively implemented, centered in communities, and mitigate risks to programs and people.

Questions are aligned with the [Review Criteria](#) of the Technical Review Panel. Applicants are encouraged to refer to the criteria when developing their programs to ensure that their funding requests to the Global Fund are strategically aligned.

If a Payment for Results modality is included in the funding request, please highlight the implementation arrangements and risks for the modality (where applicable), within the response to the questions.

Implementation Arrangements

Question 3.1: Describe changes to implementation arrangements which will maximize implementation effectiveness and optimize efficiency.

Recommended Page Length: 0.5 Pages

Include summaries of:

Element	Details
○ The Principal Recipient(s) proposed by the applicant.	○ Indicate if the Principal Recipient(s) is continuing or if it is a new implementer. ○ For funding requests with RSSH components: Indicate which Principal Recipient(s) will implement any RSSH investments.
○ Any planned changes to implementation arrangements in current grants to maximize implementation effectiveness and efficiency.	○ Consider any changes to geographic scope or scale of the program, as well as approaches to efficiently distribute inputs at sub-national level. ○ Describe any changes made to address gaps in past performance.
○ How connections between public, community, private for-profit, and private non-profit sectors will be strengthened.	○ Indicate the role that private providers will play in the implementation arrangements. ○ Describe how challenges of cost, quality and data reporting will be overcome (as described in the Private Sector Engagement²⁹ and Value for Money Technical Briefs). ○ Highlight linkages between facility-based and community-based services.
○ Describe the efforts to procure goods and services at minimal sustainable cost, as well as efforts to achieve efficiency gains.	○ Consider efforts to ensure inputs are of the right type and quality while ensuring they are procured at lowest sustainable costs. ○ Consider cost-saving measures such as integration, economies of scale, and streamlining of implementation arrangements. ○ Describe efforts made to reduce program management costs.

²⁹ Private Sector Engagement Technical Brief - https://www.theglobalfund.org/media/12160/ps_private-sector-engagement-technical_brief_en.pdf

- Describe efforts to minimize fiduciary risks and improve economy through the leveraging or strengthening of procurement and financial management processes.
- Provide a timeline, if applicable.
- **If a local entity is not proposed as Principal Recipient:** Explain how international NGOs or other entities will work to transfer capacity to government or local non-governmental institutions.

This section should be complemented by the Implementation Arrangement Map as guided by the [Implementation Arrangements Mapping Guidelines](#).³⁰

Question 3.2: Describe the role that community-based and community-led organizations will have in implementing programs supported by the Global Fund.

Recommended Page Length: 0.5 Pages

Include summaries of:

Element	Details
○ The role that community-led and community-based organizations will play in the implementation arrangements.	○ Refer to the Community Systems Strengthening Technical Brief³¹ for information on community-led and community-based organizations. ○ Describe the value/opportunity cost of using/not using community-led and community-based organizations instead of other stakeholders. ○ If a community-led and based systems strategy is in place: provide a reference here and include in List of Annexes and Abbreviations.
○ Actions taken to address barriers that prevent community-led and -based organizations from inclusion in implementation.	○ Indicate any government-led activities that enable or facilitate working with community-led and -based organizations, civil society organizations, and non-governmental implementers. ○ Indicate key systems gaps or barriers to the role of community-led organizations in implementation and describe how the request addresses these gaps or barriers (such as political, legal, financing, capacity, etc). ○ Indicate any gaps or barriers related to social contracting, program monitoring, and policy dialogue. Describe any capacity building and sustainability efforts.

³⁰ Implementation Arrangements Mapping Guidelines - www.theglobalfund.org/media/5678/fundingmodel_implementationmapping_guidelines_en.pdf

³¹ Community Systems Strengthening Technical Brief - https://www.theglobalfund.org/media/4790/core_communitysystems_technicalbrief_en.pdf

Annex 1. Transition from Global Fund Funding

This annex focuses on how the applicant will maintain service coverage and sustain gains achieved in the fight against the diseases (including service provision for key and vulnerable populations), as these components move towards full domestic financing and implementing their programs without Global Fund support. This section calls for a detailed analysis of transition-related aspects of the funding request and prompts the applicant to describe transition workplan activities that will be funded by it. It is required for country components receiving transition funding or using a transition workplan as the basis for their funding request.

Recommended Page Length for the Annex: 4 Pages

Question A. If applicable, provide a status update of what has been achieved under the transition workplan in the 2020-2022 allocation period.

Question B. Explain how the funding request helps to achieve the full transition to domestic financing.

Applicants should list and describe activities from the transition workplan (or equivalent) that will be covered by the funding request. While the focus of the transition funding request will be heavily dependent upon and influenced by country and regional context, the transition funding request may include:

- Activities that enhance sustainability and support the transition of effective, evidence-informed services for key and vulnerable populations;
- Activities to enable or scale-up government funding of non-state actors, specifically civil society and community organizations (for example social contracting mechanisms);
- Activities to secure the availability of robust programmatic and financial data for program planning and monitoring (for example, building capacity for data collection and analysis, strengthening national HMIS and surveillance systems);
- Activities to strengthen effective domestic procurement of quality health products and/or strengthen procurement processes;
- Activities to enhance the financial sustainability of Global Fund supported programs (for example integrating service provision into national health insurance schemes); and
- Other activities that address transition and sustainability challenges outlined in the transition workplan.

The following questions do not need to be answered if a Payment for Results modality is being exclusively used:

Question C. Describe the specific activities in the transition workplan (or equivalent) that will be financed by this funding request, and how those activities will help support a full transition to domestic financing and management of the national response.

The applicant should list activities/challenges identified in the transition workplan that will be funded by the Global Fund and explain the source of funding for these activities going forward.

The applicant should also list any foreseeable challenges that may affect the smooth transition away from Global Fund financing and explain how it is intending to address those challenges.

Question D. If this funding request includes service provision activities or other recurrent costs, explain how these activities will be fully financed with domestic resources and/or absorbed/integrated by national authorities during the grant implementation period. If these activities or recurrent costs are no longer needed, explain why.

Applicants should explain how service provision activities (including Human Resources for Health, procurement of health products, and other recurrent costs) will be covered from domestic resources or absorbed by national authorities by the end of the transition funding period. Service provision activities and/or recurrent costs are generally not recommended for inclusion in grants transitioning from Global Fund funding. However, in instances where such activities and relevant recurrent costs are included, the applicant should explain how these activities will gradually be covered by domestic financing and fully absorbed by national authorities during grant implementation. For more information, refer to the [Sustainability, Transition and Co-Financing Guidance Note](#).

Question E. If applicable, explain how the main program functions remaining at the level of the Principal Recipient or Program Management Unit will be absorbed by national entities at the end of the implementation period and how they will be funded. Main program functions may include program coordination, procurement, monitoring and evaluation, management of contracting for non-state actors, etc.

To facilitate a smooth transition of functions, applicants should plan to transition main program functions to national government and non-government entities by the end of the implementation period. This includes program coordination, procurement, monitoring and evaluation, and others. Explain how these main program functions will be absorbed by national entities by the end of the implementation period, and how they will be funded.

Annex 2: Submission Documents Checklist

Following is a checklist for each of the key elements submitted with the funding request, with submission requirements and links to additional resources for the Tailored for Focused Portfolios application approach.

Documents Reviewed by the Technical Review Panel

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐ Tailored for Focused Portfolios Form	The application form is used to propose and justify requested funding.	Applicants are informed in their Allocation Letter whether they are requested to use the Tailored for Focused Portfolios template.	This document provides the instructions for the Tailored for Focused Portfolios Template.	Webinars and eLearnings for the Tailored for Focused Portfolios will be available on iLearn.	Applicants receive a Word version of their funding request template from their country team. PDF versions are available on the Global Fund website for reference.
☐ Performance Framework	The Performance Framework shows how performance will be tracked over the course of the program funded by the Global Fund.	All applicants are required to submit a Performance Framework with each funding request.	Instructions for the tables are embedded in the template.	An eLearning on the Performance Framework will be available on iLearn.	The Performance Framework template is specific to each applicant and is provided by the Country Team.
☐ Detailed Budget	The Budget shows the cost of the interventions prioritized for funding.	All applicants are required to submit a Budget with each funding request.	Instructions for the tables are embedded in the template.	An eLearning on the Budget will be available on iLearn.	The Budget template is specific to each applicant and is provided by the Country Team.

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐ Programmatic Gap Table	The purpose of the programmatic gap table is to identify key coverage gaps in the country by module/intervention, and to analyze how these gaps can be filled by the Global Fund and other support.	Required for all applicants requesting funding for services. If no service provision is requested, applicants do not need to submit this annex. Instead, they can use the Performance Framework and only complete the work plan tracking measure section.	Instructions for the tables are embedded in each template. The Community Health Workers Gaps table can be used for the three diseases and RSSH.	An eLearning on the Programmatic Gap Table will be available on iLearn.	Available on the Funding Request Forms and Materials page . Separate tables available for: • Malaria. • Tuberculosis. • HIV. • TB/HIV. • Community Health Workers.
☐ Funding Landscape Table	The Funding Landscape Table provides financial information related to financing the national disease and health system strategies.	Required for all applicants.	Instructions for the tables are embedded in the template. For questions, contact the Global Fund Country Team and the Health Finance Specialist supporting your country.	An eLearning on the Funding Landscape Table will be available on iLearn.	Available on the Funding Request Forms and Materials page .
☐ Prioritized Above Allocation Request (PAAR)	The Prioritized Above Allocation Request (PAAR) includes key additional, evidence-based and costed modules and interventions for investments that are not included within the allocation amount.	A PAAR of at least one item (such as scaling up an activity) is required for each funding request. This helps ensure that there are already TRP-approved interventions which can be immediately integrated into a grant when efficiencies are found during grant-making and implementation. Applicants may also submit an updated PAAR during grant implementation upon agreement of the Global Fund Secretariat, if justified by significant changes to the country context, or when there is a	Instructions are embedded in the template.		The PAAR template is specific to each applicant and is provided by the Country Team.

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
		realistic expectation of additional funds becoming available.			
☐ Implementation Arrangements Map	The map provides a visual depiction of the relationships between funds, organizations and programs that are a part of a grant or set of grants.	Required with funding request if program is continuing with the same PR. In cases where the PR is changing, the updated map may be submitted during grant-making.	Instructions are available here .		
☐ Gender Assessment, if available	This assessment is used to measure progress towards gender-equality goals in Global Fund-financed programs.	If available, applicants are requested to submit a separate assessment per component.			
☐ Assessment of Human Rights related barriers to services (if available)	This is used to assess current programming to address human rights-related barriers and to develop recommendations for scaling-up so it comprehensively addresses all barriers for all populations.	If available, applicants are requested to submit assessments for HIV, TB, and HIV/TB components included in the request. For malaria components, applicants should use qualitative assessments, such as the Malaria Matchbox.			
☐ Essential Data Tables	The Essential Data Table is an Excel file that provides key figures related to country and epidemiological context.	All applicants are asked to validate existing data and provide further information.			Available on the Funding Request Forms and Materials page .

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
	Applicants are asked to validate sections with pre-filled data and to provide further information as indicated.				
☐ National Strategic Plans	Applicants should submit relevant National Strategic Plans.	All applicants should include plans with their funding request which are health sector and disease specific, including their costing. Applicants should include any NSPs for Procurement and Supply Management, if available. Applicants should include any mid-term reviews of NSPs, if available.			Applicants interested in developing or strengthening their National Strategic Plans should contact their Country Teams.
☐ Innovative Financing Documentation		Only required for applicants who are using certain Innovative Financing mechanisms.			
☐ Supporting documentation related to sustainability and transition	Transitioning applicants should provide information related to strengthening the sustainability of the national response and/or preparations for transition from Global Fund financing. Those applicants that are not transitioning from Global Fund financing may provide this information, if available.	Transitioning applicants should provide information, as available. Applicants should include the Transition Readiness Assessment (if available), transition work-plan, sustainability assessment, sustainability plan, etc. Include any materials that substantiate government commitments to increase health financing and absorb program costs. Include any materials that evidence contributions of the private sector,			

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
		NGOs and faith-based missions to the financing of health and community systems.			
☐ List of Abbreviations and Annexes	Applicants are asked to list all documents referenced in the funding request, and spell out any abbreviations used.	Indicate whether a document is a key resource or is included to support a citation. If updates to NSPs are planned, describe when they will take place.			Applicants can use their own format for the list of abbreviations and annexes. An optional template is available on the Funding Request Forms and Materials page .

Documents Assessed by the Global Fund Secretariat

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
☐ Funding Priorities from Civil Society and Communities Annex	Applicants are asked to list the top 20 priorities identified by communities during country dialogue and funding request development.	Required for all applicants.			Available on the Funding Request Forms and Materials page .
☐ Sexual Exploitation, Abuse and Harassment (SEAH) Risk Assessment	This assessment is used to identify SEAH related risks and mitigation measures in Global Fund-financed programs.	This is an optional annex for each funding request.		Please see the Protecting Against SEAH Guidance Note ³² and the Code of Conduct for Recipients of Global Fund Resources . ³³	Available on the Funding Request Forms and Materials page .
☐ Country Dialogue Narrative	Applicants are asked to describe the documented and transparent process undertaken to engage a broad range of stakeholders in the country dialogue process leading to the	Required for all applicants.		See the Guidance on CCM Eligibility Requirements 1 and 2 . ³⁴	Available on the Funding Request Forms and Materials page .

³² Protecting Against SEAH Guidance Note - https://www.theglobalfund.org/media/12159/ethics_protection-sexual-exploitation-abuse-harassment-guidance_note_en.pdf

³³ Code of Conduct for Recipients - https://www.theglobalfund.org/media/6011/corporate_codeofconductforrecipients_policy_en.pdf

³⁴ Guidance on CCM Eligibility Requirements 1 and 2 - https://www.theglobalfund.org/media/5551/fundingrequest_ccmeligibilityrequirements1-2_guidance_en.pdf

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
	development of the funding request.				
☐ CCM Endorsement of Funding Request	As part of the application, applicants must demonstrate that each member of the Country Coordinating Mechanism endorses the final funding request.	The Global Fund requires evidence of endorsement of the final funding request by all CCM members, or their designated alternate(s), if the respective CCM member(s) is not available. CCM members (or their designated alternate(s)) unable to sign the funding request may send an endorsement email to their CCM Secretariat to be submitted to the Global Fund as an attachment. In cases where a CCM member is unwilling to endorse the funding request, that member should inform the Global Fund in writing (AccessToFunding@theglobalfund.org) stating the reason for not endorsing the funding request, so the Global Fund can understand the member's position. Endorsement from the respective alternate(s) would not be, in this case, receivable.	Instructions for the tables are embedded in the template.	See the Guidance on CCM Eligibility Requirements 1 and 2 .	Available on the Funding Request Forms and Materials page .

Element	Description	Submission Requirement	Instructions	Further Guidance	Availability
		Applicants other than CCMs should consult the CCM Policy ³⁵ for details on how to comply.			
☐ CCM Statement of Compliance	Applicants must confirm that they are in compliance with the six Country Coordinating Mechanism Eligibility requirements and the Focus of Application requirement.	All applicants are required to provide a CCM Statement of Compliance. Applicants other than CCMs should consult the CCM Policy for details on how to comply. Continued compliance with the Eligibility Requirements throughout program implementation is a condition to access Global Fund financing.	For additional questions, contact your Fund Portfolio Manager.	Please see the Guidance on CCM Eligibility Requirements 1 and 2 and the Country Coordinating Mechanism Policy .	Available on the Funding Request Forms and Materials page .
☐ Additional documentation to support co-financing requirements, including Commitment Letters.	Applicants must show how they have met co-financing requirements for the current allocation period and how they will meet requirements in the next allocation period. MoH/MoF must indicate their formal commitment to meeting concrete and monitorable co-financing targets.	Include additional co-financing documentation beyond what is requested in the funding request and Funding Landscape Table. This should include the Commitment Letter, if available at the time of the funding request.		Please see the Sustainability, Transition, and Co-Financing Guidance Note and Co-Financing Operational Policy Note .	Applicants can use their own format for supporting documentation. An optional template for co-financing commitments is available on the Funding Request Forms and Materials page .

³⁵ Country Coordinating Mechanism Policy - https://www.theglobalfund.org/media/7421/ccm_countrycoordinatingmechanism_policy_en.pdf