

# **Key Performance Indicators (KPIs) Handbook for the 2023-2028 Strategy**

### December 2024



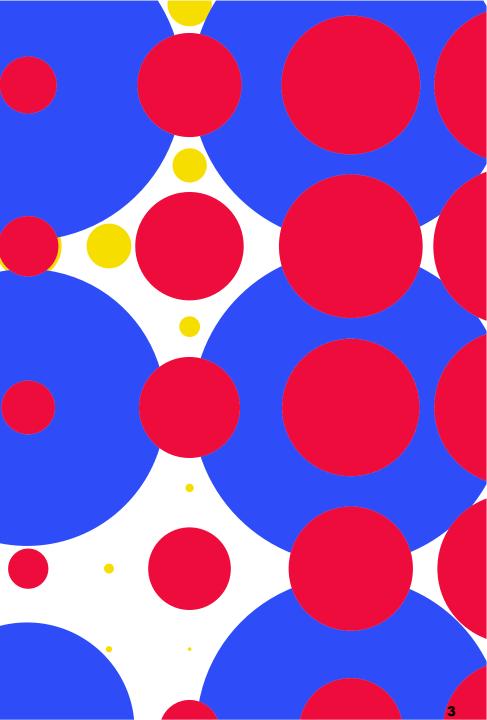
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# Approach for M&E Framework Development

Please also refer here for more information on the Global Fund's Monitoring & Evaluation Framework



### September 2021: the GF 2023-2028 Strategy is approved - How do we measure its progress?

#### Strategy Framework

OUR PRIMARY > GOAL

END AIDS, TB AND MALARIA

WORKING WITH AND TO SERVE THE HEALTH NEEDS OF PEOPLE AND COMMUNITIES







MUTUALLY REINFORCING CONTRIBUTORY OBJECTIVES

EVOLVING

OBJECTIVE

Maximizing People-centered Integrated Systems for Health to Deliver Impact, Resilience and Sustainability

Maximizing the Engagement and Leadership of Most Affected Communities to Leave No One Behind

Maximizing Health Equity, Gender Equality and Human Rights

Mobilizing Increased Resources

Contribute to Pandemic Preparedness and Response

DELIVERED THROUGH THE INCLUSIVE GLOBAL FUND PARTNERSHIP MODEL

#### Partnership Enablers

Raising and effectively investing additional resources behind strong, country-owned plans, to maximize progress towards the 2030 SDG targets

Operationalized through the Global Fund Partnership, with clear roles & accountabilities, in support of country ownership

THE GLOBAL FUND https://www.theglobalfund.org/en/strategy/

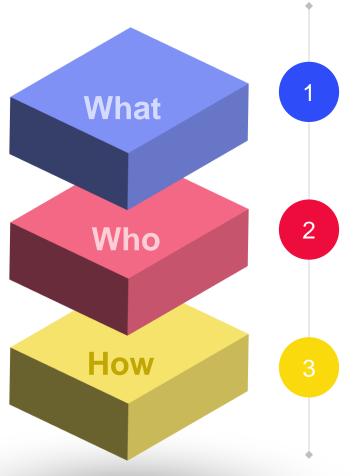
#### Need for a corresponding **M&E Framework** at the organization level

- **Comprehensive of the Strategy:** covering primary goals and all strategic objectives (including new ones on Equity and on PPR)
- **Using most appropriate** mechanisms: holistic approach to measurement, considering not only KPIs but also evaluations, business process metrics, grant indicators, etc.
- Correcting existing issues: new M&E Framework needs to address existing pain points with KPIs, business process metrics, evaluations, etc.

#### The new M&E Framework aims to be best-in-class

#### **Actionable** Comprehensive enabling accountable holistically measure performance performance management of across diverse topics with both the Partnership and appropriate tools to complement Secretariat data from partners and others. Reliable providing an accurate and timely overview of GF performance against the next Strategy

### We started with a clear logic and vision of how GF Strategic Performance should be tracked



### Clarify composition of M&E Framework to identify project scope

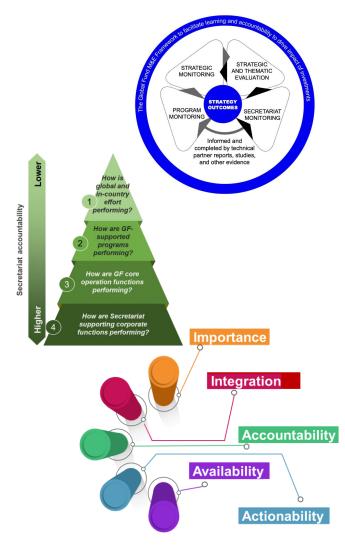
The M&E Framework is structured around 4 components: Strategic Monitoring, Strategic & Thematic Evaluations; Program Monitoring; and Secretariat Monitoring

### Ensure that GF accountability is systematically considered

Performance measurement approaches were consistently assessed against the Global Fund's <u>Conifer of Control</u> to identify expected GF accountability

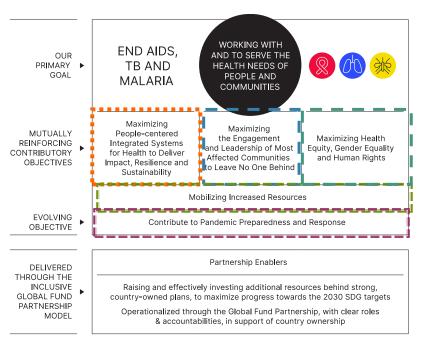
### Set early on guiding principles for selection of measurement approaches

e.g., Indicators had to meet several criteria to be considered as potential KPIs (be strategically relevant, integrate well with other performance frameworks, have significant GF accountability, be actionable by the Secretariat, use data that is available on yearly basis)



Actual development process took place over a series of workshops across topics covering all objectives of the

**2023-28 Strategy** 





### A wide array of experts participated, ensuring diversity of views and supporting Board oversight

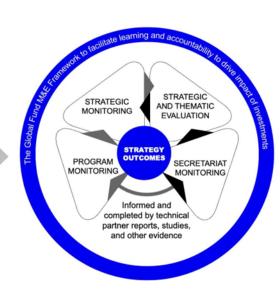
**75% external experts,** 25% from Secretariat

1/3 ext. experts directly recommended by Board

Most attended topics: HRts/Equity/Gender, Communities

Ext. experts often from partners (40%), also 20% NGO, 15% Communities

1/3 of experts from implementing countries (mainly Africa/Asia) > 450 experts involved



THE GLOBAL FUND

### Development of measurement approaches structured around 10 topics, cascading from Strategy Objectives & guided by key questions

**Intermediate Outcomes** 

Key questions + consultation topic

Long-term Outcomes

Key questions + consultation topic

#### Maximizing People-centered Integrated Systems for Health to Deliver Impact, Resilience and Sustainability

Tangible improvements in the integration, resilience, sustainability and inclusivity of systems for health, including community systems, as a platform for UHC

Services are integrated, people-centered, and of high quality

Enhanced community systems, including service delivery platforms

Equitable access to quality assured health products

Innovations equitably introduced and taken up

Decision-making based on quality and timely data and evidence

#### Maximizing the Engagement and Leadership of Most Affected Communities to Leave No One Behind

Communities able to engage and influence the full grant life cycle and national processes prioritized by the Global Fund

#### Maximizing Health Equity, Gender Equality and Human Rights

Demonstrable progress in reducing health inequities, including those arising from human rights related barriers and gender inequalities

HTM inequities are reduced

Human rights related barriers to access and use of HTM interventions are reduced

Gender equality in HTM is advanced

#### **Mobilizing Increased Resources**

International and Domestic financial and program resources mobilized to achieve and sustain results

#### **Contribute to Pandemic Preparedness and Response**

Pandemic preparedness capacities strengthened

Are services integrated, people-centered, and of high quality?

Are community systems (including service delivery platforms) reinforced?

s equitable access to quality assured health products being achieved?

Are innovations being equitably introduced and taken

Is decision-making based on quality and timely data and evidence?

Are communities able to engage and influence the full grant life cycle and national processes prioritized by the Global Fund?

8 Are HTM inequities being reduced?

re human rights related barriers to access and use of HTM interventions being reduced?

Is gender equality in HTM being advanced?

Are international & domestic financial and program resources mobilized to achieve and sustain results?

Are country health financing systems strengthened & efficiently managing HTM and related RSSH investments?

10

Are pandemic preparedness capacities being strengthened?

End AIDS, TB, and Malaria

Equity in access to effective, quality HIV prevention, treatment, care and support programs

Equity in access to effective, quality **TB** prevention, treatment, care and support programs

Equity in access to effective, quality **Malaria** prevention, treatment, care and support programs

Are effective and quality

HIV prevention,

treatment, care and support programs being delivered and achieving equity in access?

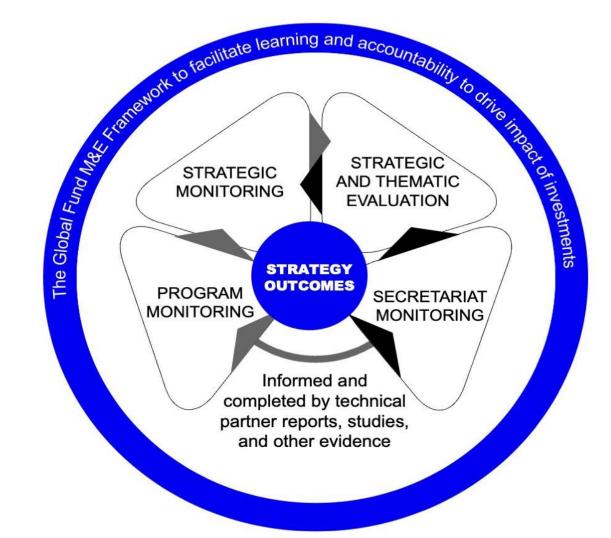
Are effective and quality

TB prevention,

treatment, care and support programs being delivered and achieving equity in access?

Are effective and quality malaria prevention, treatment, care and support programs being delivered and achieving equity in access?

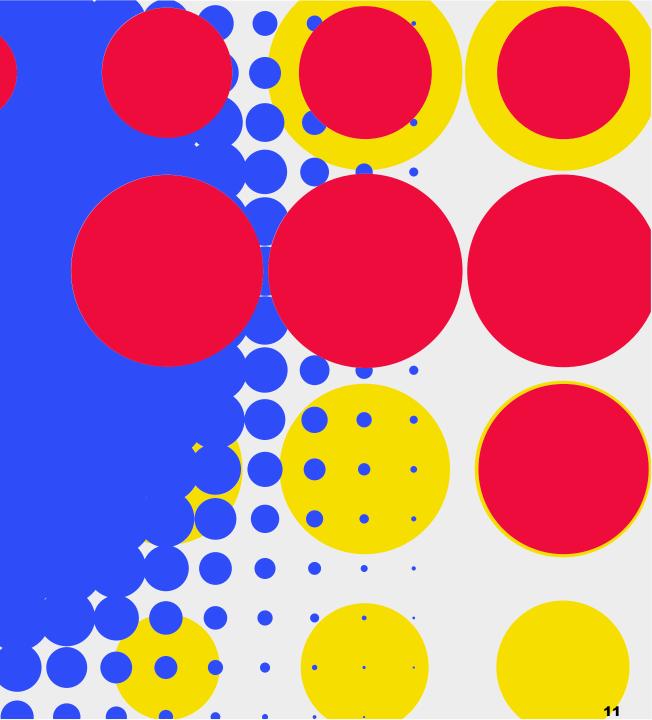
### In November 2022, the Board endorsed the Global Fund Monitoring & Evaluation (M&E) Framework



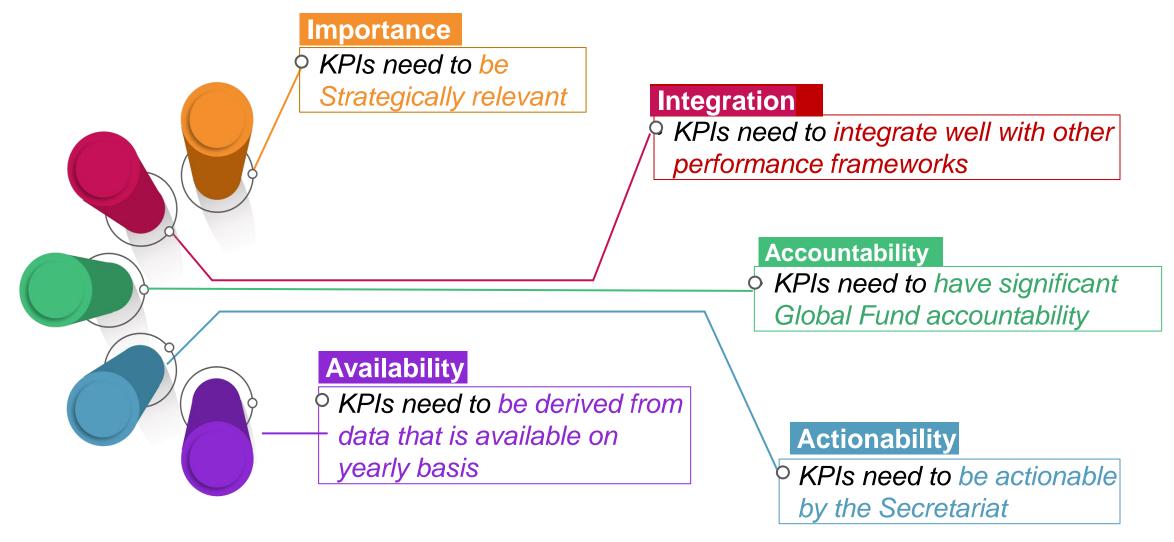
- The objective of the M&E Framework is to facilitate performance management, continuous learning and improved decisionmaking by providing relevant, comprehensive, complete, and timely information to improve health program quality, efficiency, effectiveness, and therefore impact of Global Fund investments.
- The M&E Framework includes 4 interrelated components; each component contains interlinked measurement frameworks, systems, and tools that generate data and evidence that serve different purposes and audiences across Global Fund grants and Strategy life cycles.
- Insights from partner reporting, research, and other evidence generation also inform and/or complement each component.
- Collectively, information coming through the four components of the M&E Framework provides a comprehensive picture of progress towards achieving the Strategy outcomes and on how well the Global Fund is delivering on its mandate



# Overview of the 2023-2028 KPI Framework



### We followed several guiding principles when selecting and developing KPIs



#### 48 KPIs adopted, organized in 3 layers and measuring progress towards **Strategy Goal and Objectives** Click on KPI to go to KPI card

**IMPACT KPIs** 

I1: Mortality rate

12: Incidence rate

Primary goal

STRATEGY OUTCOME KPIS

Objectives

End AIDS, TB, and Malaria

H1: People living with HIV who know their status

H2: ART coverage

H3: Viral load suppression

**H4**: KP reached with prevention programs

**H5**: AGYW reached with prevention programs

**H6**: Elimination of vertical transmission

H7: PLHIV on ART who initiated TPT

T1: TB notifications, all forms

T2: TB TSR, all forms

T3: DR-TB cases on treatment

T4: DR-TB TSR

T5: TB contacts on TPT

T6: ART coverage for HIVpositive TB patients

M1: LLINs distributed

M2: Malaria testing, public facilities

M3: Malaria cases treated, public facilities

M4: IPTp3 coverage

M5: Children receiving full course of SMC

**Maximizing People-centered Integrated** Systems for Health to Deliver Impact, **Resilience and Sustainability** 

**\$1**:Provision of people-centered, highquality services

**S2**:Provision of Supportive supervision

\$3: HTM integrated services offered to pregnant women

\$5: Systems readiness for CHWs

**S4**: Community systems for service delivery

S6a: Secure, maintained and interoperable HMIS

S6b: Data driven decision making

\$7: Use of disaggregated data for

planning or decision making

**S8**: On Shelf Availability

**S9**: Supply Continuity

**\$10**: Introduction of new products

**Maximizing Engagement** and Leadership of Most **Affected Communities** to Leave No One Behind

C1: Community engagement across GF grant cycle

**Maximizing Health Equity, Gender Equality and Human** Rights

E1: Scale up of programs to address Human Rights-related barriers

E2a: Reaching marginalized sub-populations

**E2b**: Reducing inequities in HTM

E3a: Advancing gender equality in HTM – engagement in grant cycle E3b: Performance of gender-

specific indicators

**Mobilizing Increased** Resources

R1a: Realization of domestic co-financing commitments R1b: Mitigation actions

for countries at risk of not meeting co-

financing commitments R3: Announced

pledges

R2: Timeliness and quality of external audit process performed by SAIs

**Contribute to Pandemic** Preparedness and Response

P1: Laboratory testing modalities

P2: Early warning surveillance function P3: Human resources for implementation of

**IHR** 

**FINANCIAL KPIs** 

F1: Pledge conversion

F2a: Corporate asset utilization F2b: Allocation utilization

F3: In-country absorption

#### KPIs contribute to answering key questions to measure progress towards Strategy Goals & **Objectives**

#### **End AIDS, TB and Malaria**

Are HIV, TB and malaria incidence and mortality rates reducing?

Are effective and quality HIV prevention, treatment, care and support programs being delivered and achieving equity in access?

Are effective and quality TB prevention, treatment, care and support programs being delivered and achieving equity in access?

Are effective and quality malaria prevention, treatment, care and support programs being delivered and achieving equity in access?





























**Maximizing People-centered Integrated Systems for Health to Deliver Impact, Resilience and Sustainability** 

Are services integrated, peoplecentered, and of high quality?



Are community systems (including service delivery platforms) reinforced?

Is decision-making based on quality and timely data and evidence?





Is equitable access to quality assured health products being achieved?





Are innovations being equitably introduced and taken up?

**Maximizing the Engagement** and Leadership of Most **Affected Communities to** Leave No One Behind

Are communities able to engage and influence the full grant life cycle and national processes prioritized by the Global Fund?

Maximizing Health Equity, **Gender Equality and Human** Rights

Are Human Rights related barriers to access and use of HTM interventions being reduced?



Are HTM inequities being reduced?





Is gender equality in HTM being advanced?





**Mobilizing Increased** Resources

Are international and domestic financial and program resources mobilized to achieve and sustain results?







Are country health financing systems strengthened and efficiently managing HTM and related RSSH investments?

R2

Contribute to Pandemic **Preparedness and Response** 

Are pandemic preparedness capacities being strengthened?



Evaluations and other elements of the M&E Framework complement the KPIs in providing a more comprehensive response to the Strategic M&E questions

Financial performance

Is Global Fund funded to the anticipated level?

Are Global Fund financial assets being optimally utilized?

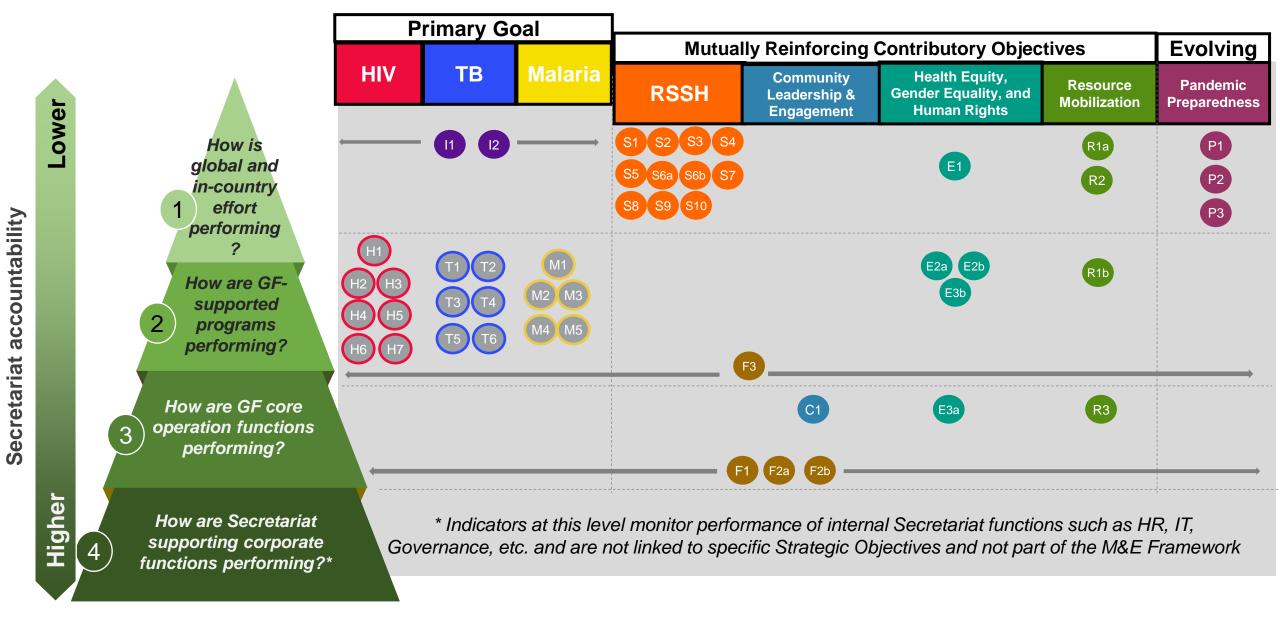
Are funds spent in country according to budget?





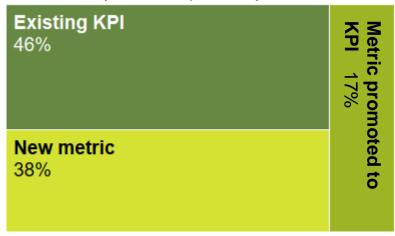


#### Conifer of Control - demonstrates accountability of the Global Fund in achieving results



#### New KPIs re-use where possible existing measures and data sources. They demonstrate stronger GF accountability and use more outcome-level measures

Revision level: Most KPIs already tracked before (not necessarily as KPIs) ensuring consistency and comparability



**Cohort:** most KPIs measure performance of full portfolio for which relevant activity supported by GF, providing comprehensive picture

| picture               |                       |
|-----------------------|-----------------------|
| Full portfolio<br>67% | Partial portfolio 33% |
|                       |                       |

Data source: most KPIs use existing data reporting systems and process within GF or partner organizations to alleviate collection burden

| Grant reporting<br>46%       | New GF<br>data<br>souces<br>15% |  |
|------------------------------|---------------------------------|--|
| Existing GF data sources 27% | Partners<br>data<br>13%         |  |

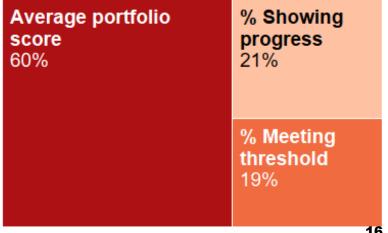
Measurement level: most KPIs measure either outcomes or coverage, focusing on what the GF Strategy ultimately wants to achieve.

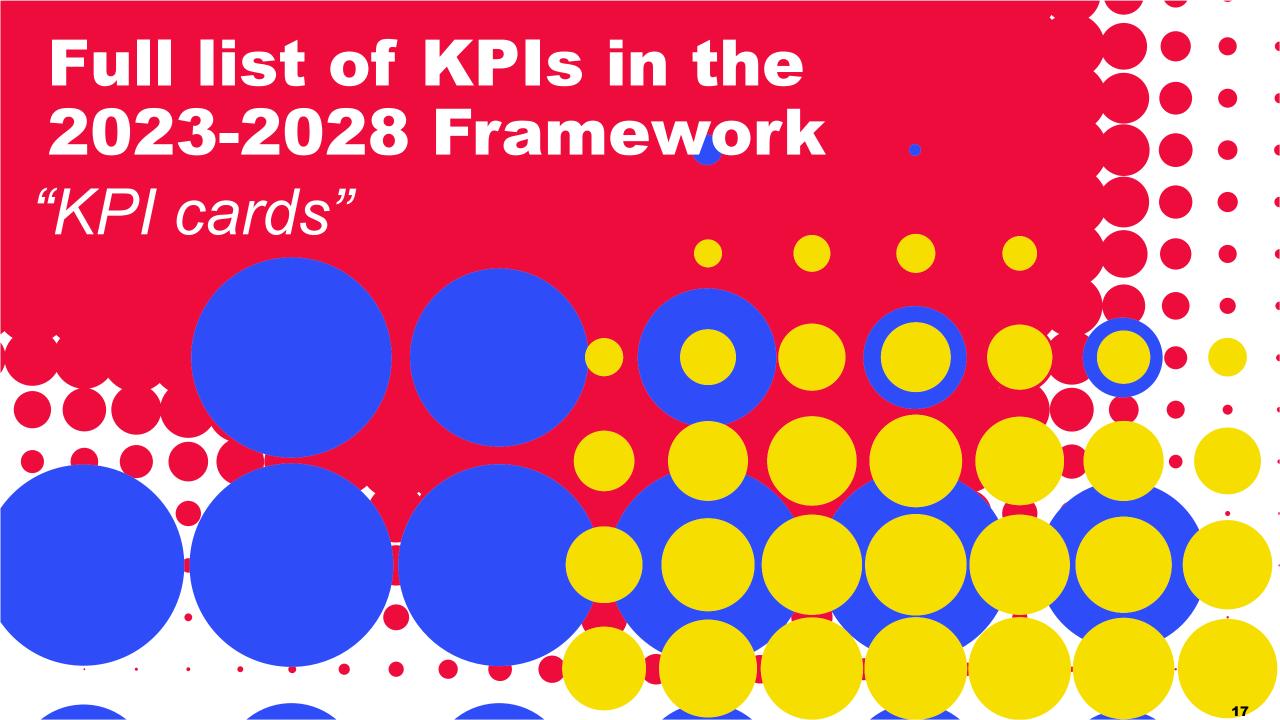
| Outcome | Output    | Coverage |
|---------|-----------|----------|
| 48%     | 25%       | 23%      |
|         | Impact 4% |          |

Shared accountability: most KPIs at Level 2 of the GF Conifer of Control (achievements of GF supported programs against their targets)



Type of measurement: many KPIs (and all HTM KPIs) based on average performance level. Others track #countries showing progress or # countries at specific threshold





#### Coding – KPI short name

#### **KPI long name**

#### How to read the KPI cards

#### Characteristics

### Standard attributes



#### Measurement level:

- impact
- outcome/coverage
- output/process/input



#### **Conifer Level:**

- 1 global and in-country effort
- 2 GF supported programs
- 3 GF core operations)



#### **Cohort:**

- full portfolio (where data exists)
- partial portfolio / prioritized countries



#### Data source:

- routine grant reporting
- · partners data
- other existing GF data source
- new GF data source



#### Type of performance measured:

- average portfolio performance
- countries/items meeting specific threshold
- countries/items demonstrating progress

#### Definition

Formula, threshold, baseline, target, cohort, data source

Frequency, disaggregation, interpretation of KPI results, related indicators

This is what was formally approved by the GF Board

Additional technical details

Rationale for selection

Important Integrated Accountable Actionable Available

Considerations

Why indicator selected as KPI (based on guiding principles) and any aspect to consider

Reporting

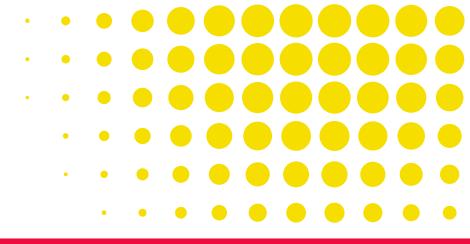
NB: KPIs are all expressed as a percentage. They fall into 3 possible measurement approaches:

**Average performance:** looking at an aggregate portfolio result, with some data points (e.g., countries) with high performance balancing others with lower results. For some KPIs, every data point has the same importance (straight average); for others larger countries would count more (weighted average). The key element tracked here is whether the overall portfolio is at a certain level of performance.

**Meeting threshold:** a specific threshold is defined for "acceptable performance". The goal of such KPIs is to ensure that all / a significant portion of the cohort (e.g., countries) is at/above this specific threshold. The measure is binary for each element of the cohort ("is the threshold met or not?"). Overperformance for some of the cohort is NOT balancing lower performance elsewhere and each data point has the same weight. Key element tracked here is whether <u>all/most elements of the cohort</u> are at a certain level of performance.

Showing progress: a baseline is established early in the Strategy. The goal of such KPIs is to ensure that results for all / a significant portion of the cohort are progressing over time. The measure is binary for each element of the cohort ("is it progressing or not, compared to its baseline?"). Overperformance for some of the cohort is NOT balancing lower performance elsewhere and each data point has the same weight. Key element tracked here is whether all/most elements of the cohort are progressing compared to their baseline results





### HIV



#### **KPI H1: People living with HIV who know their status**



#### Portfolio performance for percentage of people living with HIV who know their HIV status

| Char         | racteristics                       | Definition  | Reporting   |
|--------------|------------------------------------|---|---|
| <b>JULI</b>  | Outcome                            | Formula: portfolio performance for "% of people living with HIV who know their HIV status at the end of the reporting period" with: | Reported: Annually (Q4), against annual target  |
| *            | Level 2 – GF<br>supported programs | <ul> <li><u>Numerator</u> = Aggregate portfolio result (%)</li> <li><u>Denominator</u> = Aggregate portfolio target (%)</li> </ul>  | Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below |
|              | Full portfolio of countries        | <b>Target:</b> Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually                        | target by margin of 11% or more  Disaggregation reported for this KPI: Country (and any   |
| $\downarrow$ | Grant reporting,<br>Partner data   | Cohort: all countries monitoring progress of indicator through grant(s)   | corresponding categorization: region, portfolio type etc.)  |
|              | Weighted average across portfolio  | Baseline: 101% portfolio performance for year 2021  Data source: routine grant reporting, UNAIDS                                    |   |

#### Rationale for selection

<u>Important</u>: KPI is a global indicator that measures the 1st element of the HIV treatment cascade <u>Integrated</u>: Indicator is part of Modular Framework (grant performance indicator HIV O-11) and matches performance routinely tracked in grant management

<u>Accountable</u>: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets, and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner <u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available from either UNAIDS or standard GF reporting mechanisms.

**The Global Fund** 

#### Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H1: People living with HIV who know their status**



#### Illustration

**KPI based on measure:** % of people living with HIV who know their HIV status at the end of the reporting period (HIV O-11)

**Numerator (N):** # PLHIV who know their HIV status **Denominator (D):** # PLHIV

Step 1

**Aggregate\* country level** with result N & D from UNAIDS; target D from UNAIDS (same as result D); target N as (%GF target \* target D)

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio achievement as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

#### Illustrative example with four countries in 2023

| Steps  | Portfolio Year            |      | Result<br>(N)    | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|---------------------------|------|------------------|---|---------------|-------------------------|
|        | Country A                 | 2023 | 700              | 1000                                    | 800           | 1000                    |
| 0( 4   | Country B                 | 2023 | 20               | 40                                      | 19            | 40                      |
| Step 1 | Country C                 | 2023 | 550              | 600                                     | 600           | 600                     |
|        | Country D                 | 2023 | 60               | 100                                     | 90            | 100                     |
| Step 2 | Sum                       |      | 1330             | 1740                                    | 1509          | 1740                    |
| Step 3 | Aggregate port. R & T     |      | R= 7<br>(= 1330  |   |               | <b>87%</b><br>9 / 1740) |
| Step 4 | 2023 KPI result<br>(R/T): |      | against <b>9</b> | <b>88%</b> (= 76<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **76%** PLHIV who know their status, against the GF portfolio target of **87%**, resulting in **88%** portfolio performance against KPI performance target of **90%** 

| KPI performa | ance |  |
|--------------|------|--|
| Met          |      | Result at target or within margin of 5% (relative to target) |

#### **KPI H2: ART coverage**



#### Portfolio performance for percentage of people living with HIV who are on ART

#### Characteristics



#### Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting, Partner data** 



Weighted average across portfolio

#### Definition

**Formula:** portfolio performance for "% of people on ART among all people living with HIV at the end of the reporting period" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target (%)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 95% portfolio performance for year 2021

Data source: routine grant reporting, UNAIDS

#### Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type etc.)

#### Rationale for selection

<u>Important</u>: KPI is a global indicator that measures the 2nd element of the HIV treatment cascade

<u>Integrated</u>: Indicator is part of Modular Framework (grant performance indicator TCS-1.1) and matches performance routinely tracked in grant management

**Accountable**: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets, and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available from either UNAIDS or standard GF reporting mechanisms.

#### Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H2: ART coverage**



#### Illustration

**KPI based on measure:** % of people on ART among all people living with HIV at the end of the reporting period (TCS-1.1)

**Numerator (N):** # people on ART at the end of the reporting period

**Denominator (D):** # estimated PLHIV

Step 1

Aggregate\* country level with result N from GF grants; result D from UNAIDS; target D from UNAIDS (same as result D); target N as (%GF target \* target D)

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

|            | KPI performance (i.e progress towards target) |  |  |  |  |
|------------|---|--|--|--|--|
|            | Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
|            | Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| <b>S</b> T | Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

#### Illustrative example with four countries in 2023

| Steps  | Portfolio Year         |      | Result<br>(N)          | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|------------------------|---|---------------|-------------------------|
|        | Country A              | 2023 | 600                    | 1000                                    | 800           | 1000                    |
| Ctop 1 | Country B              | 2023 | 20                     | 40                                      | 19            | 40                      |
| Step 1 | Country C              | 2023 | 500                    | 600                                     | 600           | 600                     |
|        | Country D              | 2023 | 60                     | 100                                     | 90            | 100                     |
| Step 2 | Sum                    |      | 1180                   | 1740                                    | 1509          | 1740                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>6</b><br>(= 1180 |   |               | <b>87%</b><br>0 / 1740) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>       | <b>78%</b> (= 68<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **68%** ART coverage, against the GF portfolio target of **87%**, resulting in **78%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

#### **KPI H3: Viral load suppression**



#### Portfolio performance for percentage of people living with HIV and on ART who are virologically suppressed

#### Characteristics Definition Reporting **Formula:** portfolio performance for "% of people living with HIV and on Reported: Annually (Q4), against annual target **Outcome** ART who are virologically suppressed" with: Numerator = Aggregate portfolio result (%) Interpretation of results (progress towards target): Green if Level 2 - GF result at target or within margin of 5% (relative to target); Denominator = Aggregate portfolio target (%) supported programs amber if below target by margin of 6%-10%; red if below target Target: Achieve or sustain Global Fund grant portfolio performance at or by margin of 11% or more Full portfolio of above 90%, assessed annually countries Disaggregation reported for this KPI: Country (and any **Cohort:** all countries monitoring progress of indicator through grant(s) corresponding categorization: region, portfolio type etc.) Grant reporting,

#### Rationale for selection

Partner data

Weighted average across portfolio

**Important**: KPI is a global indicator that measures the 3rd element of the HIV treatment cascade

Baseline: 105% portfolio performance for year 2021

Data source: routine grant reporting, UNAIDS

<u>Integrated</u>: Indicator is part of Modular Framework (grant performance indicator HIV O-12) and matches performance routinely tracked in grant management

<u>Accountable</u>: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets, and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available from either UNAIDS or standard GF reporting mechanisms.

#### Considerations

• KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H3: Viral load suppression**

#### **f**

#### Illustration

**KPI based on measure:** % of people living with HIV and on ART who are virologically suppressed (HIV O-12)

Numerator (N): # PLHIV on ART for at least 6 months and with at least one routine VL test result who have virological suppression (<1000 copies/mL) during the reporting period

**Denominator (D):** # PLHIV on ART for at least 6 months with at least one routine VL result in a medical or lab record during the reporting period

Step 1

Aggregate\* country level with result N &D from UNAIDS; target D from UNAIDS (same as result D); target N as (%GF target \* target D)

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Result below target by margin of 11% or more

#### \* Aggregation is across all grants within a country and reporting periods for the given year

#### Illustrative example with four countries in 2023

| Steps  | Portfolio Year         |      | Result<br>(N)          | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|------------------------|---|---------------|-------------------------|
|        | Country A              | 2023 | 500                    | 750                                     | 650           | 750                     |
| Cton 1 | Country B              | 2023 | 450                    | 1000                                    | 900           | 1000                    |
| Step 1 | Country C              | 2023 | 25                     | 80                                      | 35            | 80                      |
|        | Country D              | 2023 | 70                     | 100                                     | 80            | 100                     |
| Step 2 | Sum                    |      | 1045                   | 1930                                    | 1665          | 1930                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>5</b><br>(= 1045 |   |               | <b>36%</b><br>5 / 1930) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>       | <b>63%</b> (= 54<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **54%** PLHIV on ART who have virological suppression, against the GF portfolio target of **86%**, resulting in **63%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

#### **KPI H4: KP reached with prevention programs**



#### Portfolio performance for coverage of prevention programs among specific Key Populations

#### Characteristics

### **JULIA**

#### Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

#### Definition

**Formula:** portfolio performance for "% of Key Populations reached with HIV prevention programs - defined package of services" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target (%)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s). Key Populations include Men who have sex with men (MSM), Transgender (TG), Sex workers (SW), People who inject drugs (PWID)

Baseline: 91% portfolio performance for year 2021

Data source: routine grant reporting

#### Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type etc.), Key Population

#### Rationale for selection

**Important**: KPI is a measure of coverage of prevention activities amongst Key Populations ("KP"), which is crucial to incidence reduction and the new Strategy.

Integrated: Indicator is part of Modular Framework (grant performance indicators KP-1a/b/c/d, depending on KP) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets, and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner Available: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

#### Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H4: KP reached with prevention programs**



#### Illustration

**KPI based on measure:** % of Key Populations reached with HIV prevention programs - defined package of services (KP-1a/b/c/d, depending on KP)

**Numerator (N):** # KPs who have received a defined package of HIV prevention services

**Denominator (D):** # estimated KPs in targeted area

Step 1

**Aggregate\* country level** result N & D; and target N & D across all KPs

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

|   | KPI performance (i.e progress towards target) |  |  |  |  |  |  |
|---|---|--|--|--|--|--|--|
| Met Result at target or within margin of 5% (relative |   |  |  |  |  |  |  |
|   | Partially met                                 |  | Result below target by margin of 6%-10%      |  |  |  |  |
| ூா  | Not met                                       |  | Result below target by margin of 11% or more |  |  |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

#### Illustrative example with four countries in 2023

| Steps  | Portfolio                 | KP         | Year | Result<br>(N) | Result<br>(D)                                   | Target<br>(N) | Target<br>(D)           |
|--------|---------------------------|------------|------|---------------|---|---------------|-------------------------|
|        | Country A                 | MSM        | 2023 | 250           | 1100  | 275           | 1100                    |
| Cton 1 | Country A                 | TG         | 2023 | 12            | 50  | 15            | 50                      |
| Step 1 | Country B                 | MSM        | 2023 | 7500          | 20000   | 10000         | 23000                   |
|        | Country C                 | SW         | 2023 | 2750          | 5000  | 2500          | 5000                    |
| Step 2 | Sum                       |            |      | 10,512        | 26,150  | 12,790        | 29,150                  |
| Step 3 | Aggregate po              | ort. R & T |      |               | 40 <b>%</b><br>/ 26150)                         |               | 14 <b>%</b><br>/ 29150) |
| Step 4 | 2023 KPI result<br>(R/T): |            |      |               | <b>92%</b> (= 40<br>t <b>90%</b> portfo<br>targ | olio perforr  | mance                   |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **40%** KPs reached with prevention programs against the GF portfolio target of **44%**, resulting in **92%** portfolio performance against KPI performance target of **90%** 

| KPI perfor | mance | e  |  |
|------------|-------|--|--|
| Met        |       | Result at target or within margin of 5% (relative to target) |  |

#### **KPI H5: AGYW reached with prevention programs**



#### Portfolio performance for coverage of prevention programs among high-risk adolescent girls and young women

#### Characteristics Definition Reporting Formula: portfolio performance for "% of high-risk adolescent girls Reported: Annually (Q4), against annual target Coverage and young women reached with HIV prevention programs- defined package of services" with: Interpretation of results (progress towards target): Green if result <u>Numerator</u> = Aggregate portfolio result (%) at target or within margin of 5% (relative to target); amber if below Level 2 - GF <u>Denominator</u> = Aggregate portfolio target (%) target by margin of 6%-10%; red if below target by margin of 11% or supported programs more Target: Achieve or sustain Global Fund grant portfolio Subset of country performance at or above 90%, assessed annually Disaggregation reported for this KPI: Country (and any portfolio corresponding categorization: region, portfolio type etc.) Cohort: 12 AGYW priority countries **Grant reporting** Baseline: 29% portfolio performance for year 2021 Weighted average across portfolio Data source: routine grant reporting

#### Rationale for selection

<u>Important</u>: KPI is a measure of coverage of prevention activities amongst **Adolescent Girls** and Young Women ("AGYW"), which in high HIV incidence geographies is crucial to incidence reduction and the new Strategy.

<u>Integrated</u>: Indicator is part of Modular Framework (grant performance indicator YP-2) and matches performance routinely tracked in grant management.

<u>Accountable</u>: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner Available: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

#### Considerations

- KPI is measured only in 12 AGYW priority countries
- Package of services offered is dependent on country context and thus not consistent across all countries

#### **KPI H5: AGYW reached with prevention programs**



#### Illustration

**KPI based on measure:** % of high-risk adolescent girls and young women reached with HIV prevention programs- defined package of services (YP-2)

**Numerator (N):** # high-risk AGYW who have received a defined package of HIV prevention services

**Denominator (D):** # estimated high-risk AGYW in targeted area

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

## KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

#### Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)    | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|------------------|---|---------------|-------------------------|
|        | Country A              | 2023 | 21500            | 45000                                   | 25000         | 60000                   |
| 01     | Country B              | 2023 | 3250             | 7000                                    | 3800          | 10000                   |
| Step 1 | Country C              | 2023 | 5250             | 15000                                   | 10000         | 20000                   |
|        | Country D              | 2023 | 4000             | 13000                                   | 5000          | 13000                   |
| Step 2 | Sum                    |      | 34000            | 80000                                   | 43800         | 103000                  |
| Step 3 | Aggregate port. R & T  |      |                  | <b>43%</b><br>/ 80000)                  |               | <b>13%</b><br>/ 103000) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b> | <b>100%</b> (= 4<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **43%** AGYW reached with prevention programs against the GF portfolio target of **43%**, resulting in **100%** portfolio performance against KPI performance target of **90%** 

| KPI pe | KPI performance |  |  |  |  |  |
|--------|-----------------|--|--|--|--|--|
| Met    |                 | Result at target or within margin of 5% (relative to target) |  |  |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

#### **KPI H6: Elimination of vertical transmission**



#### Portfolio performance for percentage of pregnant women living with HIV who received antiretroviral medicine

### Characteristics Coverage

Level 2 - GF

supported programs



Full portfolio of countries



Grant reporting, Partner data



Weighted average across portfolio

#### Definition

Formula: portfolio performance for "% of pregnant women living with HIV who received antiretroviral medicine to reduce the risk of vertical transmission of HIV" with:

- <u>Numerator</u> = Aggregate portfolio result (%)
- Denominator = Aggregate portfolio target (%)

Target: Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 90% portfolio performance for year 2021

Data source: routine grant reporting, UNAIDS

#### Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

Disaggregation reported for this KPI: Country (and any corresponding categorization: region, portfolio type etc.)

#### Rationale for selection

Important: KPI is a global indicator measuring ART coverage among pregnant women with HIV. It is a key component of the interventions designed to eliminate vertical transmission of HIV **Integrated:** Indicator is part of Modular Framework (grant performance indicator TCS-10) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner Available: Indicator is an existing GF Modular Framework indicator with data available from either UNAIDS or standard GF reporting mechanisms

#### Considerations

KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H6: Elimination of vertical transmission**



#### Illustration

**KPI based on measure:** % of pregnant women living with HIV who received antiretroviral medicine to reduce the risk of vertical transmission of HIV

(TCS-10)

**Numerator (N):** #-pregnant women living with HIV who delivered during the past 12 months and received antiretroviral medicines during pregnancy to reduce the risk of vertical transmission of HIV

**Denominator (D):** estimated # of women living with HIV who delivered within the past 12 months

Step 1

**Aggregate\* country level** with result N from GF grants; result D from UNAIDS; target D from UNAIDS (same as Result D); target N as (%GF target \* target D)

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

| KPI performance (i.e prog | KPI performance (i.e progress towards target)            |      |  |  |  |  |  |
|---------------------------|--|------|--|--|--|--|--|
| Met                       | Result at target or within margin of 5% (relative to tar | get) |  |  |  |  |  |
| Partially met             | Result below target by margin of 6%-10%                  |      |  |  |  |  |  |
| Not met                   | Result below target by margin of 11% or more             |      |  |  |  |  |  |

#### Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)         | Result<br>(D)                           | Target<br>(N) | Target<br>(D)          |
|--------|------------------------|------|-----------------------|---|---------------|------------------------|
|        | Country A              | 2023 | 60                    | 120                                     | 85            | 120                    |
| 01 1   | Country B              | 2023 | 190                   | 250                                     | 200           | 250                    |
| Step 1 | Country C              | 2023 | 80                    | 90                                      | 90            | 90                     |
|        | Country D              | 2023 | 35                    | 75                                      | 45            | 75                     |
| Step 2 | Sum                    |      | 365                   | 535                                     | 420           | 535                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>(</b><br>(= 365 |   |               | <b>79%</b><br>) / 535) |
| Step 4 | 2023 KPI result (R/T): |      | against 90            | <b>86%</b> (= 68<br><b>)%</b> portfolio | •             | ice target             |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **68%** ART coverage amongst pregnant women against the GF portfolio target of **79%**, resulting in **86%** portfolio performance against KPI performance target of **90%** 

| KPI perf | KPI performance |   |  |  |  |  |
|----------|-----------------|---|--|--|--|--|
| Met      |                 | Result at target or within margin of 5% (relative to target |  |  |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

#### **KPI H7: PLHIV on ART who initiated TPT**



#### Portfolio performance for percentage of people living with HIV on ART who initiated TB preventive therapy

#### Characteristics Definition Reporting Formula: portfolio performance for "% of people living with HIV Reported: Annually (Q4), against annual target Coverage currently enrolled on antiretroviral therapy who started TB preventive treatment (TPT) during the reporting period" with: Interpretation of results (progress towards target): Green if result Level 2 - GF • Numerator = Aggregate portfolio result (%) at target or within margin of 5% (relative to target); amber if below supported programs Denominator = Aggregate portfolio target (%) target by margin of 6%-10%; red if below target by margin of 11% or more Target: Achieve or sustain Global Fund grant portfolio Full portfolio of performance at or above 90%, assessed annually Disaggregation reported for this KPI: Country (and any countries corresponding categorization: region, portfolio type, etc.) **Cohort:** all countries monitoring progress of indicator through **Grant reporting** grant(s) **:::** Baseline: 88% portfolio performance for year 2021 Weighted average across portfolio

#### Rationale for selection

<u>Important</u>: KPI is a Global indicator measuring collaborative TB/HIV activity on TPT for PLHIV on ART

Data source: routine grant reporting

Integrated: Indicator is part of Modular Framework (indicator TB/HIV 7.1) and matches performance routinely tracked in grant management Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing Modular Framework indicator with data available through the standard GF grant reporting mechanism

#### Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI H7: PLHIV on ART who initiated TPT**



#### Illustration

**KPI based on measure:** % of people living with HIV currently enrolled on antiretroviral therapy who started TB preventive treatment (TPT) during the reporting period (TB/HIV 7.1)

**Numerator (N):** # of PLHIV currently enrolled on ART who started TPT during the reporting period

**Denominator (D):** # PLHIV currently enrolled on ART during the reporting period

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate aggregate portfolio result and target using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Aggregate Portfolio Result (%)
- Denominator (T): Aggregate Portfolio Target (%)

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

#### Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)          | Result<br>(D)                           | Target<br>(N) | Target<br>(D)         |
|--------|------------------------|------|------------------------|---|---------------|-----------------------|
|        | Country A              | 2023 | 1500                   | 2300                                    | 2500          | 2500                  |
| 0, 1   | Country B              | 2023 | 560                    | 950                                     | 950           | 1000                  |
| Step 1 | Country C              | 2023 | 940                    | 1400                                    | 1750          | 1800                  |
|        | Country D              | 2023 | 353                    | 400                                     | 620           | 650                   |
| Step 2 | Sum                    |      | 3353                   | 5050                                    | 5820          | 5950                  |
| Step 3 | Aggregate port. R & T  |      | R= <b>(</b><br>(= 3353 |   |               | <b>98%</b><br>7 5950) |
| Step 4 | 2023 KPI result (R/T): |      | against 9              | <b>67%</b> (= 66<br><b>0%</b> portfolio | •             | ce target             |

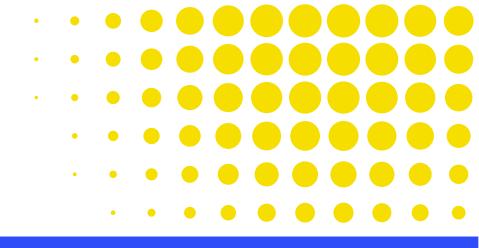
#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **66%** PLHIV on ART who started TPT against the GF portfolio target of **98%**, resulting in **67%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |  |  |  |
|-----------------|--|--|--|--|
| Not met         |  | Result below target by margin of 11% or more |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year





### TB



#### **KPI T1: TB notifications, all forms**



#### Portfolio performance for number of patients with all forms of TB notified

#### Characteristics

#### Definition

### July 1

Output



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

**Formula:** portfolio performance for "# of patients with all forms of TB notified (i.e., bacteriologically confirmed + clinically diagnosed); \*includes only those with new and relapse TB" with:

- Numerator = Aggregate portfolio result (#)
- <u>Denominator</u> = Aggregate portfolio target (#)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 78% portfolio performance for year 2021

Data source: routine grant reporting

#### Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.)

#### Rationale for selection

Important: KPI is key Global TB strategy indicator Integrated: Indicator is part of Modular Framework (grant performance

indicator TBDT-1) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Whilst GF has a reasonable level of influence in most countries, MoHs/NTPs and other technical partners have a substantial degree of influence as well, so collaboration will be key

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

#### Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

#### **KPI T1: TB notifications, all forms**



Illustration

**KPI based on measure:** # of patients with all forms of TB notified (i.e., bacteriologically confirmed + clinically diagnosed); \*includes only those with new and relapse TB (TBDT-1)

**Numerator (N):** # of patients with all forms of TB (bacteriologically confirmed + clinically diagnosed) notified to the national health authority during the reporting period

Step 1

**Aggregate\* country level** result N; and target N

Step 2

**Sum** result N; and target N to get **portfolio** results and targets

Step 3

#### Calculate GF grant portfolio performance as:

- Numerator (R): Sum of annualized country results
- Denominator (T): Sum of annualized country targets

| KPI performance (i.e progress towards target)                    |  |   |  |  |  |
|--|--|---|--|--|--|
| Met Result at target or within margin of 5% (relative to target) |  |   |  |  |  |
| Partially met  |  | Result below target by margin of 6%-10% |  |  |  |
| Not met Result below target by margin of 11% or more             |  |   |  |  |  |

#### Illustrative example with four countries in 2023

| Steps  | Portfolio                     | Year | Result<br>(N)  | Target<br>(N) |
|--------|-------------------------------|------|--|---------------|
|        | Country A                     | 2023 | 100,000  | 100,000       |
| Step 1 | Country B                     | 2023 | 17,000   | 20,000        |
|        | Country C                     | 2023 | 150,000  | 200,000       |
|        | Country D                     | 2023 | 10,000   | 15,000        |
| Step 2 | Sum                           |      | R= 277,000   | T= 335,000    |
| Step 3 | <b>2023 KPI result (R/T):</b> |      | 83%<br>(= 277,000 / 335,000)<br>against 90% portfolio performanc<br>target |               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **277k** TB cases notified against the GF portfolio target of **335k**, resulting in portfolio performance of **83%** against KPI performance target of **90%** 

| KPI performance |  |   |
|-----------------|--|---|
| Partially met   |  | Result below target by margin of 6%-10% |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI T2: TB treatment success rate, all forms**



# Portfolio performance for TB Treatment Success Rate (all forms)

#### Characteristics Definition Reporting Formula: portfolio performance for "% of patients with all forms of TB, Reported: Annually (Q4), against annual target **Outcome** bacteriologically confirmed plus clinically diagnosed, successfully treated (cured plus treatment completed) among all TB patients notified during a specified period; **Interpretation of results (progress towards target):** \*includes only those with new and relapse TB" with: Green if result at target or within margin of 5% (relative Level 2 - GF • Numerator = Aggregate portfolio result (%) to target); amber if below target by margin of 6%-10%; supported programs Denominator = Aggregate portfolio target (%) red if below target by margin of 11% or more Full portfolio of **Target:** Achieve or sustain Global Fund grant portfolio performance at or above Disaggregation reported for this KPI: Country (and $\oplus$ countries 90%, assessed annually any corresponding categorization: region, portfolio type, etc.) **Cohort:** all countries monitoring progress of indicator through grant(s) **Grant reporting** Baseline: 96% portfolio performance for year 2021 Weighted average across portfolio

# Rationale for selection

**Important**: KPI is a key Global TB strategy indicator

Integrated: Indicator is part of Modular Framework (grant performance indicator TB O-2a/TBDT-2) and matches performance routinely tracked in grant management Accountable: Strong GF accountability as KPI targets are based on grant targets. Whilst GF has a reasonable level of influence in most countries, MoHs/NTPs and other technical partners have a substantial degree of influence as well, so collaboration will be key

Data source: routine grant reporting

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

Available: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

## Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI T2: TB treatment success rate, all forms**

# Illustration

**KPI based on measure:** % of patients with all forms of TB, bacteriologically confirmed plus clinically diagnosed, successfully treated (cured plus treatment completed) among all TB patients notified during a specified period; \*includes only those with new and relapse TB (TB O-2a/TBDT-2)

**Numerator (N):** # of patients with all forms of TB (bacteriologically confirmed plus clinically diagnosed) in the specified reporting period who subsequently were successfully treated (sum of WHO outcome categories "cured" plus "treatment completed")

**Denominator (D):** Total # of people with all forms of TB (bacteriologically confirmed plus clinically diagnosed) notified in the same period

Step 1

**Aggregate\* country level** result N & D; target D same as result D; and target N from (%GF target\* target D)

Step 2

Sum result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate portfolio result and target coverage using respective N & D

Step 4

#### Calculate GF grant portfolio performance as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

# \* Aggregation is across all grants within a country and reporting periods for the given year

# Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)          | Result<br>(D)                          | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|------------------------|--|---------------|-------------------------|
|        | Country A              | 2023 | 95                     | 150                                    | 105           | 150                     |
| 0(4    | Country B              | 2023 | 540                    | 600                                    | 595           | 600                     |
| Step 1 | Country C              | 2023 | 285                    | 355                                    | 300           | 355                     |
|        | Country D              | 2023 | 1005                   | 1255                                   | 1155          | 1255                    |
| Step 2 | Sum                    |      | 1925                   | 2360                                   | 2155          | 2360                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>8</b><br>(= 1925 | <b>32%</b><br>/ 2360)                  |               | <b>91%</b><br>5 / 2360) |
| Step 4 | 2023 KPI result (R/T): |      | against 9              | <b>90%</b> (=82<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **82%** TB-TSR against the GF portfolio target of **91%**, resulting in **90%** portfolio performance against KPI performance target of **90%** 

| KPI pe | rform | ance   |
|--------|-------|--|
| Met    |       | Result at target or within margin of 5% (relative to target) |

# **KPI T3: People with confirmed DR-TB on treatment**



# Portfolio performance for percentage of people with confirmed RR-TB and/or MDR-TB on treatment

# Characteristics

# **JULY**

# Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

# Definition

**Formula:** portfolio performance for "% of people with confirmed RR-TB and/or MDR-TB that began second-line treatment" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target (%)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 97% portfolio performance for year 2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.)

# Rationale for selection

Important: KPI is a key Global TB strategy indicator
Integrated: Indicator is a part of Modular Framework (grant performance indicator DRTB-3) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Whilst GF has a reasonable level of influence in most countries, MoHs/NTPs and other technical partners have a substantial degree of influence as well, so collaboration will be key

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

• KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI T3: People with confirmed DR-TB on treatment**



Illustration

**KPI based on measure:** % of people with confirmed RR-TB and/or MDR-TB that began second-line treatment (DRTB-3)

**Numerator (N):** # of people with bacteriologically confirmed RR-TB and/or MDR-TB notified and started on second-line treatment regimen during the specified reporting period

**Denominator (D):** Total # of people with bacteriologically confirmed RR-TB and/or MDR-TB notified during the same reporting period

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate portfolio

result and target coverage using respective N & D

Step 4

Calculate GF grant portfolio performance as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

| Steps  | Portfolio              | Year | Result<br>(N)    | Result<br>(D)                           | Target<br>(N) | Target<br>(D)          |
|--------|------------------------|------|------------------|---|---------------|------------------------|
|        | Country A              | 2023 | 45               | 150                                     | 65            | 170                    |
| 0, 1   | Country B              | 2023 | 100              | 250                                     | 155           | 300                    |
| Step 1 | Country C              | 2023 | 65               | 75                                      | 105           | 110                    |
|        | Country D              | 2023 | 20               | 55                                      | 50            | 70                     |
| Step 2 | Sum                    |      | 230              | 530                                     | 375           | 650                    |
| Step 3 | Aggregate port. R & T  |      | R= 4<br>(= 230   |   |               | <b>58%</b><br>5 / 650) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b> | <b>74%</b> (= 43<br><b>0%</b> portfolio | •             | ce target              |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **43%** people with DR-TB began 2nd line treatment, against the GF portfolio target of **58%**, resulting in **74%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

Illustrative example with four countries in 2023

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI T4: DR-TB treatment success rate**



# Portfolio performance for Treatment Success Rate of RR/MDR-TB

| Char         | racteristics                       | Definition   | Reporting   |
|--------------|------------------------------------|--|---|
| JUL 1        | Outcome                            | Formula: portfolio performance for "% of patients with RR and/or MDR-TB successfully treated" with:  | Reported: Annually (Q4), against annual target  |
| *            | Level 2 – GF<br>supported programs | <ul> <li>Numerator = Aggregate portfolio result (%)</li> <li>Denominator = Aggregate portfolio target (%)</li> <li>Target: Achieve or sustain Global Fund grant portfolio</li> </ul> | Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more |
|              | Full portfolio of countries        | performance at or above 90%, assessed annually   | Disaggregation reported for this KPI: Country (and any  |
| $\downarrow$ | Grant reporting                    | Cohort: all countries monitoring progress of indicator through grant(s)  | corresponding categorization: region, portfolio type, etc.)   |
|              | Weighted average across portfolio  | Baseline: 85% portfolio performance for year 2021  Data source: routine grant reporting  |   |

# Rationale for selection

Important: KPI is key Global TB strategy indicator
Integrated: Indicator is part of Modular Framework (grant performance indicators DRTB-9, TB O-4) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Whilst GF has a reasonable level of influence in most countries, MoHs/NTPs and other technical partners have a substantial degree of influence as well, so collaboration will be key

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

• KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI T4: DR-TB treatment success rate**



### Illustration

**KPI based on measure:** % of patients with RR- and/or MDR-TB successfully treated (DRTB-9, TB O-4)

Numerator (N): # of patients with bacteriologically-confirmed RR and/or MDR-TB enrolled on second-line treatment regimen during the specified reporting period who are successfully treated (cured plus completed treatment)

Denominator (D): Total # of people with bacteriologically-confirmed RR TB and/or MDR-TB notified during the same reporting period

Step 1
Step 2
Step 3
Step 4

**Aggregate\* country level** result N & D; target D same as result D; and target N from (%GF target\* target D)

Sum result N & D; and target N & D to get portfolio results and targets

Calculate portfolio result and target coverage using respective N & D

#### Calculate GF grant portfolio performance as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

# Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)         | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|-----------------------|---|---------------|-------------------------|
|        | Country A              | 2023 | 115                   | 125                                     | 120           | 125                     |
| 044    | Country B              | 2023 | 75                    | 105                                     | 100           | 105                     |
| Step 1 | Country C              | 2023 | 35                    | 50                                      | 40            | 50                      |
|        | Country D              | 2023 | 10                    | 35                                      | 25            | 35                      |
| Step 2 | Sum                    |      | 235                   | 315                                     | 285           | 315                     |
| Step 3 | Aggregate port. R & T  |      | R= <b>7</b><br>(= 235 |   |               | 9 <b>0%</b><br>5 / 315) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>      | <b>83%</b> (= 75<br><b>0%</b> portfolio | •             | ce target               |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **75%** DR-TB TSR, against the GF portfolio target of **90%**, resulting in **83%** portfolio performance against KPI performance target of **90%** 

| KPI performance |   |
|-----------------|---|
| Partially Met   | Result below target by margin of 6%-10% |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI T5: TB contacts on preventive therapy**



# Portfolio performance for number of TB contacts on preventive therapy

# Definition Characteristics Output Level 2 - GF

supported programs Full portfolio of

countries





Formula: portfolio performance for "# of people in contact with TB patients who began preventive therapy" with:

- Numerator = Aggregate portfolio result (#)
- Denominator = Aggregate portfolio target (#)

Target: Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 29% portfolio performance for year 2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

Disaggregation reported for this KPI: Country (and any corresponding categorization: region, portfolio type, etc.)

# Rationale for selection

**Important**: KPI will measure TB prevention efforts of the new Strategy which is key to overall TB incidence reduction (End TB Strategy goal)

**Integrated**: Indicator is part of Modular Framework (grant performance indicator TBP-1) and matches performance routinely tracked in grant management Accountable: Strong GF accountability as KPI targets are based on grant targets. GF has some level of influence in collaboration with other technical partners and the NTP

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

Available: Indicator is an existing GF Modular Framework indicators with data available through the standard GF grant reporting mechanism

# Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI T5: TB contacts on preventive therapy**



Illustration

**KPI based on measure:** # of people in contact with TB patients who began preventive therapy (TBP-1)

**Numerator (N):** # of people in contact with TB patients who began TB preventive treatment in the specified reporting period

Step 1

**Aggregate\* country level** result N; and target N

Step 2

**Sum** result N; and target N to get **portfolio** results and targets

Step 3

#### Calculate GF grant portfolio performance as:

- Numerator (R): Sum of annualized country results
- Denominator (T): Sum of annualized country targets

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

# Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)                   | Target<br>(N)  |
|--------|------------------------|------|---------------------------------|--|
|        | Country A              | 2023 | 150,000                         | 270,000  |
|        | Country B              | 2023 | 10,000                          | 20,000   |
| Step 1 | Country C              | 2023 | 10,500                          | 50,000   |
|        | Country D              | 2023 | 1,250                           | 15,000   |
| Step 2 | Sum                    |      | R= 171,750                      | T= 355,000   |
| Step 3 | 2023 KPI result (R/T): |      | (= 171,750<br>against <b>90</b> | <b>3%</b> 0 / 355,000) <b>%</b> portfolio nce target |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **171k** TB contacts on TPT, against the GF portfolio target of **355k**, resulting in portfolio performance of **48%** against KPI performance target of **90%** 

| KPI perform | nance |  |
|-------------|-------|--|
| Not met     |       | Result below target by margin of more than 10% |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI T6: ART coverage for HIV-positive TB patients**



# Portfolio performance for percentage of HIV-positive registered TB patients on ART

Data source: routine grant reporting

#### Characteristics Definition Reporting Formula: portfolio performance for "% of HIV-positive new and Reported: Annually (Q4), against annual target Coverage relapse TB patients on ART during TB treatment" with: Numerator = Aggregate portfolio result (%) Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below Denominator = Aggregate portfolio target (%) Level 2 - GF target by margin of 6%-10%; red if below target by margin of 11% or supported programs Target: Achieve or sustain Global Fund grant portfolio more performance at or above 90%, assessed annually Full portfolio of Disaggregation reported for this KPI: Country (and any countries Cohort: all countries monitoring progress of indicator through corresponding categorization: region, portfolio type, etc.) grant(s) **Grant reporting** Baseline: 92% portfolio performance for year 2021 Weighted average

# Rationale for selection

across portfolio

**Important**: KPI is a key Global TB indicator

Integrated: Indicator is part of Modular Framework (grant performance indicator TB/HIV-6) and matches performance routinely tracked in grant management Accountable: Strong accountability as KPI targets are based on grant targets. GF has a reasonable level of influence in addition to other TB and HIV technical partners, however achieving results will require strong collaboration between the National HIV and TB programs as well

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

## Considerations

• KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI T6: ART coverage for HIV-positive TB patients**



Illustration

**KPI based on measure:** % of HIV-positive new and relapse TB patients on ART during TB treatment (TB/HIV-6)

**Numerator (N):** # of HIV-positive new and relapsed TB patients started on TB treatment during the reporting period who are already on ART or who start on ART during TB treatment

**Denominator (D):** # of HIV-positive new and relapsed TB patients registered during the reporting period

Step 1
Step 2
Step 3
Step 4

**Aggregate\* country level** result N & D; and target N & D

Sum result N & D; and target N & D to get portfolio results and targets

Calculate portfolio result and target coverage using respective N & D

#### Calculate GF grant portfolio performance as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

# \* Aggregation is across all grants within a country and reporting periods for the given year

### Illustrative example with four countries in 2023

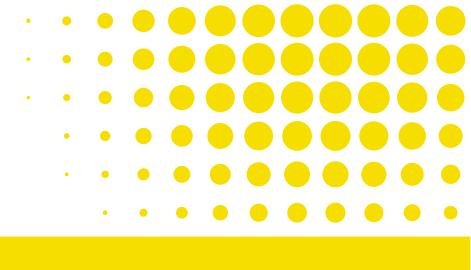
| Steps  | Portfolio Year         |      | Result<br>(N)           | Result<br>(D)                           | Target<br>(N) | Target<br>(D)           |
|--------|------------------------|------|-------------------------|---|---------------|-------------------------|
|        | Country A              | 2023 | 1250                    | 1300                                    | 1300          | 1500                    |
| 01 1   | Country B              | 2023 | 65                      | 75                                      | 70            | 100                     |
| Step 1 | Step 1<br>Country C    | 2023 | 140                     | 155                                     | 160           | 160                     |
|        | Country D              |      | 500                     | 1100                                    | 1200          | 1200                    |
| Step 2 | Sum                    |      | 1955                    | 2630                                    | 2730          | 2960                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>7</b><br>( = 1955 | <b>74%</b><br>/ 2630)                   |               | <b>92%</b><br>0 / 2960) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>        | <b>80%</b> (= 74<br><b>0%</b> portfolio | ,             | ce target               |

#### **KPI Result interpretation:**

In 2023, aggregate GF grant portfolio result is **74%** HIV+ TB patients on ART, against the GF portfolio target of **92%**, resulting in **80%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |





# Malaria

# **KPI M1: LLINs distributed**



# Portfolio performance for number of LLINs distributed through mass campaign and continuous distribution

# Characteristics

# July 1

# Output



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

# Definition

**Formula:** portfolio performance for "# LLINs distributed through mass campaign and continuous distribution" with:

- Numerator = Aggregate portfolio result (#)
- <u>Denominator</u> = Aggregate portfolio target (#)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 76% portfolio performance over 2019-2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.), distribution type

# Rationale for selection

Important: It is a Global Malaria indicator and measures key vector control intervention. Indicator measures distribution of LLINs through both mass campaigns and continuous distribution

<u>Integrated</u>: Indicators are part of Modular Framework (grant performance indicators VC-1/3) and matches performance routinely tracked in grant management <u>Accountable</u>: Strong GF accountability as KPI targets are based on grant targets.

Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI M1: LLINs distributed**



#### Illustration

#### KPI based on measure: sum of

- 1) # of insecticide-treated nets distributed to populations at risk of malaria transmission through mass campaigns (VC-1)
- 2) # of insecticide-treated nets distributed to targeted risk groups through continuous distribution (VC-3)

**Numerator (N):** # of LLINs distributed to at-risk populations through mass campaigns & to targeted risk groups through continuous distribution

Step 1

**Aggregate\* country level** result N; and target N

Step 2

**Sum** result N; and target N to get **portfolio** results and targets

Step 3

#### Calculate GF grant portfolio performance as:

- Numerator (R): Sum of annualized country results
- Denominator (T): Sum of annualized country targets

| KPI performance (i.e progress towards target) |  |  |  |  |  |
|---|--|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |  |

### Illustrative example with four countries in 2023

| Steps        | Portfolio              | Year | Result<br>(N)                  | Target<br>(N)   |
|--------------|------------------------|------|--------------------------------|---|
|              | Country A              | 2023 | 165000                         | 200000  |
| <b>0</b> : 4 | Country B              | 2023 | 200000                         | 300000  |
| Step 1       | Country C              | 2023 | 52000                          | 55000   |
|              | Country D              | 2023 | 14500                          | 15000   |
| Step 2       | Sum                    |      | R= 431500                      | T= 570000   |
| Step 3       | 2023 KPI result (R/T): |      | (= 431500<br>against <b>90</b> | 6%<br>0 / 570000)<br><b>0%</b> portfolio<br>ince target |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result **431,500** LLINs distributed against the GF portfolio target of **570,000**, resulting in portfolio performance of **76%** against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI M2: Malaria testing, public facilities**



Portfolio performance for proportion of suspected malaria cases that receive a parasitological test at public sector health facilities

# Characteristics



Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

# Definition

**Formula:** portfolio performance for "*Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities*" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target %)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 99% portfolio performance for year 2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.)

# Rationale for selection

Important: KPI is a Global Malaria indicator measuring case management quality.
 Integrated: Indicator is part of Modular Framework (grant performance indicator CM-1a) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI M2: Malaria testing, public facilities**



# Illustration

**KPI based on measure:** Proportion of suspected malaria cases that receive a parasitological test at public sector health facilities (CM-1a)

**Numerator (N):** # of all suspected malaria cases that received a parasitological test at public sector health facilities

**Denominator (D):** # of all suspected malaria cases that present at public sector health facilities

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate portfolio

result and target coverage using respective N & D

Step 4

Calculate GF grant portfolio performance as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |  |
|---|--|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |  |

# Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)            | Result<br>(D)                           | Target<br>(N) | Target<br>(D)             |
|--------|------------------------|------|--------------------------|---|---------------|---------------------------|
|        | Country A              | 2023 | 45000                    | 80000                                   | 78000         | 80000                     |
| Cton 1 | Country B              | 2023 | 48000                    | 66000                                   | 55000         | 70000                     |
| Step 1 | Country C              | 2023 | 15500                    | 20000                                   | 20000         | 20000                     |
|        | Country D              | 2023 | 2500                     | 5000                                    | 2500          | 5000                      |
| Step 2 | Sum                    |      | 111000                   | 171000                                  | 155500        | 175000                    |
| Step 3 | Aggregate port. R & T  |      | R= <b>6</b><br>(= 111000 |   |               | <b>39%</b><br>) / 175000) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>         | <b>73%</b> (= 65<br><b>0%</b> portfolio | ,             | ce target                 |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **65%** suspected malaria cases tested at public health facilities, against the GF portfolio target of **89%**, resulting in **73%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI M3: Malaria cases treated, public facilities**



Portfolio performance for proportion of confirmed malaria cases that received first-line antimalarial treatment at public sector health facilities

# Characteristics



# Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

# Definition

**Formula:** portfolio performance for "*Proportion of confirmed malaria cases that received first-line antimalarial treatment at public sector health facilities*" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target (%)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 96% portfolio performance for year 2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.)

# Rationale for selection

Important: KPI is a Global Malaria indicator measuring case management quality Integrated: Indicator is part of Modular Framework (grant performance indicator CM-2a) and matches performance routinely tracked in grant management Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

• KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI M3: Malaria cases treated, public facilities**



# Illustration

**KPI based on measure:** Proportion of confirmed malaria cases that received first-line antimalarial treatment at public sector health facilities (CM-2a)

**Numerator (N):** # of confirmed malaria cases treated who received first-line antimalarial treatment according to national policy at public sector health facilities **Denominator (D):** # of confirmed malaria cases at public health facilities (found by both passive and active surveillance)

Step 1
Step 2
Step 3
Step 4

**Aggregate\* country level** result N & D; and target N & D

Sum result N & D; and target N & D to get portfolio results and targets

Calculate portfolio result and target coverage using respective N & D

#### Calculate GF grant portfolio achievement rate as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# Illustrative example with four countries in 2023

| Steps  | Portfolio              | Year | Result<br>(N)            | Result<br>(D)                           | Target<br>(N) | Target<br>(D)                |
|--------|------------------------|------|--------------------------|---|---------------|------------------------------|
|        | Country A              | 2023 | 20000                    | 30000                                   | 30000         | 40000                        |
| Cton 1 | Country B              | 2023 | 115000                   | 120000                                  | 115000        | 130000                       |
| Step 1 | Country C              | 2023 | 120000                   | 300000                                  | 150000        | 300000                       |
|        | Country D              | 2023 | 50000                    | 105000                                  | 60000         | 115000                       |
| Step 2 | Sum                    |      | 305000                   | 555000                                  | 355000        | 585000                       |
| Step 3 | Aggregate port. R & T  |      | R= <b>5</b><br>(= 305000 |   | (= 35         | <b>51%</b><br>5000 /<br>000) |
| Step 4 | 2023 KPI result (R/T): |      | against <b>9</b>         | <b>90%</b> (= 55<br><b>0%</b> portfolio | ,             | ce target                    |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **55%** malaria cases that received treatment at public health facilities, against the GF portfolio target of **61%**, resulting in **90%** portfolio performance against KPI performance target of **90%** 

| KPI perf | orman | ce   |
|----------|-------|--|
| Met      |       | Result at target or within margin of 5% (relative to target) |

# **KPI M4: IPTp3 coverage**



Portfolio performance for proportion of pregnant women attending antenatal clinics who received three or more doses of intermittent preventive treatment for malaria

# Characteristics



# Coverage



Level 2 – GF supported programs



Full portfolio of countries



**Grant reporting** 



Weighted average across portfolio

# Definition

**Formula:** portfolio performance for "Proportion of pregnant women attending antenatal clinics who received three or more doses of intermittent preventive treatment for malaria" with:

- Numerator = Aggregate portfolio result (%)
- <u>Denominator</u> = Aggregate portfolio target (%)

**Target:** Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually

**Cohort:** all countries monitoring progress of indicator through grant(s)

Baseline: 85% portfolio performance for year 2021

Data source: routine grant reporting

# Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.)

# Rationale for selection

<u>Important</u>: Indicator is a Global Malaria indicator measuring preventative treatment among pregnant women

<u>Integrated</u>: Indicator is part of Modular Framework (grant performance indicator SPI-1) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

<u>Actionable</u>: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

 KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI M4: IPTp3 coverage**



# Illustration

**KPI based on measure:** Proportion of pregnant women attending antenatal clinics who received three or more doses of intermittent preventive treatment for malaria (SPI-1)

Numerator (N): # of pregnant women attending antenatal clinics during a specified period who received three or more doses of intermittent preventive treatment for malaria

Denominator (D): # of first antenatal clinic visits during the same specified period

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate portfolio result and target coverage using respective N & D

Step 4

Calculate GF grant portfolio achievement rate as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

# Illustrative example with four countries in 2023

| Steps                         | Portfolio Year |            | Result<br>(N)          |   |      | Target<br>(D)           |      |      |
|-------------------------------|----------------|------------|------------------------|---|------|-------------------------|------|------|
|                               | Country A      | 2023       | 1005                   | 1200                                    | 1150 | 1250                    |      |      |
| 0( 4                          | Country B      | 2023       | 305                    | 450                                     | 350  | 500                     |      |      |
| Step 1                        | Country C      | 2023       | 205                    | 350                                     | 300  | 350                     |      |      |
|                               | Country D 2023 |            | 240                    | 240 300                                 |      | 320                     |      |      |
| Step 2                        | Sum            | um         |                        | <b>Sum</b> 1755                         |      | 2300                    | 2080 | 2420 |
| Step 3                        | Aggregate po   | ort. R & T | R= <b>7</b><br>(= 1755 |   |      | <b>36%</b><br>0 / 2420) |      |      |
| Step 4 2023 KPI result (R/T): |                | sult       | against <b>9</b> 0     | <b>88%</b> (= 76<br><b>0%</b> portfolio | •    | ce target               |      |      |

#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **76%** IPTp3 coverage, against the GF portfolio target of **86%**, resulting in **88%** portfolio performance against KPI performance target of **90%** 

| KPI per | formanc | е  |
|---------|---------|--|
| Met     |         | Result at target or within margin of 5% (relative to target) |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year

# **KPI M5: Children receiving full course of SMC**



Portfolio performance for percentage of children who received the full number of courses of seasonal malaria chemoprevention (SMC) per transmission season in the targeted areas

| Char     | racteristics                       | Definition   | Reporting   |
|----------|------------------------------------|--|---|
| JANE S   | Coverage                           | Formula: portfolio performance for "Percentage of children who received the full number of courses of seasonal malaria chemoprevention (SMC) per transmission season in the targeted | Reported: Annually (Q4), against annual target  Interpretation of results (progress towards target): Green if   |
| *        | Level 2 – GF<br>supported programs | <ul> <li>areas" with:</li> <li>Numerator = Aggregate portfolio result (%)</li> <li>Denominator = Aggregate portfolio target %)</li> </ul>  | result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more |
|          | Full portfolio of countries        | Target: Achieve or sustain Global Fund grant portfolio performance at or above 90%, assessed annually  | Disaggregation reported for this KPI: Country (and any corresponding categorization: region, portfolio type, etc.)                                    |
| <b>↓</b> | Grant reporting                    | Cohort: all countries monitoring progress of indicator through grant(s)  |   |
|          | Weighted average across portfolio  | Baseline: 107% portfolio performance for year 2021  Data source: routine grant reporting   |   |
|          | <u> </u>                           |  |   |

# Rationale for selection

<u>Important</u>: Indicator is a Global Malaria indicator measuring seasonal malaria prophylaxis among children

Integrated: Indicator is part of Modular Framework (grant performance indicator SPI-

2.1) and matches performance routinely tracked in grant management

Accountable: Strong GF accountability as KPI targets are based on grant targets. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Targets align to grant targets and grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

<u>Available</u>: Indicator is an existing GF Modular Framework indicator with data available through the standard GF grant reporting mechanism

# Considerations

KPI is measured only for countries where GF funds (at least partially) the relevant interventions & monitors progress of indicator through grants, and thus cohort may vary across Allocation Periods.

# **KPI M5: Children receiving full course of SMC**



# Illustration

**KPI based on measure:** % of children who received the full number of courses of seasonal malaria chemoprevention (SMC) per transmission season in the targeted areas (SPI-2.1)

**Numerator (N):** # of children who received the full number of courses of SMC in a transmission season

**Denominator (D):** # of children requiring SMC

Step 1

**Aggregate\* country level** result N & D; and target N & D

Step 2

**Sum** result N & D; and target N & D to get portfolio results and targets

Step 3

Calculate portfolio result and target coverage using respective N & D

Step 4

Calculate GF grant portfolio achievement rate as:

- Numerator (R): Portfolio Result Coverage (%)
- Denominator (T): Portfolio Target Coverage (%)

| KPI performance (i.e progress towards target)                    |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|
| Met Result at target or within margin of 5% (relative to target) |  |  |  |  |  |  |  |
| Partially met  |  | Result below target by margin of 6%-10%      |  |  |  |  |  |
| Not met  |  | Result below target by margin of 11% or more |  |  |  |  |  |

# Illustrative example with four countries in 2023

| Steps    | Portfolio Year             |           | Result<br>(N)   |                          |        | Target<br>(D)           |  |  |
|----------|----------------------------|-----------|---|--------------------------|--------|-------------------------|--|--|
|          | Country A                  | 2023      | 2500  | 5000                     | 6000   | 6000                    |  |  |
| 0(0.00.4 | Country B                  | 2023      | 50000   | 120000                   | 115000 | 120000                  |  |  |
| Step 1   | Step 1 Country C Country D |           | 68000   | 70000                    | 70000  | 75000                   |  |  |
|          |                            |           | 37500   | 40000                    | 39000  | 40000                   |  |  |
| Step 2   | Sum                        |           | 158000  | 235000                   | 230000 | 241000                  |  |  |
| Step 3   | Aggregate po               | rt. R & T | R= <b>(</b><br>(= 158000  | 6 <b>7%</b><br>/ 235000) |        | <b>95%</b><br>/ 241000) |  |  |
| Step 4   | 2023 KPI result (R/T):     |           | <b>71%</b> (= 67% / 95%)<br>against <b>90%</b> portfolio performance target |                          |        |                         |  |  |

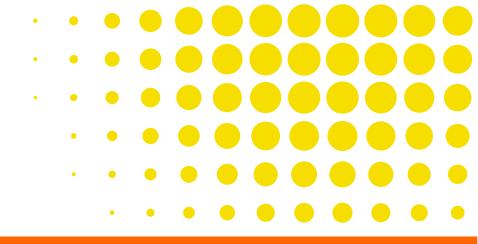
#### **KPI** Result interpretation:

In 2023, aggregate GF grant portfolio result is **67%** children receive full course of SMC, against the GF portfolio target of **95%**, resulting in **71%** portfolio performance against KPI performance target of **90%** 

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

<sup>\*</sup> Aggregation is across all grants within a country and reporting periods for the given year





# RSSH



# KPI S1: Provision of integrated, people-centered, high-quality services



Percentage of countries with improvement in scores for provision of integrated, people-centered, high quality service delivery from latest baseline

# Characteristics



#### Outcome



Level 1 - global and in-country



Subset of portfoliopriority countries



New GF data source



Countries showing progress

# Definition

#### Formula:

- <u>Numerator</u>: # of countries that showed statistically significant improvement in scores compared to latest baseline
- · Denominator: Total # countries in cohort

**Target:** 100% countries show improvement in scores by: a) mid Strategy (2025); b) end Strategy (2028) respectively compared to latest baseline

Cohort: Select cohort of 10-20 priority countries for focused RSSH measurement.

#### Baseline:

- first score in 2023-2024, for 2024 and 2025 results; and
- first score in 2025-2027 for 2026,2027,2028 results, provided score is from a year earlier than the current year

Data source: Targeted health facility assessment (HFA)

# Reporting

**Reported:** Annually (Q4), assessed against mid Strategy (2025) or end of Strategy period (2028) target

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., assessment criterion, type of health facility (Primary, Secondary, Tertiary)

# Rationale for selection

Important: KPI speaks to the GF strategic objective of high quality of services at point of delivery with more emphasis on integrated, people-centered services. The quality dimensions directly measure what the health worker does (as compared to what they know), i.e., the process of care from a clinical (protocol), diagnostic and patient perspectives

**Integrated**: Draws from WHO normative guidance

<u>Accountable</u>: GF contributes to KPI performance as focus is on assessing facilities with GF investment

<u>Actionable</u>: Allows for monitoring the progress on improving people-centered, quality and would trigger actions based on its performance, including ability to focus on particular countries and individual component that are under-performing.

Available: Data will be available on a regular basis through targeted Health Facility Assessments (HFA).

# Considerations

- KPI will need to be complemented with thematic reviews and evaluations to identify root causes for low levels of service integration, for example, policy, guidelines, funding, etc.
- KPI is a cross cutting indicator assessing quality of health services across the three diseases. Therefore, even though disease specific program improvements will support achievement of KPI, systemic improvements will be needed across the three diseases for the KPI target to be met.

# KPI S1: Provision of integrated, people-centered, high-quality services



# Illustration

**Measure:** % countries with improvement in scores for provision of integrated, people-centered, high quality service delivery from latest baseline

> Numerator (N): # of countries that showed statistically significant improvement in scores compared to latest baseline

**Denominator (D):** # of countries in cohort

Step 1

Calculate country score

as weighted average of health facility scores based on all non-null responses received from respondents

Step 2

Assess if country scores improved for each country in the portfolio compared to latest baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort (i.e that had scores)

Step 4

**Determine KPI performance** against the milestone/target for the corresponding vear

Off track

# **KPI** performance (progress towards target)

Result at target/milestone or lower by 10% (relative to target/milestone On track

At risk Result below target/milestone by margin of 11%-20%

Off track Result below target/milestone by margin of 21% or more

| Illustrative example for Country A, Health Facility HF1 in 2025 |           |                                 |  |  |      |       |                 |  |           |          |              |           |                |              |
|---|-----------|---------------------------------|--|--|------|-------|-----------------|--|-----------|----------|--------------|-----------|----------------|--------------|
|   |           | Dimension->                     |  |  | Re   | corde | d treatm        | ent & co   | unselling | 9        |              | Pati      | ent cen        | tered        |
| 9   | Step      | Record/<br>Respondent           | Q1   | Q2<br>ANC  | Avg  | Q     | 3 Q4<br>AR      | Avg  | Q5        | Q6<br>TB | Avg          | Q7<br>Pat | Q8<br>ient cen | Avg<br>tered |
|   |           | Record 1                        | 25   | 54   | 39.5 | -     | -               | -  | -         | -        | [-           | -         | -              | -            |
|   |           | Record 1                        | -  | -  | -    | 38    | 50              | 44   | -         | -        | -            | -         | -              | -            |
|   | Step<br>1 | Record 1                        | -  | -  | -    | -     | -               | -  | 50        | 75       | 62.5         |           |                |              |
|   | ,         | Record 2                        | 34   | 70   | 52   |       |                 |  |           |          |              |           |                |              |
|   |           | Respondent 1                    | -  | -  | L    | -     | -               |  | -         | -        |              | 30        | 0              | 15           |
|   |           | Avg<br>dimension<br>score:      | 4  | <b>49.5</b> (average of non-null scores = 39.5+52+44+62.5 / 4) <b>15</b> |      |       |                 |  |           |          |              |           |                |              |
|   |           | Health<br>Facility HF1<br>score |  |  |      |       | <b>32</b> (a)   | verage o   | f dimens  | sion sco | res)         |           |                |              |
|   |           | Country                         | Н  | F1   | HF2  | !     | HF3             | Country Score (weighted average of Health facility scores) |           |          |              |           |                | ity          |
|   |           | Country A                       | 32   |  | 54   |       | 39              | (32*15   | 5 + 54*70 | + 39*25  | ) / (15 + 70 | ) + 25) = | 48             |              |
|   |           | HF weights                      | 15   |  | 70   |       | 25              |  |           |          |              |           |                |              |
|   |           | Country                         |  | 2025<br>score  |      |       | ntest<br>seline | Improv   | ement in  | n scores | compare      | d to late | est base       | eline*       |
|   |           | Country A                       |  | 48   |      | 2     | 9.3             |  |           |          | Yes          |           |                |              |
|   |           | Country B                       |  | 48.5   |      | 5     | 0.1             | No   |           |          |              |           |                |              |
|   | Step<br>2 | Country C                       |  | 55   |      | 5     | 1.4             | Yes  |           |          |              |           |                |              |
|   |           | **Improvement ha                | s to be  | be statistically significant (t-test for proportions, 95%)               |      |       |                 |  |           |          |              |           |                |              |
| e)  | Step<br>3 | KPI Result                      |  | <b>67%</b> (=  | 2/3) |       |                 |  |           |          |              |           |                |              |
| ٥,  |           |                                 | 2025 is 100% of countries improving their score from latest baseline, the KPI would be as its result is lower than the milestone |  |      |       |                 |  |           |          |              |           |                |              |
|   | KPI per   | formance                        |  |  |      |       |                 |  |           |          |              |           |                |              |
|   |           |                                 |  |  |      |       |                 |  |           |          |              |           |                |              |

Result below target/milestone by margin of 21% or more

# **KPI S2: Provision of integrated supportive supervision**



Percentage of countries with improvement in scores for provision of integrated supportive supervision at health facilities from latest baseline

# Characteristics



# Outcome



Level 1 - global and in-country



Subset of portfoliopriority countries



New GF data source



Countries showing progress

# Definition

#### Formula:

- <u>Numerator</u>: # of countries that showed statistically significant improvement in scores compared to latest baseline
- Denominator: Total # countries in cohort

**Target:** 100% countries show improvement in scores by: a) mid Strategy (2025); b) end Strategy (2028) respectively compared to latest baseline

**Cohort:** Select cohort of 10-20 priority countries for focused RSSH measurement.

#### Baseline:

- first score in 2023-2024, for 2024 and 2025 results; and
- first score in 2025-2027 for 2026,2027,2028 results, provided score is from a year earlier than the current year

Data source: Targeted health facility assessment (HFA)

# Reporting

**Reported:** Annually (Q4), assessed against mid Strategy (2025) or end of Strategy period (2028) target

Interpretation of results (progress towards target):
Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., assessment criterion, type of health facility (Primary, Secondary, Tertiary)

# Rationale for selection

Important: KPI enables monitoring of efforts to scale up coverage and improve quality of integrated supportive supervision, which is a key lever for service integration and quality improvement (if done well). Integrated supportive supervision refers to supervision covering more than one HTM disease or HTM and other primary health care conditions. Integration also refers to supervision covering service delivery at facility level + through community health workers in the facility catchment area Integrated: Draws from WHO normative guidance

Accountable: GF contributes to KPI performance as focus is on assessing facilities with GF investment Actionable: Allows for monitoring the progress on improving integrated services and would trigger actions based on its performance, including ability to focus on particular countries and individual criteria that are under-performing

Available: Data will be available on a regular basis through targeted Health Facility Assessments (HFA).

# Considerations

- KPI will need to be complemented with thematic reviews and evaluations to identify root causes for performance, for example, policy, guidelines, funding, etc.
- KPI is a cross cutting indicator assessing quality of health services across the three diseases. Therefore, even though disease specific program improvements will support achievement of KPI, systemic improvements will be needed across the three diseases for the KPI target to be met.

# **KPI S2: Provision of integrated supportive supervision**



# Illustration

**Measure:** % countries with improvement in scores for provision of integrated supportive supervision at health facilities from latest baseline

Numerator (N): # of countries that showed statistically significant improvement in scores compared to latest baseline Denominator (D): # of countries in cohort

Step 1

Calculate country score as weighted average of health facility scores based on responses received from respondents

Step 2

Assess if country scores improved for each country in the portfolio compared to latest baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort (i.e., that had scores)

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

# Illustrative example for Country A in 2025

| Step   | Question->   |            | Q1<br>(filter)    | Q2   | Q3 | Q4   | Q5                         | <b>HF score</b> (average of question Q2-Q5 scores) |  |
|--------|--|------------|-------------------|--|----|--|----------------------------|--|--|
|        | Health Facilit   | y1         | 100               | 100 100  |    | 0  | 100                        | 75   |  |
| Step 1 | HF2  |            | 100               | 100  | 0  | 100  | 0                          | 50   |  |
| ·      | HF3  |            | 0                 | 0  | 0  | 0  | 0                          | 0  |  |
|        | Country HF1  |            |                   | HF2  |    | HF3  | Country S                  | Score (average of Health<br>pres)                  |  |
|        | Country A 75   |            |                   | 50   |    | 0  |                            | 0*70 + 0*25) / (15 + 70 +                          |  |
|        | HF weight  | veight 15  |                   | 70   |    | 25   | <del>25) =</del> <b>42</b> |  |  |
|        | Country  | 2025 score |                   | Latest baseline                                      |    | Improvement in scores compared to latest baseline* |                            |  |  |
| Step 2 | Country A  | 42         |                   | 30.6   |    |  | Yes                        |  |  |
|        | Country B  |            | 44.7              | 50.4   |    | No   |                            |  |  |
|        | Country C  |            | 62.5              | 52.3   |    | Yes  |                            |  |  |
|        | *Improvement has to be sta   |            | e statistically   | tistically significant (t-test for proportions, 95%) |    |  |                            |  |  |
| Step 3 | KPI resu   | lt         | <b>67%</b> (=2/3) |  |    |  |                            |  |  |
| Step 4 | The KPI result is compared to its target/milestone for the corresponding year to assess whether it is on track to reach its target/milestone.  As the target for 2025 is 100% of countries improving their score from latest baseline, the KF would be deemed off track as its result is lower than the target |            |                   |  |    |  |                            |  |  |

#### **KPI** performance (progress towards target)

On track Result at target/milestone or lower by 10% (relative to target/milestone)

At risk Result below target/milestone by margin of 11%-20%

Off track

Result below target/milestone by margin of 21% or more

#### **KPI** Result interpretation:

67% countries in cohort showed significant improvement in scores compared to latest baseline

# KPI performance

Off track

Result below target/milestone by margin of 21% or more

# KPI S3: HTM integrated services offered to pregnant women



Percentage of countries with improvement in scores for provision of HTM integrated services to pregnant women from latest baseline

#### Characteristics



### Outcome



Level 1 - global and in-country



Subset of portfoliopriority countries



New GF data source



Countries showing progress

# Definition

#### Formula:

- <u>Numerator</u>: # of countries that showed statistically significant improvement in scores compared to latest baseline
- Denominator: Total # countries in cohort

**Target:** 100% countries show improvement in scores by: a) mid Strategy (2025); b) end Strategy (2028) respectively compared to latest baseline **Cohort:** Select cohort of 10-20 priority countries for focused RSSH measurement.

#### Baseline:

- first score in 2023-2024, for 2024 and 2025 results; and
- first score in 2025-2027 for 2026,2027,2028 results, provided score is from a year earlier than the current year

Data source: Targeted health facility assessment (HFA)

# Reporting

**Reported:** Annually (Q4), assessed against mid Strategy (2025) or end of Strategy period (2028) target

Interpretation of results (progress towards target):
Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., assessment criterion, type of health facility (Primary, Secondary, Tertiary)

# Rationale for selection

Important: KPI speaks to the strategic objective of integration at the level of service delivery for pregnant women and signal HIV-TB-Malaria integration as a priority. Integration of HTM specific services with antenatal care provides this indicator specificity while simultaneously broadening its utility across the portfolio. Historically, co-location of some combination of SRH, PMTCT, TB screening and IPTp services have been measured, however, placement with antenatal care, associates this indicator with the need for stronger health systems for better results

**Integrated**: Draws from WHO normative guidance

<u>Accountable</u>: GF contributes to KPI performance as focus is on assessing facilities with GF investment <u>Actionable</u>: Allows for monitoring the progress on improving integrated services and would trigger actions based on its performance, including ability to focus on particular countries and individual criteria that are under-performing

Available: Data will be available on a regular basis through targeted Health Facility Assessments (HFA).

# Considerations

- KPI will need to be complemented with thematic reviews and evaluations to identify root causes for low levels of service integration, for example, policy, guidelines, funding, etc.
- KPI is a cross cutting indicator assessing quality of health services across the three diseases. Therefore, even though disease specific program improvements will support achievement of KPI, systemic improvements will be needed across the three diseases for the KPI target to be met.

# **KPI S3: HTM integrated services offered to pregnant women**



# Illustration

**Measure:** % countries with improvement in scores for provision of HTM integrated services to pregnant women from latest baseline

> **Numerator (N):** # of countries that showed statistically significant improvement in scores compared to latest baseline **Denominator (D):** # of countries in cohort

Step 1

Calculate country score as weighted average of health facility scores based on all non-null responses received from respondents

Step 2

Assess if country scores improved for each country in the portfolio compared to latest baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort (i.e., that had scores)

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

| KPI performa   | KPI performance (progress towards target) |  |  |  |  |  |  |  |  |
|--|---|--|--|--|--|--|--|--|--|
| On track Result at target/milestone or lower by 10% (relative to target/milestone) |   |  |  |  |  |  |  |  |  |
| At risk  |   | Result below target/milestone by margin of 11%-20%     |  |  |  |  |  |  |  |
| Off track  |   | Result below target/milestone by margin of 21% or more |  |  |  |  |  |  |  |

### Illustrative example for Country A, Health Facility HF1 in 2025

|   |           |                  |               |                    |                               |         |                    | •           |  |  |
|---|-----------|------------------|---------------|--------------------|-------------------------------|---------|--------------------|-------------|--|--|
|   | Step      | Question->       |               | Q1                 | Q2                            |         | Q3                 | Q4          | Avg record level score                               |  |
|   |           | Record 1 (R1)    |               | 25                 | 50                            | 25      |                    | NA          | 33   |  |
|   | Step 1    | R2               |               | 50                 | 25                            | 0       |                    | 100         | 44   |  |
| t |           | R3               |               | 30                 | 0                             | 0       |                    | 100         | 32   |  |
|   |           | Health F         | acility       | HF1 scor           | e (average                    | of rec  | ord level          | scores)     | 36   |  |
|   |           | Country          | HF1           |                    | HF2                           |         | HF3                |             | Country Score (average of<br>Health facility scores) |  |
|   | Country A |                  | 36            | •                  | 50                            |         | 50                 |             | (36*15 + 50*10 + 50*10) / (15                        |  |
|   |           | HF weight        | 15            |                    | 10                            |         | 10                 |             | + 10 + 10) = 44                                      |  |
|   |           | Country          | 2025          | score              | Latest baseline               |         | Improve<br>baselin |             | cores compared to latest                             |  |
|   | Step 2    | Country A        |               | 44                 | 31                            |         |                    |             | Yes  |  |
|   |           | Country B        |               | 45                 | 50                            |         |                    |             | No   |  |
|   |           | Country C        |               | 62                 | 52                            |         |                    |             | Yes  |  |
|   |           | * Improvement ha | s to be       | statistically      | / significant (t              | -test f | or propon          | tions, 95%) |  |  |
|   | Step 3    | KPI resul        | t             | <b>67%</b> (=2     | 2/3)                          |         |                    |             |  |  |
|   | Step 4    | whether it is or | track<br>2025 | to reach is 100% o | its target.<br>f countries in | mprov   | /ing their         | score fror  | sponding year to assess m latest baseline, the KPI   |  |
|   | KPI Resul | t interpretatio  | n·            |                    |                               |         |                    |             |  |  |

#### KPI Result interpretation:

**KPI** performance

67% countries in cohort showed significant improvement in scores compared to latest baseline

| Off track | Result below target/milestone by margin of 21% or more |
|-----------|--|

# **KPI S4: Community systems for service delivery**



# Percentage of countries with systems in place for community health service delivery

# Characteristics

# Definition



Outcome



Level 1 - global and in-country



Full portfolio of countries



Existing GF data source, Partner data



Countries meeting threshold

#### Formula:

- <u>Numerator</u>: # of countries that have maturing or strong systems in place for community health service delivery (i.e., met at least 3 of 4 criteria)
- Denominator: Total # countries in cohort
- Threshold: 75% (3/4) of criteria met for having community health service delivery

Target: 38% (40 countries) meet at least 3/4 criteria by end of Strategy (2028)

**Cohort:** all countries receiving Global Fund allocation in relevant Allocation Periods

Baseline: 18% (19 countries) met 3/4 criteria in 2020-2022 Allocation Period

**Data source:** 1. National Commitments and Policy Instrument; 2. Global Fund Funding Request; 3. Global Fund PR ratings & capacity assessments; 4. WHO Global Tuberculosis Report

Reporting

Reported: Annually (Q1/Q2), against end Strategy target

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., Assessment criterion

# Rationale for selection

<u>Important</u>: Global Fund recognizes that investments and strategies require tailoring to different community health actors. This KPI focusses on underlying systems necessary for community-led and –based responses, which will act as complementary to indicators on community health workers

<u>Integrated</u>: Nearly all elements of the KPI are collected via established instruments and existing data sources already available via GF or technical partners. Secretariat plans to integrate / report on other relevant metrics (e.g., indicator on community data maturity) so they complement rather than duplicate efforts.

Accountable: GF contributes to performance, but GF level of influence will differ depending on the country and GF financing levels

<u>Actionable</u>: Systems weak points can be identified among the four criteria and across portfolios which will enable GF to strategically direct investments to strengthen community system weaknesses where they are needed the most

<u>Available</u>: Data is available from either GF Funding Request or grant reporting, or is sourced from National Commitments and Policy Instrument (a component of Global AIDS monitoring) and WHO Global Tuberculosis report, which promotes reusability of measurement

# Considerations

- May not capture all factors that impact maturity of community system; qualitative aspects of CSS in particular may not be adequately captured – thus need to complement with thematic reviews and assessments
- For each relevant dimension, scores of 0 could correspond to countries with a "No" but also to those with "NA" (data not available). This leads to a potential underestimation of the real community system capacity in GF portfolio.

# **KPI S4: Community systems for service delivery**



# Additional details

# **Proposed assessment criteria**

| Assessment dimension  | Criteria   | Possible answers | Score                |
|---|--|------------------|----------------------|
| <b>Policy</b> : Laws, regulations or policies provide for the operation of community service providers  | Country has no registration or regulatory restrictions on community service delivery   | Yes/No/NA        | Yes = 1<br>No/NA = 0 |
| <b>Strategy</b> : National health strategies include communityled and community-based service providers | Country submitted a National Community Health Strategy with last funding request   | Yes/No/NA        | Yes = 1<br>No/NA = 0 |
| Capacity: Community service providers have adequate capacity to deliver HIV, TB and malaria services    | Country where a Community Sector Principal Recipient has a PR rating of adequate as per GF assessment and/or if a capacity assessment of civil society SRs/implementers has been conducted and found to be adequate for at least one implementer | Yes/No/NA        | Yes = 1<br>No/NA = 0 |
| <b>Data</b> : Data from community service providers is fed into national health information systems     | Health facilities include data on referrals by community health workers / community volunteers   | Yes/No/NA        | Yes = 1<br>No/NA = 0 |

A country is assessed across all four dimensions (listed above), with the country score being number of criteria that have a positive response (="Yes")

# Rating scale based on number of criteria met

| Rating                     | No system in place | Weak system in place | Emerging system in place | Maturing system in place | Strong system in place |
|----------------------------|--------------------|----------------------|--------------------------|--------------------------|------------------------|
| Score (i.e # criteria met) | 0                  | 1                    | 2                        | 3                        | 4                      |

Countries in the "green zone" are deemed to have a system in place and contribute towards the KPI

# **KPI S4: Community systems for service delivery**



# Illustration

**Measure:** % countries with systems in place for community health service delivery

**Numerator (N):** # of countries that have maturing or strong systems in place for community health service delivery (i.e., met at least 3 of 4 criteria)

**Denominator (D):** # of countries in cohort

Step 1

**Assess country** against each of the 4 criteria

Step 2

**Determine country rating** by assessing if score met at least 3 of 4 criteria

Step 3

Calculate KPI Result as # countries that had a score of at least 3 divided by total # of countries in cohort

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

| KPI performance (progress towards target)   |  |  |  |  |  |  |
|---|--|--|--|--|--|--|
| On track  Result at target/milestone or lower by 10% (relative to target/milestone) |  |  |  |  |  |  |
| At risk   |  | Result below target/milestone by margin of 11%-20% |  |  |  |  |
| Off track Result below target/milestone by margin of 21% or more                    |  |  |  |  |  |  |

# Illustrative example for 2024

| Steps  | Criteria                   | Country A                    | Country B                  | Country C          | Country D          | Country E                | Country F                |
|--------|----------------------------|------------------------------|----------------------------|--------------------|--------------------|--------------------------|--------------------------|
|        | Policy                     | Yes                          | Yes                        | No                 | No                 | Yes                      | Yes                      |
|        | Strategy                   | Yes                          | No                         | No                 | No                 | Yes                      | No                       |
| Step 1 | Capacity                   | Yes                          | No                         | No                 | No                 | Yes                      | No                       |
|        | Data                       | Yes                          | No                         | No                 | No                 | No                       | Yes                      |
|        | Score                      | 4                            | 1                          | 0                  | 0                  | 3                        | 2                        |
| Step 2 | Rating                     | Strong<br>system in<br>place | Weak<br>system in<br>place | No system in place | No system in place | Maturing system in place | Emerging system in place |
| Step 3 | ep 3 KPI result 33% (=2/6) |                              |                            |                    |                    |                          |                          |

Step 4

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Compared to the milestone for 2024 at 26% of countries with maturing or strong systems in place for community, the KPI would be deemed on track as its result is higher than the milestone

#### **KPI Result interpretation:**

33% of countries have maturing or strong systems in place for community health service delivery

| KPI performance |  |   |  |  |  |  |
|-----------------|--|---|--|--|--|--|
| On track        |  | Result at target/milestone or lower by 10% (relative to target/milestone) |  |  |  |  |

# **KPI S5: Systems readiness for CHWs**



Percentage of countries with improvement in scores for system readiness for community health workers from latest baseline

#### Characteristics Definition Reporting Formula: Reported: Annually (Q4), assessed against mid Strategy Numerator: # of countries that showed statistically significant improvement in (2025) or end of Strategy period (2028) target **Outcome** scores compared to latest baseline Denominator: Total # countries in cohort Interpretation of results (progress towards target): Level 1 - global and Green if results at target/milestone or within margin of 10% in-country (relative to target/milestone); amber if below Target: 100% countries show improvement in scores by: a) mid Strategy (2025); b) end Strategy (2028) respectively compared to latest baseline target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more Subset of portfolio-Cohort: Select cohort of 10-20 priority countries for focused RSSH measurement. priority countries Disaggregation reported for this KPI: Country Baseline: categorization: region, portfolio type, etc., assessment criterion, type of health facility (Primary, Secondary, first score in 2023-2024, for 2024 and 2025 results; and New GF data source • first score in 2025-2027 for 2026,2027,2028 results, provided score is from a year Tertiary) earlier than the current year **Countries showing** Data source: Targeted health facility assessment (HFA) progress

# Rationale for selection

**Important**: KPI measures readiness of key systems components needed for community health workers ("CHWs") to work effectively, with the capacity to surge (e.g., in the case of pandemics) and readiness to scale, as well as for CHWs to enjoy the benefits of decent working conditions which is key to achieving the new GF Strategy objectives.

**Integrated:** Draws from WHO normative guidance

Accountable: GF contributes to KPI performance as focus is on assessing facilities with GF investment Actionable: Allows for monitoring the progress on improving systems readiness for scale and capacity to surge CHW service delivery and would trigger actions based on its performance, including ability to focus on particular countries / regions and individual criteria that are under-performing Available: Data will be available on a regular basis through targeted Health Facility Assessments (HFA).

# Considerations

- KPI will need to be complemented with thematic reviews and evaluations to identify root causes for performance, for example, policy, guidelines, funding, etc.
- KPI is a cross cutting indicator assessing quality of health services across the three diseases. Therefore, even though disease specific program improvements will support achievement of KPI, systemic improvements will be needed across the three diseases for the KPI target to be met.

# **KPI S5: Systems readiness for CHWs**



score

### Illustration

**Measure:** % of countries with improvement in scores for system readiness for community health workers from latest baseline

> **Numerator (N):** # of countries that showed statistically significant improvement in scores compared to latest baseline **Denominator (D):** # of countries in cohort

Step 1

Calculate country score as weighted average of health facility scores based on all non-null responses received from respondents

Step 2

Assess if country scores improved for each country in the portfolio compared to latest baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort (i.e., that had scores)

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

# **KPI** performance (progress towards target)

Result at target/milestone or lower by 10% (relative to target/milestone) On track

At risk Result below target/milestone by margin of 11%-20%

Off track Result below target/milestone by margin of 21% or more

### Illustrative example for Country A, Health Facility HF1 in 2025

| Step   | Question->  | Q1 | Q2 | Q3 | Q4         | Avg record level   |  |  |
|--------|---|----|----|----|------------|--------------------|--|--|
| Step 1 | Respondent 1 (R1)   | 25 | 50 | 25 | Don't know | 33                 |  |  |
|        | R2  | 50 | 25 | 0  | 100        | 44                 |  |  |
|        | R3  | 30 | 0  | 0  | 100        | 32                 |  |  |
|        | Health Facility HF1 score (average of respondent scores) 36 |    |    |    |            |                    |  |  |
|        | Country   |    |    |    |            | Country Score (ave |  |  |

|        |           | nr i       | ПГ2             | пгэ                             | of Health facility scores)                      |
|--------|-----------|------------|-----------------|---------------------------------|---|
|        | Country A | 36         | 50              | 50                              | (36*15 + 50*10 + 5*10) /<br>(15 + 10 + 10) = 44 |
|        | HF weight | 15         | 10              | 10                              | (13 + 10 + 10) = 44                             |
|        | Country   | 2025 score | Latest baseline | Improvement in sco<br>baseline* | res compared to latest                          |
| Step 2 | Country A | 44         | 31              |                                 | Yes   |
|        | Country B | 45         | 50              |                                 | No  |
|        | Country C | 62         | 52              |                                 | Yes   |

\* Improvement has to be statistically significant (t-test for proportions, 95%)

Step 3 **KPI result 67%** (=2/3)

Step 4

The KPI result is compared to its target/milestone for the corresponding year to assess whether it is on track to reach its target.

As the target for 2025 is 100% of countries improving their score from latest baseline, the KPI would be deemed off track as its result is lower than the target

#### **KPI** Result interpretation:

67% countries in cohort showed significant improvement in scores compared to latest baseline

#### **KPI** performance

Off track

Result below target/milestone by margin of 21% or more

# KPI S6a: Secure, maintained, and interoperable HMIS



Percentage of countries with digital HMIS functionality baseline maturity level of 3 or less that increased by at least one maturity level

# Characteristics



#### Outcome



Level 1 - global and in-country



Subset of portfoliopriority countries



Existing GF data source



Countries showing progress

# Definition

#### Formula:

- Numerator: # countries that increased maturity level by one or more
- <u>Denominator</u>: Total # countries in cohort

**Target:** 100% of countries increase by at least one maturity level by end of Strategy (2028)

**Cohort:** All countries with a maturity level of 3 or less at baseline, limited to High Impact and Core countries, excluding acute emergency countries

**Baseline:** distribution of 51 High Impact and Core countries (excl. acute emergency countries) on the 5-point HMIS maturity scale: "Level 1":4 countries; "Level 2": 20 countries; "Level 3":14 countries; "Level 4": 8 countries; "Level 5":5 countries. 2022 baseline year

**Data source**: Global Fund M&E systems country profile, master digital HMIS maturity model

# Reporting

Reported: Annually (Q4), against end Strategy target

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., HMIS functionality maturity level sub-indicators

# Rationale for selection

Important: Maturity model indicator measures four of the most important aspects of a well-functioning digital HMIS that requires greater attention and resources closely aligned with the GF Strategy and its implementation progress over time Integrated: Maturity model indicator is going to be monitored as part of GF M&E systems country profile Accountable: Maturity model indicator measures a strategic area of grant investments being made in digital data and M&E systems which can potentially detect how GF is having influence on the overall core HMIS performance. Note though that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner

Available: Maturity model sub-indicators are specific, indicative, and easy to collect. Data is provided by MOHs and PRs, reviewed and verified by GF Public Health M&E Specialists (PHMEs) and available through M&E System Country Profile dashboards

# Considerations

It is a composite maturity model score and lower achievement in some of the aspects might be overlooked by overall good performance in other areas. Disaggregation by each of the sub-indicators can help to detect this and allow for mitigation actions to be taken.

# **KPI S6a: Secure, maintained, and interoperable HMIS**



# Additional details

# **Maturity scale description**

| Nascent level Limited level   |  | Moderate level   | Well-developed level   | Sustainable level   |  |
|---|--|--|--|---|--|
| 0-1.0   | >1.0-2.0   | >2.0-3.0   | >3.0-4.0   | >4.0-5.0  |  |
| The national digital HMIS (HIS/RHIS) is functional in active use, but data may be insecure and the system is irregularly maintained | The national digital HMIS (HIS/RHIS) is functional nationally, with security measures but may be non-compliant with relevant data security regulations/policies, inadequately operated and maintained, having no digital health architecture and/or HIE framework to adhere to, and lacking or very little interoperability with HIV, TB, Malaria, and community health data systems | The national digital HMIS (HIS/RHIS) is functional nationally, partially compliant with relevant data security regulations/policies, operated and maintained adequately, with little or no adherence to a digital health architecture and/or HIE framework, and partial interoperability with two or less of HIV, TB, Malaria, and community health data systems | The national digital HMIS (HIS/RHIS) is fully functional nationally, mostly compliant with relevant data security regulations/policies, operated and maintained adequately, with increasing adherence to a digital health architecture and/or HIE framework, with partial or full interoperability with HIV,TB, Malaria, and community health data systems | The national digital HMIS (HIS/RHIS) is fully functional nationally down to all health districts, compliant with relevant data security regulations/policies, operated and maintained adequately, adheres to a digital health architecture and/or HIE framework, and demonstrates core data exchange functions with HIV, TB, Malaria, and community health data systems |  |

# 5 criteria used to assess maturity level across the four dimensions- simplified but informative approach

| Dimension   | Criteria  | Possible answers | Score   |
|---|---|------------------|---|
| Data cyber-security, privacy, confidentiality   | Does the national HMIS software include password protected, role-based access protocols?  | Yes/No           | Yes = 1<br>No = 0   |
| Operations and maintenance capacity   | Is the national HMIS data backed up at minimum weekly?  | Yes/No           | Yes = 1<br>No = 0   |
| Interoperability readiness (architecture)   | Is there a national digital health (eHealth) architectural framework and/or health information exchange (HIE) established or being developed?   | Yes/No           | Yes = 1<br>No = 0   |
| Aggregate AND individual-level data exchange with some indicative GF programmatic M&E | Consider the status of the national HIV, TB, Malaria programme M&E data systems and the capacity of community health data systems. How many out of four are integrated or interoperable with the national HMIS? | 1, 2, 3, 4       | 0.25pt for each programmatic M&E data system with aggregate data exchange |
| data systems (HIV/AIDS, TB, malaria, community health)                                | For individual-level data in HIV, TB, malaria, and community health data systems, there is a common unique identifier (UID) scheme adopted and/or being used?   | Yes/No           | Yes = 1<br>No = 0   |

# KPI S6a: Secure, maintained, and interoperable HMIS



### Illustration

**Measure:** % countries with digital HMIS baseline maturity level of 3 or less that increased by at least one maturity level

**Numerator (N):** # of countries that increased maturity level by one or more

**Denominator (D):** # of countries in cohort

Step 1

**Calculate country score** 

as sum of score for each of the five assessment criteria

Step 2

by rounding score to one decimal place and assess if maturity level improved for a country compared to baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

#### **KPI performance (progress towards target)**

On track Result at target/milestone or lower by 10% (relative to target/milestone)

At risk Result below target/milestone by margin of 11%-20%

Off track Result below target/milestone by margin of 21% or more

Illustrative example for 2023

|   | illustrative example for 2023 |  |                          |                                      |                           |                                    |                                  |  |  |
|---|-------------------------------|--|--------------------------|--------------------------------------|---------------------------|------------------------------------|----------------------------------|--|--|
|   | Steps                         | Criteria   | Country A score          | Country B score                      | Count                     | ry C score                         | Country D score                  |  |  |
|   |                               | Q1   | 1                        | 1                                    | 1                         |                                    | 1                                |  |  |
|   |                               | Q2   | 1                        | 0                                    | 1                         |                                    | 1                                |  |  |
| , | Step 1                        | Q3   | 1                        | 1                                    | 0                         |                                    | 0                                |  |  |
|   |                               | Q4   | 0.75                     | 0.25                                 | 0.5                       |                                    | 1                                |  |  |
|   |                               | Q5   | 1                        | 0                                    | 0                         |                                    | 1                                |  |  |
|   |                               | Total country score (rounded)  | 4.8                      | 2.3                                  | 2.5                       |                                    | 4                                |  |  |
|   | Step 2                        | Country  | Baseline<br>score (2022) | Baseline<br>maturity<br>level (2022) | Latest<br>score<br>(2023) | Latest<br>maturity level<br>(2023) | Increase in HMIS maturity level? |  |  |
|   |                               | Country A  | 2.5                      | 3                                    | 4.8                       | 5                                  | Yes                              |  |  |
|   |                               | Country B  | 2.3                      | 3                                    | 2.3                       | 3                                  | No                               |  |  |
|   |                               | Country C  | 3                        | 3                                    | 2.5                       | 3                                  | No                               |  |  |
|   |                               | Country D  | 2                        | 2                                    | 4                         | 4                                  | Yes                              |  |  |
|   | Step 3                        | KPI result   | <b>50%</b> (=2/4)        |                                      |                           |                                    |                                  |  |  |
|   |                               | The ICDI to a company 1 to the order to the company of the company |                          |                                      |                           |                                    |                                  |  |  |

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Step 4

Compared to the milestone for 2023 at 20% of countries improving their HMIS maturity level, the KPI would be deemed on track as its result is higher than the milestone

#### **KPI Result interpretation:**

50% countries showed an improvement in HMIS maturity level compared to baseline

### **KPI** performance

On track

Result at target/milestone or lower by 10% (relative to target/milestone)

#### KPI S6b: Data driven decision making



Percentage of countries with data use maturity level of 3 or less that increased by at least one maturity level in terms of leveraging programmatic monitoring for data driven decision making

#### Characteristics

#### Definition



#### **Outcome**



Level 1 - global and in-country



Subset of portfoliopriority countries



**Existing GF data** source



**Countries showing** progress

#### Formula:

- Numerator: # countries that increased maturity level by one or more
- Denominator: Total # countries in cohort

Target: 90% of countries increase by at least one maturity level by end of Strategy (2028)

Cohort: All countries with a maturity level of 3 or less at baseline, limited to High Impact and Core countries, excluding acute emergency countries

Baseline: distribution of 51 High Impact and Core countries (excl acute emergency countries) on the 5-point data use maturity scale: "Level 1": 0 countries; "Level 2": 12 countries; "Level 3": 23 countries; "Level 4": 15 countries; "Level 5": 1 country. 2022 baseline year

Data source: Global Fund M&E systems country profile, questionnaire for profile completed by PRs and MOH teams

#### Reporting

Reported: Annually (Q4), against end Strategy target

**Interpretation of results (progress towards** target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

Disaggregation reported for this KPI: Country categorization: region, portfolio type, etc., Maturity level sub-indicators

#### Rationale for selection

Important: KPI measures key aspects of leveraging programmatic monitoring for data-driven decision-making, to inform efforts towards greater attention and resources for data use, in line with the GF Strategy and its implementation progress over time Integrated: KPI is going to be monitored as part of regular GF review on data use as part of M&E systems country profile Accountable: KPI measures a strategic area of grant investments being made in leveraging programmatic monitoring for datadriven decision making, which can potentially detect how GF is having influence on the overall performance of data-driven decisions. Note though that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: Grant performance monitoring processes allow for regular monitoring of the progress made and for course correction in a timely manner through country capacity development.

Available: Maturity model sub-indicators are specific, indicative, and easy to collect. Data is provided by MOHs and PRs, reviewed and verified by GF Public Health M&E Specialists (PHMEs) and available through M&E System Country Profile dashboards.

#### Considerations

It is a composite maturity model score and lower achievement in some of the aspects might be overlooked by overall good performance in other areas. Disaggregation by each of the subindicators can help to detect specific lower achievement and allow for mitigation actions to be taken.

#### **KPI S6b: Data driven decision making**

#### Additional details

Maturity scale description

| Nascent level (1)   | Limited level (2)   | Moderate level (3)   | Well-developed level (4)   | Sustainable level (5)  |
|---|---|--|--|--|
| Score: 0 - 1.0  | >1.0 – 2.0  | >2.0 – 3.0   | >3.0 – 4.0   | >4.0 – 5.0   |
| HIV, TB, malaria, RSSH, and CRG programmatic monitoring data are routinely reported but are not consistently being analyzed and used for decision-making. | At least 2 out of 5 criteria for data use for HIV, TB, malaria, RSSH, and CRG programmatic monitoring are met nationally for planning and decisionmaking. | At least 3 out of 5 criteria for data use for HIV, TB, malaria, RSSH, and CRG programmatic monitoring are met nationally for planning and decision-making. | At least 4 out of 5 criteria for data use for HIV, TB, malaria, RSSH, and CRG programmatic monitoring data are met nationally for evidence-based planning and decision-making. | All criteria for data use for HIV, TB, malaria, RSSH, and CRG programmatic monitoring data are met nationally and sub-nationally down to the health district level for planning and decision-making. |

Criteria used to assess maturity level

| enterial about to about initiality level  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|
| Dimension   | Criteria   | Possible ratings with scores for each area               | Max score (=1 for each dimension)  |  |  |  |  |
| National analysis, interpretation and communication of HTM epidemiological and programmatic data          | HTM, RSSH and CRG-related epidemiological and programmatic data are analyzed, interpreted and disseminated on annual basis, as per the national guidelines   |  | Max score for:<br>HIV= 0.25; TB = 0.25;<br>Malaria = 0.25;<br>RSSH = 0.15; CRG= 0.10   |  |  |  |  |
| Sub-national analysis, interpretation and communication of HTM epidemiological and programmatic data      | HTM epidemiological and programmatic data are analyzed, interpreted and disseminated semiannually in at least 50% of provinces and/ or district levels   | Very Strong:<br>Max score                                | Max score for:<br>HIV= 0.33; TB = 0.33;<br>Malaria = 0.33  |  |  |  |  |
| Evidence of use of HTM programmatic monitoring data for data driven decision making at national level     | Is there evidence that programmatic monitoring reports have been used to inform key data-driven strategic and/ or operational decisions at national level (e.g., subnational tailoring, risk stratification, prioritization of interventions, updated treatment guidelines, revised IEC/BCC strategy, updated commodity quantification, resource mobilization (funding requests), reprogramming & strategic shifts). | Strong:<br>0.75*Max score<br>Moderate:<br>0.50*Max score | Max score for:<br>HIV= 0.33; TB = 0.33;<br>Malaria = 0.33  |  |  |  |  |
| Evidence of use of HTM programmatic monitoring data for data driven decision making at sub-national level | Is there evidence that HTM programmatic monitoring reports have been used to inform key data-driven operational decisions at sub-national level (e.g., prioritization of interventions, targeting of supportive supervision, revised commodity planning, revised IEC/BC and community engagement approaches, outbreak response)  | Weak:<br>0.25*Max score<br>Very weak                     | Max score for:<br>HIV= 0.33; TB = 0.33;<br>Malaria = 0.33  |  |  |  |  |
| Evidence of use of RSSH & CRG programmatic monitoring data for data-drive decision making                 | Is there evidence that RSSH & CRG (equity, AGYW, etc.) monitoring reports have been used to inform key data-driven strategic and/ or operational decisions <i>This includes evidence of triangulation of programmatic data with that of financial, human resources, commodities and supply chain.</i>  | 0  | Max score for RSSH: National=0.25+Provincial=0.125 +District=0.125 Max score for CRG: National=0.25+Provincial=0.125 +District=0.125 |  |  |  |  |

#### **KPI S6b: Data driven decision making**

#### Illustration

**Measure:** % countries with data use maturity level of 3 or less that increased by at least one maturity level in terms of leveraging programmatic monitoring for data driven decision making

**Numerator (N):** # of countries that increased maturity level by one or more

Denominator (D): # of countries in cohort

Step 1

Calculate country score as sum of score for each of the assessment criteria

Step 2

Determine country Data use maturity level by rounding score to one decimal place and assess if maturity level improved for a country compared to baseline

Step 3

Calculate KPI Result as # countries that showed improvement divided by total # of countries in cohort

Step 4

**Determine KPI performance** against the milestone/target for the corresponding year

#### **KPI performance (progress towards target)**

On track Result at target/milestone or lower by 10% (relative to target/milestone)

At risk Result below target/milestone by margin of 11%-20%

Off track

Result below target/milestone by margin of 21% or more

#### Illustrative example for 2024

| Steps  | Criteria                  | Country A score          | Country B score                      | Count                     | ry C score                         | Country D score                            |
|--------|---------------------------|--------------------------|--------------------------------------|---------------------------|------------------------------------|--|
|        | Q1                        | 1                        | 1                                    | 1                         |                                    | 1  |
|        | Q2                        | 1                        | 0                                    | 1                         |                                    | 1  |
| Step 1 | Q3                        | 1                        | 1                                    | 0                         |                                    | 0  |
|        | Q4                        | 0.75                     | 0.25                                 | 0.5                       |                                    | 1  |
|        | Q5                        | 1_                       | 0                                    | 0                         |                                    | 1  |
|        | Total<br>country<br>score | 4.8                      | 2.3                                  | 2.5                       |                                    | 4  |
| Step 2 | Country                   | Baseline<br>score (2022) | Baseline<br>maturity<br>level (2022) | Latest<br>score<br>(2024) | Latest<br>maturity<br>level (2024) | Increase in<br>Data use<br>maturity level? |
|        | Country A                 | 2.5                      | 3                                    | 4.8                       | 5                                  | Yes  |
|        | Country B                 | 2.3                      | 3                                    | 2.3                       | 3                                  | No   |
|        | Country C                 | 3                        | 3                                    | 2.5                       | 3                                  | No   |
|        | Country D                 | 2                        | 2                                    | 4                         | 4                                  | Yes  |
| Step 3 | KPI result                | 50% (=2/4)               |                                      |                           |                                    |  |

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Step 4 Compared to the milestone for 2024 at 30% of countries improving their data use maturity level, the KPI would be deemed on track as its result is higher than the milestone

#### **KPI Result interpretation:**

50% countries showed an improvement in data use maturity level compared to baseline

#### **KPI** performance

On track



Result at target/milestone or lower by 10% (relative to target/milestone)

#### KPI S7: Use of disaggregated data for planning or decision making



Percentage of countries that have documented evidence of using required disaggregated data to inform planning or programmatic decision making for priority populations in HIV, TB and malaria

#### Characteristics

#### Definition

#### Reporting



Outcome



Level 1 – global and in-county



Subset of country portfolio



Existing GF data source



Countries meeting threshold

Formula:

- Numerator: # countries at or above threshold country score for "use" of disaggregated data
- <u>Denominator</u>: Total # countries in the cohort
- Threshold: 50% score at country level

**Target:** 80% countries meeting threshold for use of disaggregated data by end of Strategy (2028)

Cohort: All High Impact and Core countries, excluding acute emergency countries

Baseline: 68%, based on year 2021 and for High Impact countries only

**Data source**: Global Fund M&E systems country profile, questionnaire for profile completed by PRs and MOH teams

Reported: Annually (Q4), against end Strategy target

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., disease, disaggregation category

#### Rationale for selection

Important: In line with the strategic aim of provision of equity and equality in service provision to all groups, KPI measures if countries have required disaggregated data facilitating identification of priority populations in need of health services, and if available, whether disaggregated data is analyzed & used to inform planning and ongoing implementation <a href="Integrated">Integrated</a>: Indicator will become a part of M&E work to strengthen national HMIS systems

Accountable: KPI measures the contributory effort of GF and other partners towards ensuring availability and use of disaggregated data for planning and decision making

<u>Actionable</u>: Annual performance monitoring processes allows for regular monitoring of the progress made and for course correction in a timely manner

Available: Indicator was a KPI in GF 2017-2022 Strategy (KPI 6e) with data systems and processes in place for High Impact countries which will be extended to include Core countries. Data is provided by MOHs and PRs, reviewed and verified by GF Public Health M&E Specialists (PHMEs) and available through M&E System Country Profile dashboards.

#### Considerations

It is a composite score across 3 diseases.

Lower achievement in one disease might be covered by good performance of another disease. Disaggregation by disease can however detect specific lower achievement and allow for mitigation actions to be taken.

#### KPI S7: Use of disaggregated data for planning or decision making



#### **Additional details**

#### 8 Tracer indicators

#### HIV

- TCS-1.1<sup>(M)</sup>: % of people on ART among all people living with HIV at the end of the reporting period
- HIV O-12: % of people living with HIV and on ART who are virologically suppressed
- HIV O-10/HIV O-4a/HIV O-4.1b/HIV O-5/HIV O-9/HIVO-7: % of respondents who say they used a condom the last time they had sex with non-marital, non-cohabiting partner of those who have had sex with such a partner in the last 12 months (by population category)

#### TB

- TBDT-1(M): # of patients with all forms of TB notified (i.e., bacteriologically confirmed + clinically diagnosed); \*includes only those with new and relapse TB
- DRTB-3(M): % of people with confirmed RR-TB and/or MDR-TB that began second-line treatment
- TBDT-2(M): % of patients with all forms of TB, bacteriologically confirmed plus clinically diagnosed, successfully treated (cured plus treatment completed) among all TB patients notified during a specified period; \*includes only those with new and relapse TB

#### Malaria

- CM-1abc(M): % of suspected malaria cases that receive a parasitological test
- CM-2abc(M): % of confirmed malaria cases that received first-line antimalarial treatment

#### Disaggregation

- Dimensions of disaggregation considered are aligned with the prevailing disease epidemiologic context and include: Age; sex/gender; Key Populations; and Vulnerable Populations
- Within these dimensions, the actual disaggregation categories considered vary with the type of tracer indicator.
   Note that disaggregation categories considered are aligned with the globally and nationally agreed-upon essential disaggregation in HIV, TB and Malaria.

| <b>Disaggregation for HIV</b> NB: Age/Sex considered for all tracer indicators, KP only considered for "condom use" | Disaggregation for TB      | Disaggregation for Malaria     |
|---|----------------------------|--------------------------------|
| Males <15, >15  | Males <15, >15             | Children < 5 years             |
| Males 15-24, > 24   | Males 15-24, > 24          | Above 5 yrs (incl. 15-49 yrs)  |
| Females <15, >15  | Females <15, >15           | Pregnant women                 |
| Females 15-24, > 24   | Females 15-24, > 24        | Mobile and migrant populations |
| Key population - MSM  | Vulnerable pop.: Prisoners |                                |
| Key population - SW   | PLHIV                      |                                |
| Key population - TG   | children 0-4, 5-14yrs      |                                |
| Key population - PWID   |                            |                                |
| Key population - Prisoners  |                            |                                |
| Other -fisher folks   |                            |                                |
| Other - uniformed staff   |                            |                                |

#### Determination of "use" of disaggregated data

- <u>For use of disaggregated data in **planning**</u> assessments check the latest disease strategic plan or NSP for interventions and targets for priority populations/ required disaggregation
- For use of disaggregated data to inform ongoing **programmatic decision making** assessments check if quarterly/annual program/performance review report include priority populations/ required disaggregation

#### KPI S7: Use of disaggregated data for planning or decision making



#### Illustration

- Each tracer indicator has maximum possible disaggregations, however the disaggregation required within a disaggregation dimension (Age/Sex/Gender, KP, OVP) depends on a country's epidemiologic context.
  - If the indicator is disaggregated at the expected level in <u>at least one of the reviewed documents for a)planning; or b)decision-making</u>, this data point will be counted as "1" else it is "0".
- "Use score" for a tracer indicator for a)planning or b)decision-making is the percentage of required disaggregation that is available in the country.
  - At the country level for each disease, scores are aggregated for a)planning b)decision-making. This is done by taking an average of indicator scores for all tracer indicators for the disease.
  - Within each disease, a single "use" score is assigned to a country by taking the higher of the two scores between planning and programmatic decision making.
- The 3 country "use" scores in HIV, TB, & Malaria are then averaged (non-weighted across the 3 diseases) to determine a final country score

| 4                         | Ca  | Iculate <u>2024</u> KPI result  |
|---------------------------|-----|---|
| Latest score              |     | Score at or above threshold?  |
| Country A                 | 45% | No  |
| Country B                 | 60% | Yes   |
| Country C                 | 50% | Yes   |
| Country D                 | 22% | No  |
| KPI result                |     | 50% (= 2 countries out of 4 meet threshold)   |
| KPI result interpretation |     | <b>50%</b> countries use disaggregated data for planning or programmatic decision-making for priority populations in HIV, TB, Malaria |

| Of the max.12 disaggregations for tracer indicator, only 10 disaggregations are required for country A which forms the basis of calculating "Use score" |             |             |               |            |               |             |                 |            |           |       |                 |                  |       |
|---|-------------|-------------|---------------|------------|---------------|-------------|-----------------|------------|-----------|-------|-----------------|------------------|-------|
|   | Male<br><15 | Male<br>>15 | Female<br><15 | Female >15 | Male<br>15-24 | Male<br>>24 | Female<br>15-24 | Female >24 | Prisoners | PLHIV | Children<br>0-4 | Children<br>5-14 | Score |
| Planning  | 1           | 1           | 1             | 1          | 1             | 0           | 1               | 1          | 1         | 0     | N.A             | N.A              | 8/10  |
| Decision-<br>Making   | 1           | 1           | 1             | 1          | 1             | 1           | 0               | 0          | 0         | 0     | N.A             | N.A              | 6/10  |

|   |   |                         |        |        | /                                |  |  |  |  |
|---|---|-------------------------|--------|--------|----------------------------------|--|--|--|--|
|   | Use of required disaggregated data, by tracer indicator & usage, for Country A for TB |                         |        |        |                                  |  |  |  |  |
| _ | Tracer indicators ->  | TBDT-1                  | TBDT-2 | DRTB-3 | Average for all indicators       |  |  |  |  |
|   |   |                         |        |        |                                  |  |  |  |  |
|   | Planning  | 0%                      | 0%     | 80%    | <b>27%</b> (avg of 0%, 0%, 80%)  |  |  |  |  |
|   | Decision-Making   | 60%                     | 0%     | 60%    | <b>40%</b> (avg of 60%, 0%, 60%) |  |  |  |  |
|   | Usage score for TB (higher of scores for Planning and Decision-Making) 40%            |                         |        |        |                                  |  |  |  |  |
| ľ | Usage score for   | (higher of 40% and 27%) |        |        |                                  |  |  |  |  |

|               | HIV | ТВ  | Malaria | Country score: Average for all diseases |
|---------------|-----|-----|---------|---|
| Disease Score | 29% | 40% | 67%     | <b>45%</b> (avg of 29%, 40%, 67%)       |

#### Compare to milestone/target

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Compared to the milestone for 2024 at 58% of countries with score at threshold, the KPI would be deemed at risk as its result is below the milestone by a margin of 14% relative to milestone (50% vs 58%)

| 6          | Evaluate KPI performance |   |  |  |  |
|------------|--------------------------|---|--|--|--|
| KPI progre | ards target              |   |  |  |  |
| On track   | •                        | Result at target/milestone or lower by 10% (relative to target/milestone) |  |  |  |
| At risk    | •                        | Result below target/milestone by margin of 11%-20%                        |  |  |  |
| Off track  | •                        | Result below target/milestone by margin of 21% or more                    |  |  |  |

#### **KPI S8: On Shelf Availability (OSA)**



#### Percentage of health facilities with tracer health products available on the day of visit for HIV, TB & malaria respectively

#### Characteristics Definition Reporting Formula: Average of OSA scores across countries Reported: Annually (Q4), against 2025 target, and **Outcome** annually thereafter Target: Achieve OSA of at least 90% by 2025 and maintain annual 90% result till end Strategy (2028) for HIV, TB, malaria respectively Interpretation of results (progress towards Level 1 – global or target): Green if results at target/milestone or in-country **Cohort:** Countries: High Impact & Core countries based on the following criteria: within margin of 5% (relative to target/milestone); Subset of (1) highest burden and levels of investment for HIV, TB & Malaria; (2) In-Country Supply amber if below target/milestone by a margin of 6%portfolio – priority Chain Risk Rating, (3) level of PSM investment; 10%; red if below target/milestone by a margin of countries and 12 Product categories: HIV (Dx, Adult FLD, Pediatric FLD, Adult SLD, Pediatric SLD, VLD) 11% or more products TB (Dx, Adult FLD, Adult SLD), Malaria (Dx, FLD, SLD) Disaggregation reported for this KPI: Product **Existing GF data** Baseline: OSA for HIV=85%; TB=84%, Malaria=84%, based on Round 2 spot checks Group, Disease, Country categorization: region, source conducted in 2022. portfolio type, etc. Data source: electronic Logistics Management Information Systems (eLMIS) for countries Simple average with mature systems, on-site data collection for rest of the countries across cohort

#### Rationale for selection

Important: KPI is aligned to strategic objective of ensuring an uninterrupted availability of essential health products at health facilities

<u>Integrated</u>: KPI is monitored through the use of a regular reporting mechanism that also provides other timely data for assessing performance of Supply Chains

<u>Accountable</u>: KPI measures in-country supply chain performance of a GF supported activity in meeting the requirements of Health products availability in countries

Actionable: Results are available at least on a bi-annual basis and thus allow for course correction through established GF business processes

<u>Available</u>: Indicator was a KPI in GF 2017-2022 Strategy (KPI 6b) and thus easy to operationalize and will also allow GF to build on the learnings from the previous Strategy to strengthen performance in the area. Being an existing indicator, trend analysis is also possible, and it is easier to set baseline

#### Considerations

 OSA provides a snapshot of availability at health facilities but does not give an indication on the ability to prevent stock-outs. To provide a more complete picture on stock-outs, complementary information on Stocked According to Plan (SATP) and logistics management information system (LMIS) reporting rate will be provided

#### **KPI S8: On Shelf Availability (OSA)**



#### Illustration

**Measure:** Average of OSA scores across countries

Step 1

Calculate OSA at health facility for each product category

Step 2

Calculate OSA for each country across all product categories and health facilities for HIV, TB, malaria respectively

Step 3

**Calculate aggregate portfolio OSA** for HIV, TB, malaria respectively as average of country OSA

Step 4

**Determine KPI performance** 

# KPI performance (i.e progress towards target) Met Result at target/milestone or lower by 5% (relative to target/milestone) Partially met Result below target/milestone by margin of 6-10% Not met Result below target/milestone by margin of 11% or more

#### Illustrative example for 2025 for Country A HIV products

Health Facility 1 in country A

| Steps  | Product category |   | Available at day of visit | Numerator (HF with Product Available on day of visit)  |  |
|--------|------------------|---|---------------------------|--|--|
|        | HIV Dx           | HIV 1/2 - Determine HIV Combo<br>Kit - no accessories - 100 tests             | Yes                       | Yes (NB: Availability of any of the 2 tests in a health facility yields 1  |  |
| Step 1 | HIV Dx           | HIV 1+2 - Determine Complete<br>HIV Kit - accessories included -<br>100 tests | No                        | point (Yes) which counts for the numerator. No extra point given if more than 1 test is available in a health facility |  |

**Country A HIV OSA** 

| Steps  | Product category               | # HFs where<br>tracer products<br>expected to be<br>available | # of tracer product specifications | Numerator<br>OSA | Denominator<br>OSA | OSA % |
|--------|--------------------------------|---|------------------------------------|------------------|--------------------|-------|
|        | HIV Dx                         | 52  | 2                                  | 26               | 52                 | 50%   |
| Step 2 | HIV FLD                        | 50  | 4                                  | 40               | 50                 | 80%   |
|        | Country A HIV OSA score (n/d): |   |                                    | <b>66</b> n      | <b>102</b> d       | 65%   |

Portfolio HIV OSA

| Steps  | Country                        | Disease | OSA %                           |  |
|--------|--------------------------------|---------|---------------------------------|--|
|        | Country A                      | HIV     | 65%                             |  |
|        | Country B                      | HIV     | 80%                             |  |
| Step 3 | Country C                      | HIV     | 66%                             |  |
|        | Country D                      | HIV     | 63%                             |  |
|        | KPI result (average OSA score) |         | 69%                             |  |
| Step 4 | KPI performance                |         | 69% against 90% target for 2025 |  |

#### **KPI** Result interpretation:

In 2025, average OSA in countries was 69% which implies that at least one HIV tracer product for each product category was available in the 69% of the health facilities assessed

#### Not met Result below

Result below target/milestone by margin of 11% or more

#### **KPI S9: Supply continuity**



#### Percentage of priority products with the desired number of suppliers that meet Quality Assurance requirements

#### Characteristics

#### Characteristics



Output



Level 1 – global or in-country



Specific cohort of priority products



Existing GF data source & Partner data



Products meeting threshold

#### Definition

#### Formula:

- <u>Numerator</u>: # of products achieving threshold for number of active\* suppliers that meet Quality Assurance requirements
- Denominator: Total # products
- Threshold:4 or more suppliers for high volume products; 2 or more suppliers for low volume products
- \* Suppliers are considered active if they produce the respective product or are committed to making production capacity available as per supplier performance reviews.

**Target:** 90% of priority products have desired number of suppliers that meet quality assurance requirements, assessed annually

**Cohort:** WHO-recommended 1st & 2nd line ARVs, ACTs, LLINs, TB products agreed with Stop TB. List of products revised annually

Baseline: 96% for the year 2021 (this does not include TB products)

Data source: Quality Assurance list, Supplier performance reviews, StopTB database

#### Reporting

Reported: Annually (Q1/Q2), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

**Disaggregation reported for this KPI:** Product cohort (high vs low volume), Product type (LLINs, ART etc.), Product, Disease

#### Rationale for selection

<u>Important</u>: Promotes equitable access to quality health products by ensuring there are multiple quality-assured manufacturers

<u>Integrated</u>: Indicator is monitored as part of GF Supply Operations results framework. Integration of TB products is done through a collaboration with Stop TB/GDF which has recently been initiated

Accountable: KPI is a measure of GF performance in maintaining supplier continuity with some direct level of GF influence through GF policies & business processes. For TB, this extends to the partnership with Stop TB/GDF Actionable: Actionable through work with partners on market shaping, and directly through Global Fund ERP mechanism / Global Fund business processes where relevant

<u>Available</u>: Being a KPI in the GF 2017-2022 Strategy (KPI 12a), data is readily available and thus it is easy to operationalize

#### Considerations

- Only focuses on core products and not on the entire health product portfolio
- Focusing on one dimension of what makes markets healthy.

#### **KPI S9: Supply continuity**



#### Illustration

**Measure:** % of priority products with the desired number of suppliers meeting quality assurance requirements

| Determine the # of products in each cohort (High and Low volume) (D) | Count the # of products with desired* number of suppliers that meet quality assurance requirements (N) | Compute KPI r<br>( <b>N/D)</b> |
|--|--|--------------------------------|
| 3-step process   | \ <b>\</b>   | ₩                              |

| KPI performance (i.e progress towards target) |   |   |  |  |  |  |
|---|---|---|--|--|--|--|
| Met   | • | Result at target or within margin of 10% (relative to target) |  |  |  |  |
| Partially met                                 |   | Result below target by margin of 11%-20%                      |  |  |  |  |
| Not met                                       |   | Result below target by margin of 21% or more                  |  |  |  |  |

#### Illustrative example for 2023

| Cohort                    | Agreed list of Products                               | # Suppliers | 2023 KPI Result                        |
|---------------------------|---|-------------|--|
|                           | 1. TLE 300/300/600mg, 30 tablet                       | >=4         |  |
|                           | 2. TLE 300/200/600mg, 30 tablet                       | >=4         |  |
| High                      | 3. TEE 300/300/400mg, 30 tablet                       | <4          |  |
| volume products           | 4. TLD 300/300/50mg, 30 tablet <sup>2</sup>           | >=4         | Percentage of                          |
|                           | 5. Dolutegravir 50mg, 30 tablet                       | >=4         | quality assured products ( <b>with</b> |
|                           | 6. HIV tests  | <4          | desired number of                      |
|                           | 7. Abacavir/Lamivudine 120/60mg tablet dispersible 30 | >=2         | suppliers)                             |
|                           | 8. Lopinavir/Ritonavir 100/25mg, 60 tablet            | >=2         | 9/12= <b>75%</b> , against             |
| Low<br>volume<br>products | 9. Lamivudine/Tenofovir 300/300mg, 30 tablet          | >=2         | a target of 90%                        |
|                           | 10. Emtricitabine/Tenofovir 200/300mg, 30 tablet      | <2          |  |
|                           | 11. Artesunate injectables                            | >=2         |  |
|                           | 12. Malaria RDTs combo (Pf/Pv, Pf/Pan)                | >=2         |  |

#### **KPI** Result interpretation:

result

In 2023, **75%** of priority products had desired number of suppliers meeting quality assurance requirements

## Partially met Result below target by margin of 11%-20%

<sup>\*</sup>Threshold is at least 4 suppliers for High Volume products, and at least 2 suppliers for Low Volume products.

#### **KPI S10: Introduction of new products**

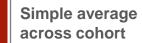


#### Percentage of new products introduced, from an agreed list of new products

# Characteristics Output Level 1 – global or in-country







#### Definition

#### Formula:

- <u>Numerator</u>: # products that have become eligible and available for country procurement
- <u>Denominator:</u> Total new products to be introduced in the year

**Target:** 80% of new products available for country procurement, assessed annually

**Cohort:** Agreed set of new products recommended for introduction –Revised annually in alignment with external partners

Baseline: not available (new KPI)

Data source: KPI specific database

#### Reporting

Reported: Annually (Q1/Q2), against annual target

Interpretation of results (progress towards target):

Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

**Disaggregation reported for this KPI:** Disease, product type

#### Rationale for selection

<u>Important</u>: KPI is measuring an important aspect of market shaping strategy, i.e., accelerating new health product introduction in countries

Integrated: KPI will be used to support monitoring of GF NextGen Market Shaping initiative.

Accountable: GF is a key stakeholder, working with technical partners, governments and incountry implementers to successfully introduce new health products and collaborating especially with Unitaid to accelerate the introduction and scale up of health product innovations Actionable: GF Country Teams and supply operations specialists are expected to work with industry, technical partners, and regional/in-country partners to support and facilitate successful health product introduction

<u>Available</u>: GF will work to ensure the availability of the target list of products, aligned internally and externally. Data for global anticipated demand / timeline for introduction needs to be developed through a rigorous process including GF, partners and in-country stakeholders

#### Considerations

• KPI measures the number of products introduced rather than the volume introduced, so it might count as "positive" cases where the product was introduced even if there was limited volume uptake. It is proposed to mitigate this aspect by developing a process to define and collect data measuring countries' anticipated volumes & volume delivered, in the first 3 years of the Strategy. If this process is successfully developed by mid-Strategy, it is proposed to revise this KPI to measure volume uptake of each product on the agreed list versus a target (e.g., X products have reached a Y% of the total planned countries' volume within a given time horizon; which can be disaggregated into Z% of countries have achieved the A% of the planned volume (to reflect how equitably the products have been introduced across the portfolio).

#### **KPI S10: Introduction of new products**



#### Illustration

3-step

process

**Measure:** % of new products introduced, from an agreed list of new products

Determine the # of new products to be introduced (D)

Count # products that have become eligible and available for country procurement (N)

Compute KPI result (N/D)

**- 以** 

Result at target or within margin of 10% (relative to target)

Result below target by margin of 11%-20%

Result below target by margin of

21% or more

**KPI** performance (i.e progress towards target)

#### Illustrative example

|         | priority new products to be introduced in given year cific product pipeline to be agreed in 2022) | Product available? | 2023 KPI Result         |
|---------|---|--------------------|-------------------------|
|         | Product 1   | Yes                |                         |
| HIV     | Product 2   | No                 |                         |
|         | Product 3   | Yes                | Percentage of products  |
|         | Product 4   | No                 | introduced in line with |
| ТВ      | Product 5   | Yes                | an agreed list          |
|         | Product 6   | Yes                | 7/9= <b>78%</b> ,       |
|         | Product 7   | Yes                | against a target of 80% |
| Malaria | Malaria Product 8   | Yes                |                         |
|         | Product 9   | Yes                |                         |

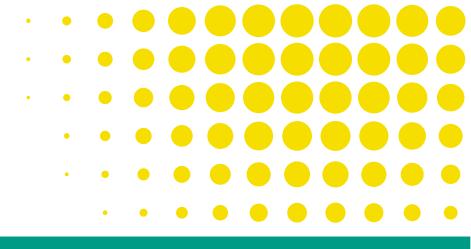
#### **KPI Result interpretation:**

Not met

In 2023, **78%** of new products were introduced (i.e., made available for country procurement)

| KPI performance |  |   |  |  |  |
|-----------------|--|---|--|--|--|
| Met             |  | Result at target or within margin of 10% (relative to target) |  |  |  |





## Equity, Human Rights and Gender



#### KPI E1: Scale up of programs to address Human Rights-related barriers



Percentage of countries with increases in scale of programs to reduce Human Rights-related barriers for a) HIV; b) TB; c) Malaria respectively

# Characteristics Output Level 1 – global and in-country Subset of portfoliopriority countries New GF data source

#### Definition

#### Formula:

- <u>Numerator</u>: # countries showing an increase in scale of programs from baseline for HIV, TB, malaria respectively
- <u>Denominator:</u> Total countries in reporting period for HIV, TB, malaria respectively

**Target:** 50% of countries in cohort show increase in scale of programming from baseline for a comprehensive response to human rights barriers to HIV, TB, malaria services respectively, for 2023-2025 Allocation Period. TBC in Spring 2026 for 2026-2028 Allocation Period

**Cohort:** For HIV: receiving Human Rights Matching Funds in relevant Allocation Period; for TB: all TB SI countries among those receiving Matching Funds in relevant Allocation Period; for malaria: Kenya, Uganda

**Baseline:** staggered baseline data provided by countries at time of Funding Request submission for 2023-2025 Allocation Period. 2025 results serve as baseline for 2026-2028 Allocation Period

Data source: Funding Request, annual reports from Technical Assistance providers

Reporting

Reported: Annually (Q1/Q2), assessed annually

Interpretation of results (progress towards target) for HIV, TB, malaria respectively:

Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.), Disease, Program area

#### Rationale for selection

progress

**Countries showing** 

Important: Tracks progress on scale up of comprehensive programs to remove Human Rights and gender-related barriers across the GF portfolio. While the level of result stays at output / coverage, the KPI is positioned at the nexus of most informative and feasible in terms of timeliness and actionability

Integrated: Aligned with societal enabler 10-10-10 targets in the Global AIDS Strategy and 2021 Political Declaration. KPI will also be aligned with GF Human Rights risk indicators, allowing for triangulation

<u>Accountable</u>: Increase in scale of GF-funded Human Rights programs is directly attributable to GF, contributing in turn to broader national comprehensive responses.

Actionable: Results provide information to guide comprehensive national responses in line with the Global Partnership on stigma and discrimination co-convened by GF, as well as grant implementation and oversight for GF-funded Human Rights programs. Results over the Strategy period will capture increases since baseline as well as annual progress or regress, allowing for quick corrective actions

Available: Baseline data available through Funding Requests. Human rights risk assessments will be an additional data source. Annual scores will come from reports of technical assistance providers funded from the Human Rights Strategic Initiative

#### Considerations

 KPI cohort and target setting are dependent on Replenishment outcomes and on conditions to access Matching Funds

#### KPI E1: Scale up of programs to address Human Rights-related barriers



#### **Additional details**

#### Program areas assessed for each disease

| Program areas assessed for each disease  |
|--|
| Program areas  |
| HIV  |
| Eliminating stigma and discrimination in all settings  Legal literacy ("know your rights")                                   |
| Ensuring nondiscriminatory provision of health care  |
| Increasing access to justice   |
| Ensuring rights-based law enforcement practices  |
| Improving laws, regulations and polices relating to HIV and HIV/TB   |
| Reducing HIV-related gender discrimination, harmful gender norms and violence against women and girls in all their diversity |
| Community mobilization and advocacy for Human Rights   |
| ТВ   |
| Eliminating TB-related stigma and discrimination   |
| Ensuring people-centered and rights-based TB services at health facilities   |
| Ensuring people-centered and rights-based law enforcement practices  |
| Legal literacy ("know your rights")  |
| Increasing access to justice   |
| Monitoring and reforming laws, regulations and policies  |
| Addressing needs of people in prisons and other closed settings  |
| Reducing TB-related gender discrimination, harmful gender norms and violence   |
| Community mobilization and advocacy, including support to TB survivor-led groups   |
| Malaria  |
| Reducing gender related discrimination and harmful gender norms  |
| Promoting meaningful participation of affected populations   |
| Strengthening community systems for participation  |
| Monitoring and reforming laws, regulations and policies  |
| Improving access to services for underserved populations   |

#### Rating scale for assessing program areas

services.

| I | Score Rating  |   | Definition   |  |  |  |  |
|---|---|---|--|--|--|--|--|
|   | 0.00 - 0.99   | 0   | No formal programs or activities identified.   |  |  |  |  |
|   | 1.00 - 1.99   | 1.0   | One-off activities that are time-limited, pilot initiative.  |  |  |  |  |
|   | 2.00 - 2.29   | 2.0   | Small scale on-going initiative with limited geographic scale (e.g., a single or small number of locations – less than 20% of national scale) and capacity for reaching <35% of targeted population.   |  |  |  |  |
|   | 2.30 - 2.59   | 2.3   | Small scale on-going initiative with limited geographic scale (e.g., a single or small number of locations – less than 20% of national scale) and capacity for reaching 35-65% of targeted population. |  |  |  |  |
|   | 2.60 - 2.99   | 2.6   | Small scale on-going initiative with limited geographic scale (e.g., a single or small number of locations – less than 20% of national scale) and capacity for reaching >65% of targeted population.   |  |  |  |  |
|   | 3.00 - 3.29   | 3.0   | Operating at subnational level (btw 20% to 50% national scale) and reaching <35% of targeted population  |  |  |  |  |
|   | 3.30 - 3.59 3.3   |   | Operating at subnational level (btw 20% to 50% national scale) and reaching 35-65% of targeted population  |  |  |  |  |
|   | 3.60 - 3.99   | 3.6   | Operating at subnational level (btw 20% to 50% national scale) and reaching >65% of targeted population  |  |  |  |  |
|   | 4.00 - 4.29   | 4.0   | Operating at national level (>50% of national scale) and reaching <35% of targeted population  |  |  |  |  |
|   | 4.30 - 4.59   | 4.3   | Operating at national level (>50% of national scale) and reaching 35-65% of targeted population  |  |  |  |  |
|   | 4.60 - 4.99   | 4.6   | Operating at national level (>50% of national scale) and reaching >65% of targeted population  |  |  |  |  |
|   | 5 5   |   | At scale is defined as more than 90% of national scale, where relevant, and more than 90% of the population  |  |  |  |  |
|   | Program is assessed to have achieved the goal when there is impact on service continuum |   |  |  |  |  |  |
|   | Goal  | act on services continuum is defined as:  Human rights programs at scale for all populations; and  Plausible causal links between programs, reduced barriers to services and increased access to HIV/TB |  |  |  |  |  |

- Scores across all program areas for a disease are averaged to get the country score for that disease, which translate to a rating to get an indication of the scale and capacity of the disease programs
- · Unified guidance and tool, also to be used for Human Rights risk assessments
- Trained TA providers to support in-country consultative process that will assign the scores. Community of Practice for TA providers to facilitate alignment in application of guidance and scoring

#### KPI E1: Scale up of programs to address Human Rights-related barriers



#### Illustration

**Measure:** % of countries with increases in scale of programs to reduce Human Rights-related barriers for HIV, TB, malaria services respectively

**Numerator (N):** # countries showing an increase in scale of programs from baseline for HIV, TB, malaria respectively **Denominator (D):** # of countries in the cohort for the reporting period for HIV, TB, malaria respectively

Step 1

**Determine score for each program area** for each disease within the country

Step 2

Calculate country score for each disease as average of scores across the relevant program areas which translate to a rating

Step 3

Assess if latest country rating for the disease improved for each country in the portfolio compared to baseline

Step 4

**Determine KPI Result** as % countries showing improvement in rating

| KPI performa   | KPI performance (progress towards target)        |   |  |  |  |
|--|--|---|--|--|--|
| On track   |  | Result at target or lower by 10% (relative to target) |  |  |  |
| At risk  | At risk Result below target by margin of 11%-20% |   |  |  |  |
| Off track Result below target by margin of 21% or more |  |   |  |  |  |

#### Illustrative example with HIV for 2024, Allocation Period 2023-2025

| Steps  | HIV Program area   | Country A                                   | Country B | Country C | Country D |
|--------|--|---|-----------|-----------|-----------|
|        | Eliminating stigma and discrimination in all settings  | 1.0   | 1.0       | 1.0       | 2.3       |
|        | Legal literacy ("know your rights")  | 2.0   | 2.0       | 2.0       | 1.6       |
|        | Ensuring nondiscriminatory provision of health care  | 4.6   | 2.6       | 1.0       | 2.6       |
|        | Increasing access to justice   | 3.0   | 3.0       | 2.0       | 2.6       |
| Step 1 | Ensuring rights-based law enforcement practices  | 3.0   | 4.0       | 2.0       | 3.6       |
| ,      | Improving laws, regulations and polices relating to HIV and HIV/TB   | 0   | 2.3       | 2.3       | 2.3       |
|        | Reducing HIV-related gender discrimination, harmful gender norms and violence against women and girls in all their diversity | 2.3   | 2.3       | 2.0       | 2.3       |
|        | Community mobilization and advocacy for Human Rights   | 3.3   | 1.0       | 1.3       | 1.3       |
| Cton 2 | Country HIV score (average of program area scores)   | 2.4   | 2.2       | 1.7       | 2.3       |
| Step 2 | Country HIV score translated to a rating   | 2.3   | 2.0       | 1.0       | 2.3       |
| Step 3 | Baseline rating (2023)   | 2.3   | 1.0       | 3.0       | 2.6       |
| siep s | Increase in rating?  | No  | Yes       | No        | No        |
| Step 4 | KPI result   | 25% (=1/4) against a target of 50% for 2024 |           |           | or 2024   |

#### **KPI** Result interpretation:

At end 2024, 25% of countries have showed an increase in scale of comprehensive programming to reduce Human Rights-related barriers for HIV, compared to baseline

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 21% or more |

#### **KPI E2a: Reaching marginalized sub-populations**



#### Percentage of countries with at least half of the custom equity indicators having performance of 90% or more

#### Definition Characteristics Reporting Reported: Annually (Q4), against annual target Formula: **Outcome** Numerator: # countries achieving the threshold Denominator: Total # countries in cohort for the reporting period Interpretation of results (progress towards target): Level 2 - GF Threshold: At least half of the custom equity indicators have performance of Green if result at target or within margin of 10% (relative supported to target); amber if below target by a margin of 11%-20%; 90% or more programs red if below target by a margin of 21% or more Target: 70% countries have at least half of the custom equity indicators with a Subset of country performance of 90% or more, assessed annually Disaggregation reported for this KPI: Country portfolio categorization: region, portfolio type, etc., Disease, equity **Cohort:** All High Impact and Core countries and priority Focused countries dimension **Grant reporting** Baseline: not available (new KPI) **Countries meeting** threshold Data source: routine grant reporting

#### Rationale for selection

Important: KPI tracks performance in specific areas of inequity for specific sub-populations over time. Delivering for marginalized sub-populations is an essential component in addressing health inequities - a key element of the next Global Fund Strategy

<u>Integrated</u>: KPI uses custom indicator(s) that map to existing grant performance indicators, with countries identifying a sub-population indicator that they will set a target for and track. The results of this sub-population indicator (KPI E2a) will be compared to progress against the population-level indicator in KPI E2b, because for a country to meaningfully reduce inequities, a country should have both good performance of custom equity indicators, and the custom equity indicator results should improve at a faster rate than the population-level indicator.

Accountable: KPI measures outcomes of activities supported through GF grants. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: KPI will provide highly relevant context-specific information about inequities, allowing GF country teams to use the information to adapt interventions based on performance. The annual scores would allow for trend analysis. The result of the indicator can be influenced within the Strategy period

<u>Available</u>: KPI will be based on custom indicator(s) measuring area of inequity(ies) for each country in the cohort. The custom indicator will be based on a standard grant performance indicator measuring performance for all populations and thus data will be available through regular GF reporting

#### Considerations

 Identification of priority area is based on Equity analysis undertaken by the country

#### **KPI E2a: Reaching marginalized sub-populations**



#### Illustration

| 1 Identify indicators during grant making  |   |  |  |  |  |  |
|--|---|--|--|--|--|--|
| Step   | Example   |  |  |  |  |  |
| Local equity analysis for each disease component identifies priority area(s) of inequity in a country                | <u>Country A</u> identifies that young, female sex workers are being left behind in HIV prevention coverage   |  |  |  |  |  |
| Country identifies a standard MF indicator that measures performance in the priority area for reference population   | <u>Country A</u> selects MF indicator: "Percentage of sex workers reached with HIV prevention programs - defined package of services"   |  |  |  |  |  |
| Country defines an equity indicator to focus on a specific cohort within the priority area                           |   |  |  |  |  |  |
| At end of grant making, Country includes two sets of equity indicator(s) in grant(s) paired with standard indicators | Country A includes: HIV standard indicator SH paired with equity indicators H1 & H2 resp. in Grant HA; and TB standard indicator ST paired with equity indicators T1 & T2 resp. in Grant TA |  |  |  |  |  |
| 2 Assess equity in   | dicator performance in <u>2025</u>  |  |  |  |  |  |
| Step   | Example   |  |  |  |  |  |
| Calculate equity indicator performance as achievement of results against the targets                                 | If in 2025, Indicator H1 result is 2250 against a target of 2500, then the 2025 Indicator H1 performance will be <b>90%</b> (=2250/2500)  |  |  |  |  |  |
| Calculate country score by assessing if it meets the threshold   | If a country has at least half of the equity indicators performing at or above 90%, it gets a score of 1 else it gets a score of 0.   |  |  |  |  |  |
|  | See <b>top right</b> for example. NB: if same indicator is repeated in multiple grants, results are   |  |  |  |  |  |

place

aggregated ensuring no double counting takes

| 2 | Country A equity indicators  | Year | Result<br>(R) | Target<br>(T) | Performance<br>(R/T) | Performance >=90% |
|---|--|------|---------------|---------------|----------------------|-------------------|
|   | Equity Indicator H1  | 2025 | 2250          | 2500          | 90%                  | Yes               |
|   | Equity Indicator H2  | 2025 | 1065          | 1500          | 71%                  | No                |
|   | Equity Indicator T1  | 2025 | 60%           | 80%           | 75%                  | No                |
|   | Equity Indicator T2  | 2025 | 75%           | 90%           | 83%                  | No                |
| • | Country A score based on whether at least half of the equity indicators have performance >=90% |      |               |               |                      |                   |
|   |  |      |               |               |                      |                   |

| 3 |                           | Calculate 2025 KPI result  |
|---|---------------------------|--|
|   | Country                   | Score  |
|   | Country A                 | 0  |
|   | Country B                 | 1  |
|   | Country C                 | 1  |
|   | KPI result                | 67% (=2/3) against target of 70%   |
|   | KPI result interpretation | In 67% of countries at least half of the equity indicators have performance of 90% or more |
| 4 |                           | Evaluate KPI performance   |
|   |                           | KPI progress towards target  |
|   | Met                       | Result at target or lower by 10% (relative to target)                                      |
|   | Partially met             | Result below target by margin of 11%-20%   |

Not met

Result below target by margin of 21% or more

#### **KPI E2b:** Reducing inequities in HTM



Percentage of countries with at least half of the custom equity indicators showing a faster progression compared to the standard indicator

# Characteristics Outcome Level 2 – GF supported programs Subset of country portfolio Grant reporting

Definition

#### Formula:

- Numerator: # countries achieving the threshold
- Denominator: Total # countries in cohort for the reporting period
- <u>Threshold</u>: At least half of the custom equity indicators show change in result greater than standard indicator

**Target:** 70% countries have at least half of the custom equity indicators showing faster progression compared to standard indicator, assessed annually

**Cohort:** All High Impact and Core countries and priority Focused countries

Baseline: not available (new KPI)

Data source: Routine grant reporting

Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

**Disaggregation reported for this KPI:** Country categorization: region, portfolio type, etc., Disease, equity dimension

#### Rationale for selection

threshold

**Countries meeting** 

**...** 

Important: KPI tracks faster progression in specific sub-populations compared to general population in specific inequity areas over time. This demonstrates a reduction in the gap between marginalized sub-populations and the general population – i.e., whether inequities are actually reduced on the ground

<u>Integrated</u>: KPI uses custom indicator(s) that map to existing grant performance indicators, with countries identifying a sub-population indicator that they will set a target for and track. The results of this sub-population indicator (KPI E2a) will be compared to progress against the population-level indicator in KPI E2b, because for a country to meaningfully reduce inequities, a country should have both good performance of custom equity indicators, and the custom equity indicator results should improve at a faster rate than the population-level indicator.

Accountable: KPI measures outcomes of activities supported through GF grants. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country. Actionable: KPI provides context-specific information about inequities, allowing GF country teams to use the information to adapt interventions based on performance. The annual scores would allow for trend analysis. The result of the indicator can be influenced within the GF Strategy period Available: KPI will be based on custom indicator(s) measuring area of inequity(ies) for each country in the cohort. The custom indicator will be based on a standard grant performance indicator measuring performance for all populations and thus data will be available through regular GF reporting

#### Considerations

 Identification of priority areas is based on Equity analysis undertaken by the country

#### **KPI E2b:** Reducing inequities in HTM



#### Illustration

1 Identify indicators during grant making

| Step   | Example   |
|--|---|
| Local equity analysis for each disease component identifies priority area(s) of inequity in a country                | <u>Country A</u> identifies that young, female sex workers are being left behind in HIV prevention coverage   |
| Country identifies a standard MF indicator that measures performance in the priority area for reference population   | <u>Country A</u> selects MF indicator: "Percentage of sex<br>workers reached with HIV prevention programs -<br>defined package of services"   |
| Country defines an equity indicator to focus on a specific cohort within the priority area                           | <u>Country A</u> defines custom indicator: "Percentage of <u>female</u> sex workers reached with HIV prevention programs - defined package of services in the <u>age</u> group of 21-25"    |
| At end of grant making, Country includes two sets of equity indicator(s) in grant(s) paired with standard indicators | Country A includes: HIV standard indicator SH paired with equity indicators H1 & H2 resp. in Grant HA; and TB standard indicator ST paired with equity indicators T1 & T2 resp. in Grant TA |

Assess progress rate for reference population and equity indicator in 2025

| Step  | Example   |  |  |  |  |
|---|---|--|--|--|--|
| Calculate rate of progress as relative change in results compared to baseline | If in 2025, Indicator H1 had result of 2500 vs 2250 at baseline, then progress rate is 11%  |  |  |  |  |
| Calculate country score by assessing if it meets the threshold                | If a country has least half of the equity indicators progressing at a faster rate than corresponding reference population standard indicator, it gets a score of 1 else it gets a score of 0. |  |  |  |  |
|   | See <b>top right</b> for example. NB: if same indicator is repeated in multiple grants, results are aggregated  |  |  |  |  |

| Indicators                        | Baseline          | 2025<br>Result  | Change in results |            | Custom indicator shows faster progression vs standard indicator? |  |
|-----------------------------------|-------------------|-----------------|-------------------|------------|--|--|
| Standard SH                       | 6000              | 7000            |                   | 17%        | -  |  |
| Equity Indicator H1               | 2250              | 2500            |                   | 11%        | No   |  |
| Equity Indicator H2               | 900               | 1065            |                   | 18%        | Yes  |  |
| Standard ST                       | 75%               | 80%             |                   | 7%         | -  |  |
| Equity Indicator T1               | 55%               | 60%             |                   | 9%         | Yes  |  |
| Equity Indicator T2               | 75%               | 75%             | 0%                |            | No   |  |
| Country A score based progression | on if at least ha | If of the indic | ators s           | how faster | 1 (Yes, 50% or 2/4)  |  |

|   |                           |  | /  |  |  |  |  |  |
|---|---------------------------|--|--|--|--|--|--|--|
| 3 | 3)                        |  | Determine 2025 KPI result  |  |  |  |  |  |
|   | Country                   |  | Score  |  |  |  |  |  |
|   | Country A                 |  | 1  |  |  |  |  |  |
|   | Country B                 |  | 0  |  |  |  |  |  |
|   | Country C                 |  | 1  |  |  |  |  |  |
| _ | KPI result                |  | 67% (=2/3) against target of 70%   |  |  |  |  |  |
|   | KPI result interpretation | l  | In 67% of countries at least half of the equity indicator results are progressing at a faster rate than the corresponding reference population standard indicators |  |  |  |  |  |
| 2 | 1)                        |  | Evaluate KPI performance   |  |  |  |  |  |
|   |                           |  | KPI progress towards target  |  |  |  |  |  |
|   | Met                       | Res                                      | ult at target or lower by 10% (relative to target)   |  |  |  |  |  |
|   | Partially met             | Result below target by margin of 11%-20% |  |  |  |  |  |  |
|   | Not met                   | Res                                      | ult below target by margin of 21% or more  |  |  |  |  |  |
| _ |                           |  | un de la companya de   |  |  |  |  |  |

#### KPI E3a: Advancing gender equality in HTM – engagement in grant cycle



Satisfaction of women and gender-diverse communities with engagement across the grant cycle consistently at acceptable level

#### Characteristics



#### Definition

#### Formula:

- Numerator: # grant cycle stages with scores achieving the threshold
- Threshold: 75% minimum satisfaction score

Target: 3 stages (out of 3), i.e., each stage of the grant cycle has at least 75% satisfaction level, assessed annually

Cohort: all countries receiving Global Fund allocation in relevant Allocation Period

Baseline: not available (new KPI)

Data source: Standardized survey conducted at different stages across grant cycle

#### Reporting

Reported: Annually (Q1/Q2) against annual target

Interpretation of results (progress towards target): Green if score>= 75% for 100% of stages; amber if score >= 75% for 50% or more of stages; red if score>=75% for less than 50% of stages

Disaggregation reported for this KPI: Region, key and vulnerable populations, grant cycle stages

#### Rationale for selection

Stages at

threshold

Important: KPI E3a measures the meaningful engagement of women and gender-diverse communities across the GF grant cycle. Meaningful engagement and representation is critical to achieving our strategy ambition to advance gender equality, and in particular our commitment to promoting the role of women and gender-diverse communities in the design and implementation of programs.

Integrated: KPI is based on data that will be collected for KPI C1. KPI E3a and KPI E3b are complementary indicators that work together to assess two interlinked areas necessary for the effective integration of gender across our work: grant performance on gender equality and the meaningful representation of women and gender-diverse communities in decision-making Accountable: Measures the level of satisfaction among women and gender-diverse communities with their engagement throughout the grant cycle - GF thus has a reasonable level of accountability and influence on the result Actionable: Satisfaction levels of women and gender-diverse communities can be used to guide GF engagement processes. Annual scores allow for trend analysis and the result can be influenced within the Strategy period. Recognizing the limitations of quantitative indicators in measuring complex areas such as outcomes of community engagement, the KPI is to be complemented by periodic thematic evaluation.

Available: The data can be available through the annual survey administered for KPI C1

#### Considerations

- Achieving and maintaining a representative and inclusive sample in each country (e.g., across diseases, KVPs, age, gender, geographies) may be a challenge as well.
- The term "satisfaction" has a high degree of subjectivity, open to interpretation by respondents. Satisfaction scores should thus be seen as indicative rather than representative and interpretation needs to be complemented with other insights and community-led thematic evaluations

#### KPI E3a: Advancing gender equality – engagement in grant cycle



#### Illustration

**Measure:** Satisfaction of women and gender-diverse communities with engagement across the grant cycle consistently at acceptable level

Step 1

Calculate satisfaction score

for each survey responded to by women & gender-diverse communities

Step 2

Calculate satisfaction score

at the country level as average score across all respondents

Step 3

Calculate portfolio score

for each grant cycle stage as average score across all countries

Step 4

**Determine KPI Result** as count of grant cycle stages achieving the threshold scores

| KPI performance (i.e progress towards target) |  |  |  |  |  |
|---|--|--|--|--|--|
| Met   |  | Score >= 75% for 100% of stages          |  |  |  |
| Partially met                                 |  | Score >= 75% for 50% or more of stages   |  |  |  |
| Not met                                       |  | Score >= 75% for less than 50% of stages |  |  |  |

#### Illustrative example

Survey administered to Country A at Funding Request stage in 2023, with scores aggregated for women & gender-diverse communities for the country

| Stone  | Dogwandant          | Possible  | Scoring rule          | Q  | uestio | Score |           |
|--------|---------------------|-----------|-----------------------|----|--------|-------|-----------|
| Steps  | Respondent          | responses |                       | 1  | 2      | 3     | Score     |
|        | R1                  | 0-10      | Numerical response*10 | 90 | 50     | 60    | 200/3=67% |
| Step 1 | R2                  | 0-10      | Numerical response*10 | 70 | 80     | 50    | 200/3=67% |
|        | R3                  | 0-10      | Numerical response*10 | 0  | -      | 100   | 100/2=50% |
| Step 2 | Avg score Country A |           |                       |    |        |       | _61%      |

Aggregation of women & gender-diverse community score for each grant cycle stage for the entire portfolio

| Steps  | Country                               | FR score | GM score   | GI score |
|--------|---------------------------------------|----------|--|----------|
|        | Country A                             | 61%      | 75%  | 100%     |
| Cton 2 | Country B                             | 55%      | -  | -        |
| Step 3 | Country C                             | 85%      | 100%   | -        |
|        | Avg Portfolio score                   | 67%      | 88%  | 100%     |
|        | Is score achieving the 75% threshold? | No       | Yes  | Yes      |
| Step 4 | KPI Result                            |          | of the 3) reported<br>75% threshold<br>tages of grant cyc<br>threshold | d        |

#### **KPI** Result interpretation:

Satisfaction of women and gender-diverse communities with engagement in grant cycle is at acceptable level for the Grant Making and Grant Implementation stages, but not for Funding Request.

| KPI performance |  |
|-----------------|--|
| Partially met   | Score >= 75% for 50% or more of stages |

#### **KPI E3b: Performance of gender-specific indicators**



#### Percentage of countries with at least half of the gender indicators having performance of 90% or more

# Characteristics Outcome Level 2 – GF supported programs Subset of portfoliopriority countries Grant reporting Countries meeting threshold

#### Definition

#### Formula:

- <u>Numerator</u>: # countries achieving the threshold
- Denominator: Total # countries in cohort for the reporting period
- <u>Threshold</u>: At least half of the gender indicators have performance of 90% or more

**Target:** 70% countries have at least half of the gender indicators with a performance of 90% or more, assessed annually

**Cohort:** All High Impact and Core countries and priority Focused countries

Baseline: not available (new KPI)

Data source: routine grant reporting

#### Reporting

Reported: Annually (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

Disaggregation reported for this KPI: Country categorization: region, portfolio type, etc., Disease

#### Rationale for selection

Important: KPI E3b measures GF grant performance relating to gender equality. Strong grant performance in relation to gender equality is critical to achieving our strategy ambition to advance gender equality, and in particular our commitment to scale comprehensive programs and approaches to remove gender-related barriers and inequalities.

Integrated: Tracer indicators for the KPI align with indicators in the GF Modular Framework. KPI E3a and KPI E3b are complementary indicators that work together to assess two interlinked areas necessary for the effective integration of gender across our work: grant performance on gender equality and the meaningful representation of women and gender-diverse communities in decision-making.

Accountable: Measures performance of GF grants in improving gender equality - GF thus has a reasonable level of accountability and influence on the result. Note though that grant targets are defined through a contributive approach and that GF is only one of many contributors to the KPI results. The level of influence of GF will also differ depending on the country.

Actionable: KPI will provide information on how well GF grants are performing against gender-related targets, which can be used to adapt interventions and wider strategies based on performance. Annual scores allow for trend analysis and the result can be influenced within the Strategy period

Available: The data will be available through regular GF grant reporting

#### Considerations

 Global Fund is also instituting a Gender Equality Marker (GEM) across all funding to assess how well gender equality is being mainstreamed in all grants. The GEM score will be provided alongside the KPI to provide a holistic picture of performance on both genderspecific interventions and gender mainstreaming.

#### **KPI E3b: Performance of gender-specific indicators**



#### Illustration

**Measure:** % of countries with at least half of the gender indicators having performance of 90% or more

Numerator (N): # countries achieving the threshold Denominator (D): Total number of countries in the cohort for the reporting period

Step 1

Calculate performance of each gender indicator\* across all grants within a country as achievement of results against the targets

Step 2

Determine if performance of each indicator in country is >=90%

Step 3

**Calculate country score**, with a country getting a score of 1 if at least half of the indicators have performance >=90%, else 0

Step 4

**Determine KPI Result** as the # of countries that scored 1 divided by total countries in cohort

| KPI performance (i.e progress towards target) |  |   |  |  |  |
|---|--|---|--|--|--|
| Met   |  | Result at target or lower by 10% (relative to target) |  |  |  |
| Partially met                                 |  | Result below target by margin of 11%-20%              |  |  |  |
| Not met                                       |  | Result below target by margin of 21% or more          |  |  |  |

#### Illustrative example for 2024

| Steps  | teps Example   |               |               |                  |        |  |  |
|--------|--|---------------|---------------|------------------|--------|--|--|
| Step 1 | If in 2024, the result for Indicator T1 is 50% against a grant target of 90%, then the 2024 Indicator T1 performance (achievement rate) will be 55% (i.e., =50%/90%) |               |               |                  |        |  |  |
|        | Country A gender indicators  | Result<br>(R) | Target<br>(T) | Perform<br>(R/T) | nance  | Is Performance >=90%                                       |  |
| Step 2 | Indicator GH1  | 510           | 560           | 91%              |        | Yes  |  |
|        | Indicator GT1  | 50%           | 90%           | 56%              |        | No   |  |
|        | Indicator GM1  | 45%           | 50%           | 90%              |        | Yes  |  |
| Step 3 | Country A score  |               |               |                  | ,      | es", as performance >=90%<br>least half of the indicators) |  |
|        | Country  |               |               | ;                | Score  |  |  |
| Stop 1 | Country A  | 1             |               |                  |        |  |  |
| Step 4 | Country B  | 0             |               |                  |        |  |  |
|        | Country C  | 0             |               |                  |        |  |  |
|        | KPI result   | <b>33%</b> (: | =1/3) agai    | nst a tar        | get of | 70%  |  |

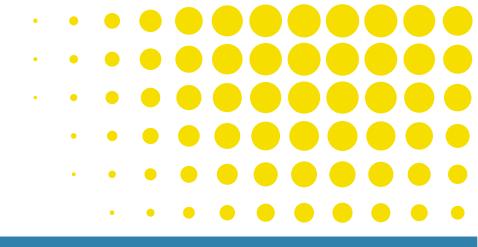
#### **KPI** Result interpretation:

In 33% of countries at least half of the gender indicators have performance of 90% or more

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 21% or more |

<sup>\*</sup> Aggregate results & targets if same indicator is included in multiple grants in a country





### Community Leadership & Engagement



#### KPI C1: Community engagement across Global Fund grant cycle



#### Satisfaction of communities with engagement across the grant cycle consistently at acceptable level

#### Characteristics

#### Definition



#### Outcome



Level 3 – GF core operations



Full portfolio of countries



New GF data source



Stages at threshold

#### Formula:

- Numerator: # grant cycle stages with scores achieving the threshold
- Threshold: 75% minimum satisfaction score

**Target: 3 stages (out of 3)**, i.e., each stage of the grant cycle has at least 75% satisfaction level, assessed annually

**Cohort:** all countries receiving Global Fund allocation in relevant Allocation Period

Baseline: not available (new KPI)

**Data source:** Standardized survey conducted at different stages across the grant cycle

#### Reporting

Reported: Annually (Q1/Q2), against annual targets

Interpretation of results (progress towards target):

**Green** if score>= 75% for 100% of stages; **amber** if score >= 75% for 50% or more of stages; **red** if score>=75% for less than 50% of stages

**Disaggregation reported for this KPI:** Key & Vulnerable Populations, grant cycle stages, Region

#### Rationale for selection

<u>Important</u>: Aligned with Strategy priority on community engagement and leadership. KPI measures the degree of community engagement across three key stages of the GF grant cycle. It will assess the effectiveness of the Global Fund in supporting and realizing community engagement

<u>Integrated</u>: KPI is aligning and integrating with existing and evolving data sources to validate the results of the survey. The results from the satisfaction portion of the survey will be used determine advancements in gender equality (KPI E3a)

Accountable: Results will hold GF and CCMs accountable for facilitating a higher quality of community engagement based on minimum expectations and through resulting levels of satisfaction by communities Actionable: Results of the survey will allow for action within the implementation period and between Allocation Periods for a specific country and lessons learned for other countries. Provides for trend analysis and comparison. Recognizing the limitations of quantitative indicators in measuring complex areas such as outcomes of community engagement, the KPI is to be complemented by periodic thematic evaluation.

Available: Data can be available through an annual survey

#### Considerations

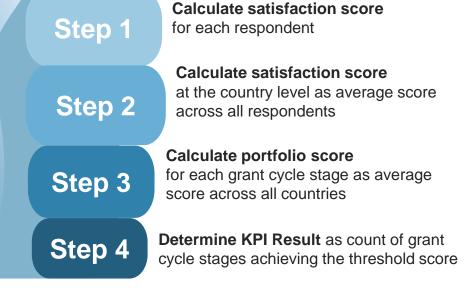
- Achieving and maintaining a representative and inclusive sample in each country (e.g., across diseases, KVPs, age, gender, geographies) may be a challenge
- The term "satisfaction" has a high degree of subjectivity, open to interpretation by respondents.
   Satisfaction scores should thus be seen as indicative rather than representative and interpretation needs to be complemented with other insights and community-led thematic evaluations

#### KPI C1: Community engagement across Global Fund grant cycle



#### Illustration

**KPI based on measure:** Satisfaction of communities with engagement across the grant cycle consistently at acceptable level



| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Score >= 75% for 100% of stages          |  |  |
| Partially met                                 |  | Score >= 75% for 50% or more of stages   |  |  |
| Not met                                       |  | Score >= 75% for less than 50% of stages |  |  |

#### Illustrative example

Survey administered to Country A at Funding Request stage in 2023

| Stone  | Respondent          | Possible  | Sooring rule          | Question |    |     | Score     |  |
|--------|---------------------|-----------|-----------------------|----------|----|-----|-----------|--|
| Steps  | Respondent          | responses | Scoring rule          | 1        | 2  | 3   | Ocore     |  |
|        | R1                  | 0-10      | Numerical response*10 | 90       | 50 | 60  | 200/3=67% |  |
| Step 1 | R2                  | 0-10      | Numerical response*10 | 70       | 80 | 50  | 200/3=67% |  |
|        | R3                  | 0-10      | Numerical response*10 | 0        | -  | 100 | 100/2=50% |  |
| Step 2 | Avg score Country A |           |                       |          |    |     | 61%       |  |

Aggregation of score for each grant cycle stage for the entire portfolio

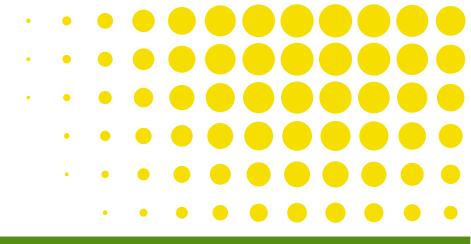
| Steps  | Country                               | FR score   | GM score | GI score |  |
|--------|---------------------------------------|--|----------|----------|--|
|        | Country A                             | 61%  | 75%      | 100%     |  |
| Step 3 | Country B                             | 55%  |          | -        |  |
| зіер з | Country C                             | 85%  | 100%     | -        |  |
|        | Avg Portfolio score                   | 67%  | 88%      | 100%     |  |
|        | Is score achieving the 75% threshold? | No   | Yes      | Yes      |  |
| Step 4 | KPI Result                            | 66% (2 out of the 3) reported stages me<br>the 75% threshold<br>Target: All stages of grant cycle achieving t<br>threshold |          |          |  |

#### **KPI Result interpretation:**

Satisfaction of communities with engagement in grant cycle is at acceptable level for the Grant Making and Grant Implementation stages but not for Funding Request.

| KPI performance |  |
|-----------------|--|
| Partially met   | Score >= 75% for 50% or more of stages |





### Resource Mobilization



#### KPI R1a: Realization of domestic co-financing commitments



#### Percentage realization of domestic co-financing commitments to health across the whole portfolio

## Characteristics

#### **Outcome**



Level 1 – global and in-country



Full portfolio of countries



**Existing GF data** source



Weighted average across portfolio

#### Definition

#### Formula:

- Numerator: Total Co-financing amount realized for the Allocation Period under review
- Denominator: Total Co-financing commitments for the Allocation Period under review

Target: 85% co-financing commitment realized for each Allocation Period, assessed annually

**Cohort**: all country-components with an allocation in current Allocation Period, excluding, components (a) exempted or granted waiver from co-financing requirements; (b) given extension for reporting co-financing, beyond KPI deadline; and/or (c) that did not access funding in previous Allocation Period

Baseline: 85% commitments realized in 2017-2019 Allocation Period by eligible 2020-2022 Allocation Period components.

Data source: Global Fund Health Financing co-financing monitoring database

#### Reporting

Reported: Annually (Q1/Q2), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

Disaggregation reported for this KPI: Country categorization: region, portfolio type, income group, etc.), Component

#### Rationale for selection

Important: Mobilizing additional resources is a core contributory objective of the GF Strategy, with a specific focus backed by a policy on catalyzing sustainable domestic resources to meet the urgent health needs for SDG<sub>3</sub>

**Integrated:** KPI is aligned to the implementation of the Sustainability, Transition & Co-Financing Policy which is integrated within other long-established GF processes such as Access to Funding and Grant Management Accountable: KPI reflects results of GF performance but is also dependent on governments and other partners playing their role

Actionable: Lower immediate actionability but provides more long-term patterns in co-financing that could impact the policy/GF approach and that other tools are available for risk monitoring.

Available: Data is routinely available through the GF Access to Funding and Grant Management processes

#### Considerations

 While issues related to co-financing have long-term implications; unless complemented by other measures, the KPI by itself has little power to enable course correction. To address this limitation, an operational indicator related to co-financing risk mitigation (KPI R1b) will supplement the KPI reporting and act as a leading indicator.

#### KPI R1a: Realization of domestic co-financing commitments



#### Illustration

**Measure:** % realization of domestic co-financing commitments to health across the whole Global Fund portfolio

**Numerator (N):** Total Co-financing amount realized in the Allocation Period under review

**Denominator (D):** Total Co-financing commitments for the Allocation Period under review

\*all amounts in USD, calculated using the respective Replenishment Period exchange rates

Step 1

Collect data on current Allocation Period eligible Country components that were reviewed by GAC since start of the Allocation Period

Step 2

Sum of all Commitment (C); and sum of all Realization (R) during grant implementation period for previous Allocation Period to get totals for all components

Step 3

**Determine KPI Result** as Total realization (N) divided by Total commitments (D)

| KPI performance (i.e progress towards target) |  |  |  |  |
|---|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |

#### Illustrative example in 2023

| Steps  | 2023-2025 eligible Country Component Period |              | Realization (R)                              | Commitment (C) |
|--------|---|--------------|--|----------------|
|        | Component 1                                 | 2020-2022    | 5.0  | 4.0            |
|        | Component 2                                 | 2020-2022    | 2.8  | 3.3            |
|        | Component 3                                 | 2020-2022    | 44.6   | 48.2           |
| Step 1 | Component 4                                 | 2020-2022    | 48.5   | 57.0           |
|        | Component 5                                 | 2020-2022    | 21.0   | 21.6           |
|        |   |              |  |                |
| ,      | Component 160                               | 2020-2022    | 14.3   | 17.2           |
| Step 2 | 2023 Total (for 160 (                       | Components)* | N=13,144.5                                   | D = 15,284.3   |
| Step 3 | 2023 KPI result (                           | (N/D):       | <b>86</b><br><b>= 13,144.5</b><br>against 85 | / 15,284.3     |

#### **KPI** Result interpretation:

Realization rate of co-financing commitments is **86%** for the 2020-2022 Allocation Period by eligible country components in 2023-2025 Allocation Period assessed in the reporting period

| KPI perfe | KPI performance |  |  |
|-----------|-----------------|--|--|
| Met       |                 | Result at target or within margin of 5% (relative to target) |  |

<sup>\*</sup> Totals are cumulative over the grant implementation periods for the Allocation Period. Therefore, KPI result for 2024 will include 2023 results as well

#### KPI R1b: Mitigation actions for countries at risk of not meeting co-financing commitments



#### Percentage of mitigation actions implemented by countries at risk of not meeting co-financing commitments

#### Reporting Characteristics Definition Formula: Average score for the % of mitigation actions implemented across portfolio Reported: Annually (Q1/Q2), against annual target **Output Target:** For the 2023-2025 Allocation Period, mitigation action completion rate is: Interpretation of results (progress towards target): Level 2 - GF 80% (by end 2023), 80% (by end 2024), 85% (by end 2025), 90% (by end 2026) **Green** if result at target or within margin of 5% supported (relative to target); amber if below target by margin of programs For the 2026-2028 Allocation Period, mitigation action completion rate is: 80% (by 6%-10%; red if below target by margin of 11% or end 2026), 80% (by end 2027), 85% (by end 2028), 90% (by end 2029) more Full portfolio of countries Disaggregation reported for this KPI: Country Cohort: all countries in the Allocation Period identified as having material risks for co-financing with mitigation actions that are past the completion due date categorization: region, portfolio type, income group **Existing GF data** etc.), Component sources Baseline: not available (new KPI) Simple average Data source: Global Fund Health Financing co-financing monitoring database across portfolio

#### Rationale for selection

Important: Whilst not being a very outcome-focused indicator, it is a useful leading indicator that provides timely indication of any potential risk to KPI R1a. KPI also supports delivery on the strategic implementation priority of embedding Health Financing firmly into processes across GF, of which the country risk management approach via Integrated Risk Management (IRM) approach is a key element. KPI also behaves as a 'tracer' or early warning system for programme risk. Any emerging risk to domestic resource mobilization is likely to subsequently feed through into programme risk.

Integrated: KPI is embedded within Access to Funding, Grant Management and other GF processes, and monitored as part of country risk management framework

Accountable: KPI monitors GF core operations and has a relatively high level of influence

Actionable: KPI monitors a GF business process and allows for timely course correction

Available: Data is routinely available from GF systems

#### Considerations

- Operational indicator which is not outcome focused but has value in projecting the performance of KPI R1a which has higher inertia, and thus is not recommended as a standalone indicator unless coupled with KPI R1a
- Equal weighting across country components will mean this indicator is not fully and precisely predictive for KPI R1a being off / on track, but it will clearly indicate the direction of travel.

#### KPI R1b: Mitigation actions for countries at risk of not meeting co-financing commitments



#### Illustration

**Measure:** Average score for the % of mitigation actions implemented across portfolio

Step 1

completion based on IRM completion status for all mitigation actions due thus far in a Grant cycle

Calculate Country component score as average mitigation action completion rate

Calculate KPI result as average mitigation action completion rate for country components

**Determine KPI performance** 

Calculate score for mitigation action

| KPI progress towards target |  |  |  |  |
|-----------------------------|--|--|--|--|
| Met                         |  | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met               |  | Result below target by margin of 6%-10%                      |  |  |
| Not met                     |  | Result below target by margin of 11% or more                 |  |  |

Step 4

Illustrative example for the H1 2026 KPI report for Country A HIV component – includes all Grant Cycle 7 mitigation actions that were due by end 2025

|        | Mitigation action   | Original due date    | Target   | Result    | Completion status |  |  |
|--------|---|----------------------|--|-----------|-------------------|--|--|
|        | Technical assistance to support co-financing technical working group                              | Dec 2024             | Assistance provided via Grant / HF-SI                    | Completed | 100% M1           |  |  |
|        | Sustainability Working Group (SWG)  | Dec 2025             | SWG Established  | Completed | 100% M2           |  |  |
| Step 1 | Transition Preparedness Capacity Building for SRs   | Feb 2024             | Trainings conducted for SRs in financial management      | Delayed   | 0% <sup>M3</sup>  |  |  |
|        | Cost Effectiveness Review on KP service delivery models   | Nov 2025             | Cost effectiveness review conducted                      | Delayed   | 0% <sup>M4</sup>  |  |  |
| Step 2 | Average mitigation action comp<br>M1, M2, M3, M4)   | pletion rate for Cou | untry A HIV component (A                                 | verage of | 50% MA            |  |  |
|        | Country   | Component            | Score  |           |                   |  |  |
|        | Country A   | HIV                  | 50%  |           |                   |  |  |
|        | Country V   | ТВ                   | 61%  |           |                   |  |  |
| Step 3 | Country U HIV 62%   |                      |  |           |                   |  |  |
|        | Country U   | Malaria              | 90%  |           |                   |  |  |
|        | KPI result (average sco   | re)                  | 66%  |           |                   |  |  |
| Step 4 | KPI performance   |                      | <b>66%</b> against 85% target for 2025 for Grant Cycle 7 |           |                   |  |  |
| KDI Do | (PI Pasult interpretation: Average mitigation action completion rate is 66%, which shows that 66% |                      |  |           |                   |  |  |

**KPI Result interpretation:** Average mitigation action completion rate is 66%, which shows that 66% mitigation actions have been implemented. The target of 90% completion is far from being achieved, and thus KPI is considered as not having been met

| KPI performance |  |
|-----------------|--|
| Not met         | Result below target by margin of 11% or more |

#### KPI R2: Timeliness and quality of external audit process performed by SAIs



#### Percentage of countries meeting criteria of timeliness and quality for audit deliverables

#### Characteristics



#### Outcome



Level 1 – global and in country



Subset of portfoliopriority countries



Existing GF data sources



Countries meeting threshold

#### Definition

#### Formula:

- <u>Numerator</u>: # countries for which SAIs audit of grants meet threshold for timeliness
   & quality
- <u>Denominator:</u> # countries using SAIs for the audit of grants for the relevant financial year
- Threshold: grant audit reports score is 2.3 or more

**Target:** 80% countries meet criteria for timeliness and quality of audit deliverables, assessed annually

**Cohort:** High Impact/Core countries using Supreme Audit Institutions (SAIs) for the audit of Global Fund grants (with Govt. PRs) for the relevant financial year.

**Baseline:** 45% (5/11) countries met threshold for timeliness & quality as per 2022 External Audit Tracking (EAT) annual review process

Data source: Global Fund External Audit Tracking (EAT) tool

#### Reporting

Reported: Annually (Q1/Q2), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 10% (relative to target); amber if below target by a margin of 11%-20%; red if below target by a margin of 21% or more

**Disaggregation reported for this KPI:** Country and any corresponding categorization: region, portfolio type, etc, Assessment criterion (Timeliness, Quality)

#### Rationale for selection

Important: Provides highest level of assurance on the use of grant funds managed by government Principal Recipients.

Provides assurance on entire Public Financial Management (PFM) cycle (budget formulation, execution and monitoring). It is also the most mature thematic area in PFM domain at GF with respect to engagement with partners

<u>Integrated</u>: Considered as standard indicator used in other organizations, and integral to Finance & Administration's results framework. Comprehensive indicator delivering useful data for other internal stakeholders such as Value for Money, assurance on co-financing commitments, etc.

Accountable: KPI reflects GF work to build capacity of Supreme Audit Institutions (SAIs) and country financial management systems. However, Governments and other partners also play a role in achieving results

Actionable: KPI to a large extent is actionable leveraging existing external audit processes which factor in timely feedback provision to SAIs for course correction in situations were there are challenges

Available: Leverages existing mature internal data system for reporting i.e., External Audit Tracking tool

#### Considerations

- KPI cohort may change if more countries use SAIs, or number of grants managed by Government PRs change
- Other than baseline countries using SAIs, indicator viability for year-on-year reporting depends on achieving output indicator on increasing number of countries using SAIs

#### KPI R2: Timeliness and quality of external audit process performed by SAIs



#### Additional details

#### **Audit report assessment**

Audit report is assessed against the criteria of :

- a) Timeliness
- b) Quality, with a sub-criteria of 'Compliant'

|               | Criteria ratings   | Score |  |  |  |  |
|---------------|--|-------|--|--|--|--|
| SS            | TIMELY   |       |  |  |  |  |
| meliness      | Report overdue by more than one month  | 1     |  |  |  |  |
| me            | Report overdue by less than one month  | 2     |  |  |  |  |
|               | Report provided on time  | 3     |  |  |  |  |
|               | QUALITY  |       |  |  |  |  |
|               | Major non-compliance with the auditing standards noted resulting in the opinion being questioned or the report to be modified e.g., basis of the opinion   | 1     |  |  |  |  |
| ts of Quality | Some technical errors noted which are not material enough to question the audit opinion or the modification of the audit report/opinion  | 2     |  |  |  |  |
|               | The audit report is technically sound, and no issues have been noted   | 3     |  |  |  |  |
| neu           | COMPLIANT  |       |  |  |  |  |
| 2 components  | Non-compliant report i.e., the requested opinions, financial statements and the management letters are not compliant with the audit guidelines   | 1     |  |  |  |  |
|               | Partially not compliant reports i.e., the requested opinions, financial statements and the management letter are compliant however one or more requirement of the guidelines have not been respected | 2     |  |  |  |  |
|               | The report is compliant with the audit guidelines  | 3     |  |  |  |  |

#### Weightage of 3 criteria

| Criteria   | Weightage |
|------------|-----------|
| Timeliness | 10%       |
| Quality    | 50%       |
| Compliant  | 40%       |

#### Rating of grant audit report

| Assessment         | Score                       |
|--------------------|-----------------------------|
| Meets expectations | If score >=2.3              |
| Needs improvement  | If score is >=1.5 and <=2.2 |
| Unacceptable       | If score is <1.5            |

A country is assessed to have met the criteria for timeliness & quality only when <u>all grants</u> audited by the same SAI fall under the assessment category of "Meets expectations"

#### KPI R2: Timeliness and quality of external audit process performed by SAIs



#### Illustration

**Measure:** % countries meeting criteria of timeliness and quality for audit deliverables

Numerator (N): # countries for which SAIs audit of grants meet threshold for timeliness & quality

**Denominator** (D): # of countries using SAIs for the audit of GF grants for the relevant financial year

Step 1

Determine score of grant audit report(s)
received for all grants in each country across
the 3 criteria of Timeliness, Quality, Compliance

Assess country rating based on whether all
grants meet expectations, i.e., have a rating of
>=2.3

Count countries that meet expectations

Calculate KPI Result as # of countries meeting

expectations divided by total # of countries in

| KPI performance (i.e progress towards target) |  |   |  |
|---|--|---|--|
| Met   |  | Result at target or lower by 10% (relative to target) |  |
| Partially met                                 |  | Result below target by margin of 11%-20%              |  |
| Not met                                       |  | Result below target by margin of 21% or more          |  |

cohort

Step 4

#### Illustrative example in 2023

Country

Stens

| Steps  | Country          | Grant   | Timeliness score   | Quality score      | Compliance score   | Weighted<br>Grant score  |
|--------|------------------|---------|--------------------|--------------------|--------------------|--------------------------|
|        | Country A        | Grant 1 | 3                  | 3                  | 2                  |                          |
| Step 1 | Weighted scores: |         | <b>0.3</b> (3*.10) | <b>1.5</b> (3*.50) | <b>0.8</b> (2*.40) | <b>2.6</b> (0.3+1.5+0.8) |

Country Assessment

Grant 1 Grant 2 Grant 3

|  | oteps  | Country                              | score | score                             | score | Country   | 33633III6III                         |  |
|--|--------|--------------------------------------|-------|-----------------------------------|-------|---|--------------------------------------|--|
|  |        | Country A                            | 2.6   | 2.5                               | -     | Meets expectations (as all grants assessed had score>=2.3 i.e rating of "Meets expectations") |                                      |  |
|  | Step 2 | Country B                            | 0.5   | -                                 | -     |   |                                      |  |
|  |        | Country C                            | 1.6   | 2.0                               | 1.9   |   |                                      |  |
|  |        | Country D                            | 2.8   | 2.7                               | 2.5   | Meets exp   | ectations                            |  |
|  | Step 3 | Count countries meeting expectations |       |                                   |       | 2   |                                      |  |
|  | Steps  | eps # countri<br>expectat            |       | rs meeting Total cou<br>cohort in |       |   | (X/Y)*100                            |  |
|  | Step 4 | tep 4 KPI result 2                   |       | 4                                 |       |   | <b>50%</b> (=2/4) against 80% target |  |

#### **KPI** Result interpretation:

In 2023, 50% countries that had submitted audit reports in the reporting period, met the threshold for timeliness and quality of the audit process and deliverables for all government PR grants audited by SAI

| KPI performance |  |  |  |
|-----------------|--|--|--|
| Not met         |  | Result below target by margin of 21% or more |  |

#### **KPI R3: Announced pledges**



#### Announced pledges as ratio of Replenishment target

#### Characteristics



#### Output



Level 3 – GF core operations



All donors



Existing GF data source



Weighted average across donors

#### Definition

#### Formula:

- <u>Numerator</u>: total pledges secured at Replenishment Conference and throughout the Replenishment Period
- <u>Denominator:</u> target set out in the Investment Case for the 3-year Replenishment Period
- \*all amounts in USD, calculated using the respective Replenishment Period exchange rates

**Target:** 100% of Replenishment Target for 7<sup>th</sup> and 8<sup>th</sup> Replenishment respectively, assessed annually

**Cohort:** All pledges to Global Fund for the given 3-year Replenishment Period (whether announced prior to, during or after the Pledging Conference). Excludes cofinancing/co-investment and any other fundraising initiatives not factored in during initial target-setting (e.g., C19RM in 2020-2022)

Baseline: 100% for 6th Replenishment Period

Data source: Global Fund pledges and contributions database

#### Reporting

Reported: Annual (Q1/Q2), against relevant Replenishment Period target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

Disaggregation reported for this KPI: None

#### Rationale for selection

Important: Provides critical information on funds raised by Global Fund to deliver on the Strategy Integrated: KPI is monitored as part of Donor Relations and Private Sector engagement results framework and is consistent with GF communication to donors and the public generally

Accountable: KPI is a direct measure of a GF core business process

<u>Actionable</u>: KPI is a measure of GF core operations, and thus allows for course correction through established GF business processes

<u>Available</u>: KPI has been used in GF 2017-2022 Strategy and thus easy to operationalize. It also allows GF to build on the learnings from the previous Strategy to strengthen performance in the area.

#### Considerations

- If target is achieved around the Replenishment Conference towards the beginning of the Allocation Period, indicator may not be as relevant for the remainder of Replenishment Period
- Performance is measured against the Investment Case target and thus excludes Special Purpose resource mobilization efforts initiated during the Replenishment Period (e.g., C19RM in 2020)

# **KPI R3: Announced pledges**



#### Illustration

**Measure:** Announced pledges as ratio of Replenishment target

**Numerator (N):** Total amount of pledges secured at the Replenishment Conference and throughout the Replenishment Period

**Denominator (D):** Target set out in the Investment Case for the 3-year

Replenishment Period

| Record data on total  | Replenishment target (D) | Calculate KPI result (N/D) |
|-----------------------|--------------------------|----------------------------|
| announced pledges (N) | Y <sub>1</sub>           | • W                        |

| KPI performance (i.e progress towards target) |   |  |  |  |
|---|---|--|--|--|
| Met   | • | Result at target or within margin of 5% (relative to target) |  |  |
| Partially met                                 |   | Result below target by margin of 6%-10%                      |  |  |
| Not met                                       |   | Result below target by margin of 11% or more                 |  |  |

#### Illustrative example for 2023

| Total announced pledges* (N) | 7 <sup>th</sup> Replenishment target (D) | KPI result (N/D)    |
|------------------------------|--|---------------------|
| \$14bn                       | \$18bn                                   | <b>78%</b> (=14/18) |

#### **KPI** Result interpretation:

Pledges equal to 78% of Replenishment Target of 18bn currently announced for the 7th Replenishment Period

## **KPI** performance

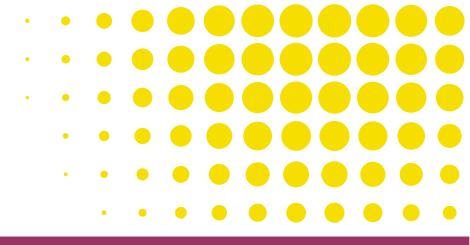
Not met



Result below target by margin of 11% or more

<sup>\*</sup> Total announced pledges are cumulative over the Replenishment Period, so 2024 results will include announced pledges from previous years





# Pandemic Preparedness & Response



# **KPI P1: Progress in laboratory testing modalities**



# Percentage of countries with improved or sustained high performance in laboratory testing capacity modalities

#### Characteristics

# July 1

Outcome



Level 1 - global and in-country



Full portfolio of countries



Partners data



Countries showing progress

#### Definition

Formula: performance measured using SPAR C4.4, with:

- Numerator: # of countries that show significant improvement, or maintain high performance\* compared to baseline
- <u>Denominator</u>: Total # countries in cohort
- \* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

**Target:** 90% of countries show significant improvement, or have maintained high performance by end of Strategy (2028)

**Cohort:** all countries investing Global Fund funds in interventions related to the specified technical domain

**Baseline:** As of March 2024, distribution of 38 countries on the 5-point SPAR\* scale: "Level 1": 1 country; "Level 2": 1 country; "Level 3": 15 countries; "Level 4": 18 countries; "Level 5": 3 countries. \*2022 SPAR scores for indicator C4.4 Laboratory Testing Capacity Modalities **Data source:** WHO IHR Electronic State Parties Self-Assessment Annual Reporting (e-SPAR)

# Reporting

Reported: Annually (Q4), against end Strategy target

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.

#### Rationale for selection

Important: Building laboratory capacity has historically been one of the Global Fund's main contributory areas to pandemic preparedness (PP). Investments in building laboratory testing modalities are of increased emphasis in the new Strategy and this is emphasized in updated RSSH applicant materials for 2023-2025 Allocation Period

Integrated: Aligned with IHRMEF/WHO standards and indicators

Accountable: This indicator has higher accountability relative to other SPAR indicators as it is expected to be one of Global Fund's main contributory areas of investment for PP.

Actionable: Higher actionability relative to other SPAR indicators as activities/ processes required for achieving progress in this area can be funded by GF through embedded RSSH-PP investments.

<u>Available</u>: Partner data is available for a large cohort of countries on an annual basis and at the start of the Strategy cycle.

#### Considerations

- Limited attribution to the Global Fund as reported at a national-level covering a broad scope. Revisions expected to facilitate disaggregation at sub-national level.
- SPAR indicators subject to updates, potentially limiting historical comparability.
- May not fully capture correlation with country ability to respond to the dynamic nature of an epidemic event – needs to be complemented by regular After Action Reviews (AAR), Simulation Exercises (SimEx), Joint External Evaluations (JEE), and potential use of timeliness metrics.

# **KPI P1: Progress in laboratory testing modalities**



#### **Additional details**

#### **SPAR** indicator

**SPAR C4.4:** The indicator is based on an assessment of a level of performance on a "1-5 scale". When processed, the score of each indicator level is classified as a percentage of performance along the "1 to 5 scale", e.g., for a country selecting level 3 for indicator 4.4, the level of performance is expressed as 3/5\*100=60. See below for the definition of the levels for C4.4 from the SPAR Tool Second Edition.

|         | Indicators  |
|---------|---|
| Level   | C4.4. Laboratory testing capacity <sup>29</sup> modalities  |
| Level 1 | Laboratory system can support one or two testing modalities such as rapid diagnostic testing (antigen and antibody) and microscopy services for pathogen detection  |
| Level 2 | Laboratory system can support testing modalities including serological tests (i.e., antigen and antibody enzyme immunoassays) and quality assurance process is in place   |
| Level 3 | Laboratory system can perform nucleic acid amplification testing, bacterial culture with antimicrobial sensitivity testing with quality assurance process in place and have access to (or has) sequencing capacity  |
| Level 4 | Laboratory system can perform nucleic acid amplification testing, bacterial culture with antimicrobial sensitivity testing with quality assurance process in place and has some basic sequencing capacity and country has ability to test for all its endemic diseases and its priority diseases <sup>30</sup>  |
| Level 5 | Laboratory system can perform in all capacities including access to whole genome sequencing; <sup>31</sup> identification of unknown and high consequence pathogens and has access to viral culture. Laboratory networks configured to support all diagnostic services <sup>32</sup> that are integrated <sup>33</sup> are sustainable, with maximum population coverage, and exercised, reviewed, evaluated and updated on a regular basis as applicable |

- <sup>29</sup> Refers to laboratory test capacities that are available within the country (including research laboratories and private laboratories) to support surveillance and response; or that are available through referral mechanisms to designated central or international reference laboratories (e.g., WHO collaborating centres).
- <sup>30</sup> Priority diseases include, epidemic prone diseases, diseases earmarked for eradication/elimination and diseases of public health importance.
- <sup>31</sup> Access to whole genome sequencing may be through international collaboration including WHO collaborating centres.
- <sup>32</sup> This may include whole genomic sequencing and access to whole genome sequencing may be through international collaboration including WHO collaborating centres.

# **KPI P1: Progress in laboratory testing modalities**



#### Illustration

**Measure:** % of countries with improved or sustained high performance in laboratory testing capacity modalities

- Numerator (N): # of countries that show significant improvement, or maintain high performance\* compared to baseline
- Denominator (D): # of countries in cohort
- \* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

Step 1

Compare current score for SPAR C4.4 (laboratory testing modalities) to baseline score for each country in the portfolio

Step 2

Determine which country met the KPI criterion

Calculate KPI Result as # countries that showed an improvement divided by total # of countries in cohort

Determine KPI performance against the milestone/target for the corresponding

|               |          | y ou.   |
|---------------|----------|---|
| KPI performar | nce (pro | ogress towards target)  |
| On track      |          | Result at target/milestone or lower by 10% (relative to target/milestone) |
| At risk       |          | Result below target/milestone by margin of 11%-20%                        |
| Off track     |          | Result below target/milestone by margin of 21% or more                    |

#### Illustrative example for 2026

| Steps     | Criteria                               | Country<br>A | Country B | Country C | Country D | Country E | Country F | Country G |
|-----------|--|--------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Step      | Current score (2026)                   | 40           | 60        | 80        | 60        | 80        | 80        | 80        |
| 1         | Baseline<br>score (2022)               | 20           | 20        | 40        | 40        | 60        | 80        | 100       |
| Step<br>2 | Significant Increase* (or maintained)? | No           | Yes       | Yes       | No        | Yes       | Yes       | No        |

\*A country is considered as meeting the KPI criterion (i.e., counts as "Yes") if it has progressed from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

| Step 3 | KPI result | = 57% | (4 out of 7 | countries) |
|--------|------------|-------|-------------|------------|

Step 4

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Assuming that the milestone for 2024 is 50%\*\*\* of countries improving their score from baseline, the KPI would be deemed on track as its result is higher than the milestone

#### **KPI Result interpretation:**

57% of countries showed improvement in scores for laboratory testing capacity modalities

| KPI performance |  |   |  |  |  |
|-----------------|--|---|--|--|--|
| On track        |  | Result at target/milestone or lower by 10% (relative to target/milestone) |  |  |  |

<sup>\*\*\*</sup> Illustrative - milestones to be provided in Spring 2024

# **KPI P2: Progress in early warning surveillance function**



## Percentage of countries with improved or sustained high performance in early warning surveillance function

#### Characteristics

# www

Outcome



Level 1 - global and in-country



Full portfolio of countries



Partners data



Countries showing progress

#### Definition

Formula: performance measured using SPAR C5.1, with:

- <u>Numerator</u>: # of countries that show significant improvement, or maintain high performance\* compared to baseline
- Denominator: Total # countries in cohort
- \* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

**Target:** 90% of countries show significant improvement, or have maintained high performance by end of Strategy (2028)

**Cohort:** all countries investing Global Fund funds in interventions related to the specified technical domain

**Baseline:** As of March 2024, distribution of 23 countries on the 5-point SPAR\* scale: "Level 1: 0 countries; "Level 2": 0 countries; "Level 3": 4 countries; "Level 4": 15 countries; "Level 5": 4 countries. \*2022 SPAR scores for indicator C5.1 Early Warning Surveillance function **Data source:** WHO IHR Electronic State Parties Self-Assessment Annual Reporting (e-SPAR)

# Reporting

Reported: Annually (Q4), against end Strategy target

Interpretation of results (progress towards target): Green if projected mid/end Strategy results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

**Disaggregation reported for this KPI:** Country (and any corresponding categorization: region, portfolio type, etc.

#### Rationale for selection

Important: Indicator measures pandemic preparedness (PP) activities in early warning surveillance function at outcome-level. Surveillance has historically been an area of investment embedded in HTM/ RSSH at the Global Fund and early warning surveillance is emphasized in updated RSSH materials for 2023-2025 Allocation Period Integrated: Aligned with IHRMEF/WHO standards and indicators

Accountable: This indicator has higher accountability relative to other SPAR indicators

<u>Accountable</u>: This indicator has higher accountability relative to other SPAR indicators as it is likely to continue to be one of Global Fund's main contributory areas of investment for PP.

<u>Actionable</u>: Higher actionability relative to other SPAR indicators as activities/ processes required for achieving progress in this area can be funded by Global Fund through embedded RSSH-PP investments.

<u>Available</u>: Partner data is available for a large cohort of countries on an annual basis and at the start of the Strategy cycle.

#### Considerations

- Limited attribution to the Global Fund as reported at a national-level covering a broad scope. Revisions expected to facilitate disaggregation at sub-national level.
- · SPAR indicators subject to updates, potentially limiting historical comparability
- May not fully capture correlation with country ability to respond to the dynamic nature of an epidemic event – needs to be complemented by regular After Action Reviews (AAR), Simulation Exercises (SimEx), Joint External Evaluations (JEE), and potential use of timeliness metrics.

# KPI P2: Progress in early warning surveillance function



#### Additional details

#### **SPAR** indicator

**SPAR C5.1:** The indicator is based on an assessment of a level of performance on a "1-5 scale". When processed, the score of each indicator level is classified as a percentage of performance along the "1 to 5 scale", e.g., for a country selecting level 3 for indicator 5.1, the level of performance is expressed as 3/5\*100=60. See below for the definition of the levels for C5.1 from the <u>SPAR Tool Second Edition.</u>

| Level   | C5.1. Early warning surveillance function   |
|---------|---|
| Level 1 | National guidelines and/or SOPs for surveillance are not available or under development   |
| Level 2 | National guidelines and/or SOPs for surveillance have been developed but not implemented. The surveillance system is functioning but lacks systematic immediate reporting or weekly reporting of events and/ or data  |
| Level 3 | National guidelines and/or SOPs for surveillance have been developed and are being implemented at the national level and provides immediate and weekly reporting of events and/or data  |
| Level 4 | National guidelines and/or SOPs for surveillance have been developed and are being implemented at the national and intermediate levels and provides immediate and weekly reporting of events and/or data  |
| Level 5 | National guidelines and/or SOPs for surveillance have been developed and implemented at national, intermediate and local <sup>36</sup> levels; and the system is exercised (as applicable), reviewed, evaluated and updated on a regular basis, with improvement at all levels in the country |

35 Surveillance is defined in the SPAR as the "Systematic ongoing collection, collation and analysis of data for public health purposes and the timely dissemination of public health information for assessment and public health response, as necessary. Key components of surveillance include indicatorbased surveillance and eventbased surveillance." <sup>36</sup> At local level, community participation can be achieved through community-based surveillance. Event-based surveillance is a key part of syndromic surveillance and community-based surveillance.

# **KPI P2: Progress in early warning surveillance function**



#### Illustration

**Measure:** % of countries with improved or sustained high performance in early warning surveillance function

- Numerator (N): # of countries that show significant improvement, or maintain high performance\* compared to baseline
- **Denominator (D):** # of countries in cohort

\* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

> Compare current score for SPAR C5.1 (early warning surveillance function) to baseline score for each country in the portfolio Step 2

Determine which country met the KPI criterion

Calculate KPI Result as # countries that Step 3 showed an improvement divided by total # of countries in cohort

Step 4 **Determine KPI performance** against the milestone/target for the corresponding year

#### **KPI performance (progress towards target)** Result at target/milestone or lower by 10% (relative to target/milestone) On track Result below target/milestone by margin of 11%-20% At risk Off track Result below target/milestone by margin of 21% or more

#### Illustrative example for 2026

| Steps  | Criteria                               | Country<br>A | Country B | Country C | Country D | Country E | Country F | Country G |
|--------|--|--------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Cton 1 | Current score (2026)                   | 40           | 60        | 80        | 60        | 80        | 80        | 80        |
| Step 1 | Baseline<br>score (2022)               | 20           | 20        | 40        | 40        | 60        | 80        | 100       |
| Step 2 | Significant Increase* (or maintained)? | No           | Yes       | Yes       | No        | Yes       | Yes       | No        |

\*A country is considered as meeting the KPI criterion (i.e., counts as "Yes") if it has progressed from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

**KPI** result = **57**% (4 out of 7 countries) Step 3

Step 4

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target. Assuming that the milestone for 2024 is 50%\*\*\* of countries improving their score from

baseline, the KPI would be deemed on track as its result is higher than the milestone

#### **KPI** Result interpretation:

57% of countries showed improvement in scores for early warning surveillance function

| KPI perform | KPI performance |   |  |  |  |
|-------------|-----------------|---|--|--|--|
| On track    |                 | Result at target/milestone or lower by 10% (relative to target/milestone) |  |  |  |

<sup>\*\*\*</sup> Illustrative – milestones to be provided in Spring 2024

# KPI P3: Progress in human resources for implementation of IHR



# Percentage of countries with improved or sustained high performance in human resources for implementation of IHR

#### Characteristics



Outcome



Level 1 - global and in-country



Full portfolio of countries



Partners data



Countries showing progress

#### Definition

**Formula:** performance measured using SPAR C6.1, with:

- Numerator: # of countries that show significant improvement, or maintain high performance\* compared to baseline
- Denominator: Total # countries in cohort
- \* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

**Target:** 90% of countries show significant improvement, or have maintained high performance by end of Strategy (2028)

**Cohort:** all countries investing Global Fund funds in interventions related to the specified technical domain

**Baseline:** As of March 2024, distribution of 10 countries on the 5-point SPAR\* scale: "Level 1": 0 countries; "Level 2": 4 countries; "Level 3": 2 countries; "Level 4": 3 countries; "Level 5": 1 country. \*2022 SPAR scores for indicator C6.1 Human Resources for the Implementation of IHR **Data source:** WHO IHR Electronic State Parties Self-Assessment Annual Reporting (e-SPAR)

# Reporting

**Reported:** Annually (Q4), against end Strategy target.

Interpretation of results (progress towards target): Green if results at target/milestone or within margin of 10% (relative to target/milestone); amber if below target/milestone by a margin of 11%-20%; red if below target/milestone by a margin of 21% or more

Disaggregation reported for this KPI: Country (and any corresponding categorization: region, portfolio type, etc.

#### Rationale for selection

Important: Measures pandemic preparedness (PP) activities that are core to achieving PP sub-objective 2 (Build front-line capacity for detection and rapid response to epidemics and pandemics at facility and community levels) through RSSH investments.

Integrated: Aligned with IHRMEF/WHO standards and indicators

Accountable: High accountability relative to other SPAR indicators as it is expected to be one of Global Fund's main contributory areas of investment for PP.

Actionable: Moderately high actionability relative to other SPAR indicators as some of the activities/ processes required for achieving progress in this area can be funded by Global Fund through embedded RSSH-PP investments.

<u>Available</u>: Partner data is available for a large cohort of countries on an annual basis and at the start of the Strategy cycle.

#### Considerations

- Limited attribution to the Global Fund as reported at a national-level covering a broad scope. Revisions expected to facilitate disaggregation at sub-national level.
- · SPAR indicators subject to updates, potentially limiting historical comparability
- May not fully capture correlation with country ability to respond to the dynamic nature of an epidemic event – needs to be complemented by regular After Action Reviews (AAR), Simulation Exercises (SimEx), Joint External Evaluations (JEE), and potential use of timeliness metrics.

# KPI P3: Progress in human resources for implementation of IHR



#### **Additional details**

#### **SPAR** indicator

**SPAR C6.1:** The indicator is based on an assessment of a level of performance on a "1-5 scale". When processed, the score of each indicator level is classified as a percentage of performance along the "1 to 5 scale", e.g., for a country selecting level 3 for indicator 6.1, the level of performance is expressed as 3/5\*100=60. See below for the definition of the levels for C6.1 from the SPAR Tool Second Edition.

|         | Indicators   |
|---------|--|
| Level   | C6.1. Human resources for implementation of IHR  |
| Level 1 | Country does not have appropriate human resources <sup>40</sup> capacity in relevant sectors <sup>41</sup> required, to detect, assess, notify, report and respond to events according to IHR provisions   |
| Level 2 | Appropriate human resources are available in relevant sectors at national level, to detect, assess, notify, report and respond to events according to IHR provisions   |
| Level 3 | Appropriate human resources are available in all relevant sectors at national and intermediate levels, to detect, assess, notify, report and respond to events according to IHR provisions   |
| Level 4 | Human resources are available as required in all relevant sectors at the national, intermediate and local levels, to detect, assess, notify, report and respond to events according to IHR provisions  |
| Level 5 | Country has documented policies or procedures for sustainable appropriate human resources in all relevant sectors to detect, assess, notify, report and respond to events according to IHR provisions, that are exercised (as applicable), reviewed, evaluated and updated on a regular basis and country may assist other countries in planning and developing human resources for IHR implementation, to the extent possible |

Attention to gender differentials in proportion of males to females holding decision making roles.

40 Appropriate human resources may include doctors, nurses, midwives, community-based health workers, clinicians, toxicologists, veterinarians, food safety experts, radiation medicine, field epidemiologists, risk communication specialists, laboratory experts, public health experts, legal/policy experts, officials at human resources unit or department responsible for planning, mapping, development and distribution of public health and emergencies workforce at national and intermediate level, etc., as defined by function, country standards and needs.

<sup>41</sup> Relevant sectors, including human health, animal health, agriculture, disaster management, food safety, livestock, fisheries, trade, international transport/PoEs, emergency services, environment, finance, chemical safety, radiation safety, labour, education, foreign affairs, civil society and other sectors.

# KPI P3: Progress in human resources for implementation of IHR



#### Illustration

**Measure:** % of countries with improved or sustained high performance in human resources for implementation of IHR

- Numerator (N): # of countries that show significant improvement, or maintain high performance\* compared to baseline
- Denominator (D): # of countries in cohort
- \* Country is considered as meeting the KPI if it has progressed its score from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

Step 1

Compare current score for SPAR C6.1 (human resources for implementation of IHR) to baseline score for each country in the portfolio

Determine which country met the KPI criterion

Calculate KPI Result as # countries that showed an improvement divided by total # of countries in cohort

Step 4

Determine KPI performance against the milestone/target for the corresponding year

# KPI performance (progress towards target) On track Result at target/milestone or lower by 10% (relative to target/milestone) At risk Result below target/milestone by margin of 11%-20% Off track Result below target/milestone by margin of 21% or more

#### Illustrative example for 2026

| Steps  | Criteria                                       | Country<br>A | Country B | Country C | Country D | Country E | Country F | Country G |
|--------|--|--------------|-----------|-----------|-----------|-----------|-----------|-----------|
| Stop 1 | Current score (2026)                           | 40           | 60        | 80        | 60        | 80        | 80        | 80        |
| Step 1 | Baseline score (2022)                          | 20           | 20        | 40        | 40        | 60        | 80        | 100       |
| Step 2 | Significant<br>Increase*<br>(or<br>maintained) | No           | Yes       | Yes       | No        | Yes       | Yes       | No        |

\*A country is considered as meeting the KPI criterion (i.e., counts as "Yes") if it has progressed from 0 to 40; from 20 to 60; from 40 to 80; from 60 to 80 or if it maintained its score if it was already at 80 or at 100 in 2022.

| Step 3 KPI result = 57% (4 out of 7 countri |
|---|
|---|

Step 4

The KPI is compared to its milestone for the corresponding year to assess whether it is on track to reach its target.

Assuming that the milestone for 2024 is 50%\*\*\* of countries improving their score from baseline, the KPI would be deemed on track as its result is higher than the milestone

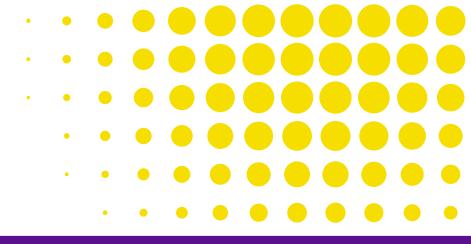
#### **KPI** Result interpretation:

57% of countries showed improvement in scores for human resources for implementation of IHR

| KPI perform | ance |   |
|-------------|------|---|
| On track    |      | Result at target/milestone or lower by 10% (relative to target/milestone) |

<sup>\*\*\*</sup> Illustrative - milestones to be provided in Spring 2024





# Impact

## **KPI I1: Mortality rate**



#### **Reduction in Mortality rate**

# Characteristics

## **Impact**



Level 1 - global and in-country



Full portfolio of countries



Partners data



Weighted average across portfolio

Definition

Formula: Projected reduction in combined mortality rate across the three diseases from 2021 baseline to end 2028

Target: Combined mortality rate reduction of [35% - 54% - 70%] across the three diseases from 2021 to end 2028

Cohort: fixed cohort of countries eligible for funding in 2023-2025 Allocation Period that have a modeled projection.

Baseline: due to potential retrospective revisions of burden estimates as more recent and reliable data becomes available, the baseline will be presented at the time of reporting using WHO/UNAIDS latest estimates of burden of the three diseases published in their annual reports

Data source: Baseline and results: UNAIDS (for HIV) and WHO (for TB and malaria) Projections: GOALS model (HIV), TIME model (TB) and Imperial College London malaria simulation model (malaria)

Reporting

Reported: Annually (Q1/Q2), against end Strategy modelled target

Interpretation of results (progress towards target): Result projections plotted on a continuous performance scale

Disaggregation reported for this KPI: by disease and by countries driving significant share of potential gap for achieving the targets

#### Rationale for selection

**Important**: Provides information on progress on one of the most critical impact indicators

**Integrated**: Results are aligned with data coming from the technical partners

**Accountable**: Entire Global Fund partnership is accountable, as it is one of the goals the partnership is striving towards

Actionable: KPI is indirectly actionable through Strategy **Outcomes KPIs** 

**Available**: Data will be available from technical partners which makes it feasible to operationalize the KPI. It will also be possible to disaggregate the results by disease

#### Considerations

- Accountability & actionability of entire GF Partnership (not just Secretariat). GF-supported activities indirectly feed into result of this north-star metric. Service-related KPIs provide further insight into progress achieved
- Targets are expressed on a 3-point performance scale based on different underlying assumptions holding true: a Low Target (35%); an Intermediate Target (54%); and a High Target (70%)
- Results are reported using WHO/UNAIDS most recent estimates of burden which are released 6-12 months after the end of a given year. For example, the 2021 estimates of burden were released in July 2022 (for HIV), October 2022 (for TB) and December 2022 (for malaria).

# **KPI I1: Mortality rate**

# £

#### Additional details

#### **Target setting**

Due to the unique nature of this KPI as Global Fund partnership and Level 1 Impact KPI, and to reflect the current complex environment where a single target is difficult to set, performance will be assessed on a Performance Scale having defined acceptable levels of performance based on different underlying assumptions.

- Low target is based on a scenario assuming continuation of pre-COVID-19 (2014-2019) historical trends. Projections were obtained by standard forecasting methods (extrapolation with time-series data) and implicitly assumes rapid return to the pace in incidence/mortality reduction seen before COVID-19, despite the new challenges created by the pandemic and by the current (or future) economic or climate crises.
- Intermediate target is based on a scenario using disease-transmission models with optimistic assumptions on areas more proximal to GF influence (e.g., GF funding stays at 14% of the total increased funding need; optimal use of resources in country) and conservative on other areas (e.g., modest growth in domestic funding; flat-lining of other international funding; no game changing innovations).
- **High target** is based on a scenario also using the same disease-transmission models with consistently optimistic assumptions for GF funding (14% of total increased funding need); domestic funding (significant increase); flat-lining of other international funding; optimal use of resources in countries, and introduction of game-changing innovations.
- The modelled targets are produced by working with of the same modeling teams and applying the same disease transmission models used by the technical partners in development of their respective Global Plans, i.e. GOALS model (HIV), TIME model (TB) and Imperial College London malaria simulation model (malaria). The work is carried out under steer of the Global Fund modeling Guidance Group (MGG) which consists of the technical partners and others and is hosted at the Imperial College London. MGG will guide the Secretariat in development of the method for annual reporting of conservative and optimistic projection of results.

#### Methodology overview for reporting results

Step 1: for each disease calculate mortality rate for the baseline year (2021 – baseline re-estimated every year, based on latest WHO/UNAIDS estimates) = Aggregated estimated deaths in 2021 / aggregated estimated population in 2021 ---> [A]

<u>Step 2</u>: for each disease calculate projected mortality rate for the end Strategy (2028 – *projection recalculated every year, based on most recent results*) = Aggregated estimated deaths in 2028 / aggregated estimated population in 2028 ---> [B]

Step 3: for each disease calculate projected reduction between 2021 and 2028 = (1 - [B] / [A] ) \* 100 ---> [C]

Step 4: calculate unweighted average in projected reduction across the three diseases: ([C] HIV/AIDS + [C] TB + [C] malaria) / 3

Note: In Step 2 there will be two sets of end 2028 projected results (optimistic and conservative). Projected reduction in Step 3 and Step 4 will be calculated for both scenarios.

Projected reduction in mortality rates will be calculated as a weighted (by population size) average across a fixed cohort of countries eligible for the Global Fund funding in 2023-2025 Allocation Period. Only those countries are included for which a calibrated model with reliable input data and key parameters are available. For this reason, the malaria cohort is limited to Sub-Saharan Africa.

# **KPI I1: Mortality rate**

Illustration

# Illustrative example for reporting in 2025

| Stone                                | Steps HIV TB Malaria   |  |   |          |   |  |                                   |                  |        |   |                                |      |      |      |
|--------------------------------------|--|--|---|----------|---|--|-----------------------------------|------------------|--------|---|--------------------------------|------|------|------|
| Siehs                                |  |  |   |          |   |  |                                   |                  |        |   |                                |      |      |      |
| Step 1                               | Step 1 Construct projected 2028 results (conservative and optimistic) by applying methods guided by the Global Fund modeling Guidance Group, accounting for latest published partner data (end 2023) |  |   |          |   |  | t                                 |                  |        |   |                                |      |      |      |
|                                      | Following calculation is an example for a conservative projection (similar calculations will also be done for an optimistic projection to calculate KPI performance)                                 |  |   |          |   |  |                                   |                  |        |   |                                |      |      |      |
| # AIDS deaths Population (thousands) |  |  |   |          | # TB deaths (excluding (thousands) HIV+)* |  | # Malaria d                       | # Malaria deaths |        | Malaria at risk population (thousands)    |                                |      |      |      |
|                                      |  |  | 2021  | 2028     | 2021                                      | 2028   | 2021                              | 2028             | 2021   | 2028                                      | 2021                           | 2028 | 2021 | 2028 |
|                                      | Sum projected deaths and population across countries for 2021 baseline and 2028 projection   | Total  | 900   | 850      | 36500                                     | 39000  | 8000                              | 8000             | 36500  | 39000                                     | 5000                           | 5500 | 3300 | 5000 |
|                                      | Calculate mortality rate for 2021  | Mortality rate 2021 (Actual)                             | <b>0.000025</b> (900/36500)/                  |          |   | 1000   | <b>0.000219</b> (8000/36500)/1000 |                  |        | )/1000                                    | <b>0.0015</b> (5000/3300)/1000 |      |      | 000  |
| Step 3                               | baseline and 2028 projection by dividing sum of deaths by sum of population across countries   | Mortality rate 2028<br>(Projected)                       | <b>0.000022</b> (850/39000)/1000              |          |   |  | <b>0.000205</b> (8000/39000)/1000 |                  |        |   | <b>0.0011</b> (5500/5000)/1000 |      |      | 000  |
| •                                    |  | Reduction in mortality rate from baseline (2021) to 2028 | <b>12%</b><br>(1 - [0.000022/0.000025]) * 100 |          | * 100                                     | <b>6%</b><br>(1 - [0.000205/0.000219]) * 100 |                                   |                  | (1 - [ | <b>27%</b><br>(1 - [0.0011/0.0015]) * 100 |                                |      |      |      |
| Step 5                               | Determine KPI result   | KPI result (conservative)                                |   |          |   |  | <b>15%</b> (a                     | verage           | of 12% | , 6%, 27                                  | 7%)                            |      |      |      |
| Proiec                               | ted KPI result Conse   | ervative: 15%  | Opt   | timistic | : 25%                                     |  |                                   |                  | Taro   | net: 35                                   | % - 54% -                      | 70%  |      |      |

Results plotted on the continuous performance scale



Results insufficient and unacceptable as mortality rate is not getting back to trends seen pre-COVID-19

<sup>\*</sup>TB deaths for HIV+ patients are excluded from KPI calculations to avoid double-counting with AIDS deaths. However, granular results provided at country level will include HIV+ in TB deaths 123

## **KPI I2: Incidence rate**



#### **Reduction in Incidence rate**

#### Characteristics



**Impact** 



Level 1 - global and in-country



Full portfolio of countries



Partners data



Weighted average across portfolio

#### Definition

**Formula**: Projected reduction in combined incidence rate across the three diseases from 2021 baseline to end 2028

**Target:** Combined incidence rate reduction of [30% - 42% - 60%] across the three diseases from 2021 to end 2028

**Cohort:** fixed cohort of countries eligible for funding in 2023-2025 Allocation Period that have a modeled projection.

**Baseline:** due to potential retrospective revisions of burden estimates as more recent and reliable data becomes available, the baseline will be presented at the time of reporting using WHO/UNAIDS latest estimates of burden of the three diseases published in their annual reports

**Data source:** Baseline and results: UNAIDS (for HIV) and WHO (for TB and malaria) <u>Projections</u>: GOALS model (HIV), TIME model (TB) and Imperial College London malaria simulation model (malaria)

# Reporting

**Reported:** Annually (Q1/Q2), against end Strategy modelled target

**Interpretation of results (progress towards target):** Result projections plotted on a continuous performance scale

**Disaggregation reported for this KPI:** by disease and by countries driving significant share of potential gap for achieving the targets

#### Rationale for selection

<u>Important</u>: Provides information on progress on one of the most critical impact indicators

<u>Integrated</u>: Results are aligned with data coming from the technical partners

Accountable: Entire Global Fund partnership is accountable, as it is one of the goals the partnership is striving towards

Actionable: KPI is indirectly actionable through Strategy Outcomes KPIs

<u>Available</u>: Data will be available from technical partners which makes it feasible to operationalize the KPI. It will also be possible to disaggregate the results by disease

#### Considerations

- Accountability & actionability of entire GF Partnership (not just Secretariat). GF-supported activities indirectly feed into result of this north-star metric. Service-related KPIs provide further insight into progress achieved
- Targets are expressed on a 3-point performance scale based on different underlying assumptions holding true: a Low Target (30%); an Intermediate Target (42%); and a High Target (60%)
- Results are reported using WHO/UNAIDS most recent estimates of burden which are released 6-12 months
  after the end of a given year. For example, the 2021 estimates of burden were released in July 2022 (for
  HIV), October 2022 (for TB) and December 2022 (for malaria).

#### **KPI I2: Incidence rate**



#### Additional details

#### **Target setting**

Due to the unique nature of this KPI as Global Fund partnership and Level 1 Impact KPI, and to reflect the current complex environment where a single target is difficult to set, performance will be assessed on a Performance Scale having defined acceptable levels of performance based on different underlying assumptions.

- Low target is based on a scenario assuming continuation of pre-COVID-19 (2014-2019) historical trends. Projections were obtained by standard forecasting methods (extrapolation with time-series data) and implicitly assumes rapid return to the pace in incidence/mortality reduction seen before COVID-19, despite the new challenges created by the pandemic and by the current (or future) economic or climate crises.
- Intermediate target is based on a scenario using disease-transmission models with optimistic assumptions on areas more proximal to GF influence (e.g., GF funding stays at 14% of the total increased funding need; optimal use of resources in country) and conservative on other areas (e.g., modest growth in domestic funding; flat-lining of other international funding; no game changing innovations).
- **High target** is based on a scenario also using the same disease-transmission models with consistently optimistic assumptions for GF funding (14% of total need); domestic funding (significant increase); flat-lining of other international funding; optimal use of resources in countries, and introduction of game-changing innovations.
- The modelled targets are produced by working with of the same modeling teams and applying the same disease transmission models used by the technical partners in development of their respective Global Plans, i.e. GOALS model (HIV), TIME model (TB) and Imperial College London *malaria simulation* model (malaria). The work is carried out under steer of the Global Fund modeling Guidance Group (MGG) which consists of the technical partners and others and is hosted at the Imperial College London. MGG will guide the Secretariat in development of the method for annual reporting of conservative and optimistic projection of results.

#### Methodology overview for reporting results

Step 1: for each disease calculate incidence rate for the baseline year (2021 – baseline re-estimated every year, based on latest WHO/UNAIDS estimates) =

Aggregated estimated cases/new infections in 2021 / aggregated estimated population at risk in 2021\* ---> [A]

\* for HIV, population at risk is based on HIV-negative population in year 2020

Step 2: for each disease calculate projected incidence rate for the end Strategy (2028 – projection recalculated every year, based on most recent results) =

Aggregated estimated cases/new infections in 2028 / aggregated estimated population at risk in 2028 ---> [B]

\* for HIV, population at risk is based on HIV-negative population in year 2027

Step 3: for each disease calculate projected reduction between 2021 and 2028 = (1 - [B] / [A] ) \* 100 ---> [C]

Step 4: calculate unweighted average in projected reduction across the three diseases: ([C] HIV/AIDS + [C] TB + [C] malaria) / 3

Note: In Step 2 there will be two sets of end 2028 projected results (optimistic and conservative). Projected reduction in Step 3 and Step 4 will be calculated for both scenarios. Projected reduction in incidence rates will be calculated as a weighted (by population size) average across a fixed cohort of countries eligible for the Global Fund funding in 2023-2025 Allocation Period. Only those countries are included for which a calibrated model with reliable input data and key parameters are available. For this reason, for malaria, the cohort is limited to Sub-Saharan Africa.

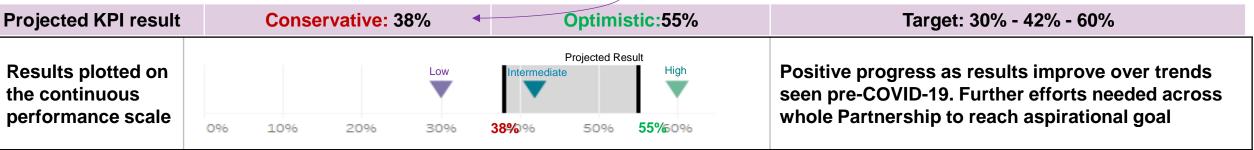
## **KPI I2: Incidence rate**

# A

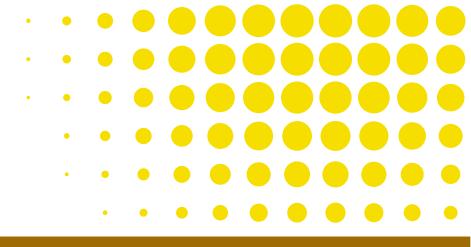
Illustration

## Illustrative example for reporting in 2025

| Steps  |  |  |                                   | Н               | lIV                   |  |                                   | 1        | ГВ                     |                  |                                | Ма            | laria                      |        |
|--------|--|--|-----------------------------------|-----------------|-----------------------|--|-----------------------------------|----------|------------------------|------------------|--------------------------------|---------------|----------------------------|--------|
| Step 1 | Construct projected 2028 results (conservative and optimistic) by applying methods guided by the Global Fund modeling Guipublished partner data (end 2023)           |  |                                   |                 |                       |  |                                   | ng Guida | ince Gro               | up, accc         | unting 1                       | or latest     |                            |        |
|        | Following calculation is an example for a conservative projection (similar calculations will also be done for an optimistic projection to calculate KPI performance) |  |                                   |                 |                       |  |                                   |          |                        |                  |                                |               |                            |        |
|        |  |  | # HIV<br>infecti                  |                 | (HIV n                | llation<br>egative)<br>ands in<br>us year) | # New<br>cases<br>form            | s (all   | -                      | lation<br>sands) |                                | ilaria<br>ses | Malaria<br>popul<br>(thous | lation |
|        |  |  | 2021 2028                         |                 | 2021                  | 2028                                       | 2021                              | 2028     | 2021                   | 2028             | 2021                           | 2028          | 2021                       | 2028   |
|        | Sum projected cases/new infections and population across countries for 2021 baseline and 2028 projections  | Total  | 1270                              | 850             | 36500                 | 39000                                      | 15000                             | 8000     | 36500                  | 39000            | 5000                           | 5500          | 3300                       | 5000   |
|        | Calculate incidence rate for 2021  | Incidence rate 2021 (Actual)                             | <b>0.000035</b> (1270/36500)/1000 |                 |                       |  | <b>0.00041</b> (15000/36500)/1000 |          |                        |                  | 0.0015 (5000/3300)/1000        |               |                            |        |
| Step 3 | baseline and 2028 projection by dividing sum of cases/new infections by sum of population across countries   | Incidence rate 2028<br>(Projected)                       | 0.000                             | <b>)022</b> (85 | 350/39000)/1000       |  | <b>0.000205</b> (8000/39000)/1000 |          |                        | )/1000           | <b>0.0011</b> (5500/5000)/1000 |               |                            |        |
| Step 4 | Calculate % reduction in incidence rate between 2021 baseline and 2028 projection  | Reduction in incidence rate from baseline (2021) to 2028 | (1 - [0.0                         |                 | <b>7%</b><br>0.000035 | i]) * 100                                  | (1 - [0                           | _        | <b>0%</b><br>/0.00041] | ) * 100          | (1 -                           |               | <b>7%</b><br>0.0015]) *    | 100    |
| Step 5 | Determine KPI result   | <b>38%</b> (average of 37%, 50%, 27%)                    |                                   |                 |                       |  |                                   |          |                        |                  |                                |               |                            |        |







# Finance

# **KPI F1: Pledge conversion**



#### Pledge conversion rate

#### Characteristics Definition Reporting Formula: Reported: Annual (Q1/Q2), against annual target **Output** Numerator: Absolute cash receipts received (cumulative year on year) Denominator: Adjusted pledges expected to be received for the Interpretation of results (progress towards target): Replenishment Period Green if result at target or within margin of 5% (relative to Level 3 - GF core \*all amounts in USD, calculated using the respective Replenishment Period exchange target); amber if below target by margin of 6%-10%; red if operations rates below target by margin of 11% or more **Target:** For 7<sup>th</sup> and 8<sup>th</sup> Replenishment: Pledge conversion rate by end Y1: Disaggregation reported for this KPI: None All donors 30%; Y2: 60%; Y3: 90%; Y4: 100%, assessed annually Cohort: all contributions from pledges linked to a given Replenishment Period. **Existing GF data** Excludes Special Purpose Resource Mobilizations such as C19RM source Baseline: Y1: 24%; Y2: 54%; Y3: 86%; Y4: 100%. Baseline from 5<sup>th</sup> Weighted average Replenishment across all donors Data source: Global Fund Financial database

#### Rationale for selection

<u>Important</u>: Provides key information on whether funds pledged by donors are actually made available to GF as contributions

<u>Integrated</u>: Same measure used in Finance reporting to the GF Board's Audit and Finance Committee and in routine GF Secretariat financial management

<u>Accountable</u>: Strong accountability as it is directly linked to the GF Secretariat efforts to ensure donors fulfil their pledges as planned

<u>Actionable</u>: Medium actionability as ultimately it is driven by donors' ability to contribute according to schedule

Available: Data collected as part of GF Secretariat routine financial data

#### Considerations

As this is a measure of GF performance in its routine operations, this KPI focuses on contributions obtained through the regular Replenishment exercise and not any Special Purpose Resource mobilization (e.g., C19RM). If an exceptional event such as C19RM happens again, tracking the relevant contributions will be addressed by ad-hoc financial reporting.

# **KPI F1: Pledge conversion**



#### Illustration

#### **Measure:** Pledge conversion rate

Numerator (N): Absolute cash receipts received (cumulative year on year)

Denominator (D): Adjusted pledge expected to be received for the

Replenishment Period

Step 1

Collect data on absolute cash receipts received and adjusted pledges expected for relevant Replenishment Period

Step 2

**Sum** the absolute cash receipts received, against the latest adjusted pledge amount

Step 3

**Determine KPI Result** as cumulative absolute cash received divided by latest adjusted pledges expected to be received

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

#### Illustrative example for 7th Replenishment in 2024

| Steps  | Year               | X: Cumulative cash receipts received (in USD bn) | Y: Latest adjusted pledges<br>expected to be received<br>for 7 <sup>th</sup> Replenishment (in<br>USD bn) |  |  |
|--------|--------------------|--|---|--|--|
| Step 1 | 2023 – Y1          | 3  | 16  |  |  |
| Giop i | 2024 – Y2          | 8  | 15.5  |  |  |
| Step 2 | Calculate<br>Total | 8  | 15.5  |  |  |
| Step 3 | 2024 KPI<br>result | <b>52%</b> (= 8 / 15.5) again by Year 2          | st target of 60%  |  |  |

#### **KPI** Result interpretation:

Out of the total adjusted pledge amount expected to be received for 7<sup>th</sup> Replenishment, **52**% has been converted to actual cash receipts by end of 2024

| KPI performance |  |
|-----------------|--|
| Not Met         | Result below target by margin of 11% or more |

# **KPI F2a: Corporate asset utilization**



#### Utilization of corporate assets across approved uses of funds (e.g., Grants, SI, and OPEX) in the Replenishment Period

#### Characteristics Definition Reporting Formula: Reported: Bi-annual (Q1/Q2,Q4), against annual target **Output** · Numerator: Total asset utilization (actual + forecast) in the Replenishment Period Interpretation of results (progress towards target): Green if Level 3 - GF core · Denominator: Total corporate assets in the Replenishment Period result within target range; amber if outside of target range by margin \*all amounts in USD, calculated using the respective Replenishment Period of +/-2% (after rounding); red if outside of target range by margin +/operations exchange rates 3% or more (after rounding) All corporate assets **Target:** 95%-98% corporate asset utilization, assessed annually Disaggregation reported for this KPI: None **Existing GF data Cohort:** All corporate assets source Baseline: 95% as of October 2022 AFC report Weighted average across Data source: Global Fund Financial database all assets

#### Rationale for selection

Important: KPI is an indicator of organizational maturity in financial performance to determine how optimally total assets are utilized. It measures the proportion of the total assets committed to be utilized within the Replenishment Period, and provides early warning signals at regular intervals to inform decision making in the determination of: (i) re-programming; (ii) re-forecasting; (iii) potential portfolio optimization of funds; and (iv) potential roll-overs to next cycle

<u>Integrated</u>: Indicator is part of routine GF Secretariat financial management processes.
<u>Accountable</u>: Strong accountability as it is directly linked to the GF Secretariat financial management activities

Actionable: Strong actionability as it is driven by GF Secretariat decisions on uses of funds

Available: Data collected as part of GF Secretariat routine financial data

#### Considerations

Measure is based on the utilization of corporate assets at an overall level. As it stands, the metric does not give details about the drivers of utilization. As complementary information, it is proposed to also track individual utilizations for Grants, Strategic Initiatives (SI), and Operational Expenditures (OPEX). Such information will allow tracking of the levers we can use to improve the KPI. Furthermore, this would allow a better understanding of changes vs. last reporting cycle and deep dive into drivers of changes across Grants, SI, and OPEX.

# **KPI F2a: Corporate asset utilization**

Step 3



#### Illustration

**Measure:** Percentage utilization of corporate assets across approved uses of funds (e.g., Grants, SI, and OPEX) in the Replenishment Period

Numerator (N): Total asset utilization (actual + forecast) in the Replenishment Period

Denominator (D): Total corporate assets in the Replenishment Period

Determine the latest value of corporate assets

Calculate utilization of funds (actual + forecast) across 3 uses of funds i.e Grants, OPEX and Strategic initiatives

Determine KPI Result as total uses of funds (U)

divided by total corporate assets available (E)

| KPI performa | KPI performance (i.e progress towards target) |  |  |  |  |  |  |  |  |  |  |
|--------------|---|--|--|--|--|--|--|--|--|--|--|
| On track     |   | Result within target range   |  |  |  |  |  |  |  |  |  |
| At risk      |   | Result outside of target range by margin of +/-2% (relative to target range, after rounding) |  |  |  |  |  |  |  |  |  |
| Off track    | •   | Result outside of target range by margin of +/-3% or more (after rounding)                   |  |  |  |  |  |  |  |  |  |

# Illustrative example up to 7<sup>th</sup> Replenishment Period status as per 2024 data

|        |                                |                   | (                               |                   |   |                 |      |  |  |
|--------|--------------------------------|-------------------|---------------------------------|-------------------|---|-----------------|------|--|--|
| Steps  | Initial<br>SoF* (A)            | Adjust<br>pledges |                                 | Investment in (C) | come  | Fx plus oth (D) | ners | Latest corporate<br>asset value<br>(E=A+B+C+D)             |  |
| Step 1 | 18,733                         | 3,95              | 9                               | 133               |   | 47              |      | 22,873   |  |
|        | Grants (G) (actual + forecast) |                   | OPEX (O)<br>(actual + forecast) |                   | Strategic<br>Initiatives (S)<br>(actual + forecast) |                 | To   | Total uses of funds<br>(U=G+O+S)                           |  |
| Step 2 | 20,2                           | 275               |                                 | 1,034             |   | 459             |      | 21,767   |  |
| Step 3 |                                |                   |                                 |                   |   |                 | Ą    | 95%<br>21,767 / 22,873<br>gainst target of<br>95-98% range |  |

#### **KPI** Result interpretation:

Strong forecasted corporate asset utilization at 95% demonstrating good organizational maturity in financial management

| KPI performan | ice |                            |
|---------------|-----|----------------------------|
| On track      |     | Result within target range |

<sup>\*</sup> Sources of Funds rebased at start of the Replenishment period

#### **KPI F2b: Allocation utilization**



#### Portion of allocated grant funds that are disbursed or forecast to be disbursed

#### Definition Reporting Characteristics Formula: Reported: Bi-annual (Q1/Q2,Q4), against annual target Output Numerator: Total disbursements (actual + forecast) for the **Allocation Period** Interpretation of results (progress towards target): Green if result Denominator: Total allocated grant funds for the Allocation at target or within margin of 5% (relative to target); amber if below Level 3 - GF core target by margin of 6%-10%; red if below target by margin of 11% or Period operations \*all amounts in USD, calculated using the respective Replenishment more Period exchange rates All grants Disaggregation reported for this KPI: Component, Country Target: 95% allocation utilization, assessed annually categorization: region, portfolio type, COE vs non-COE etc. **Existing GF data** Cohort: All grant uses of funds. Excluding Special Purpose source Resource Mobilization funds such as C19RM Weighted average Baseline: >93% as of October 2022 AFC report across all grant **funds** Data source: Global Fund Financial database

#### Rationale for selection

Important: Provides key information on whether GF investment is allocated in time to programs in country to implement planned activities Integrated: Same measure used in Finance reporting to the GF Board's Audit and Finance Committee and in routine GF Secretariat financial management

<u>Accountable</u>: Strong accountability as it is directly linked to the GF Secretariat disbursement process

Actionable: Strong actionability as it is driven by GF Secretariat decisions for disbursement and portfolio optimization

<u>Available</u>: Data collected as part of GF Secretariat routine financial data

#### Considerations

KPI result at Portfolio level excludes funds "recycled" through Portfolio Optimization (PO) to avoid double counting. However, disaggregated results (e.g. at country level) will reflect PO funds received for grant use and thus results at portfolio and disaggregated levels may differ.

# **KPI F2b: Allocation utilization**



#### Illustration

**Measure:** Portion of allocated grant funds that are disbursed or forecast to be disbursed

Numerator (N): Total disbursements (actual + forecast) for the Allocation Period

**Denominator (D):** Total allocated grant funds for the Allocation Period

Step 1

Collect data on allocated amounts, actual disbursements and disbursement forecasts over current Allocation Period

Step 2

**Sum** actual disbursements (D), forecasted disbursement (F); and allocated amounts (A) to get **current totals for all regions/components** 

Step 3

**Determine KPI Result** as sum of actual disbursements (D) and forecasted disbursements (F), divided by total allocated amount (A)

| KPI performance (i.e progress towards target) |  |  |  |  |  |  |  |  |
|---|--|--|--|--|--|--|--|--|
| Met   |  | Result at target or within margin of 5% (relative to target) |  |  |  |  |  |  |
| Partially met                                 |  | Result below target by margin of 6%-10%                      |  |  |  |  |  |  |
| Not met                                       |  | Result below target by margin of 11% or more                 |  |  |  |  |  |  |

#### Illustrative example in 2023

| Steps  | Region                                | Component | Actual disbursements (D)  | Forecasted disbursements (F) | Total allocation (A) |  |  |  |
|--------|---------------------------------------|-----------|---|------------------------------|----------------------|--|--|--|
|        | HI-Asia                               | HIV       | 333.4   | 200                          | 548.2                |  |  |  |
|        | HI-Asia                               | Malaria   | 100.8   | 300                          | 420.4                |  |  |  |
|        | LAC                                   | HIV       | 203.6   | 0                            | 210.2                |  |  |  |
| Step 1 | EECA                                  | HIV       | 100.3   | 0                            | 98.5                 |  |  |  |
|        | EECA                                  | ТВ        | 202.0   | 100                          | 301.4                |  |  |  |
|        |                                       |           |   |                              |                      |  |  |  |
|        | HI-Africa 2                           | HIV       | 2014.3  | 100                          | 2017.2               |  |  |  |
| Step 2 | Total for 2023-2025 Allocation Period |           | $D=14  \Omega\Omega \Omega \Omega$ |                              |                      |  |  |  |
| Step 3 | 2023 KP<br>[(D+F)/A                   |           | <b>94%</b> = 14,977.2 / 15,933.2 against 95% target   |                              |                      |  |  |  |

#### **KPI** Result interpretation:

In 2023, allocation utilization is at **94%** for the portfolio for 2023-2025 Allocation Period

| KPI performance |     |  |  |  |
|-----------------|-----|--|--|--|
|                 | Met |  | Result at target or within margin of 5% (relative to target) |  |

<sup>\*</sup> Totals are cumulative over Allocation Period. Therefore, KPI results for 2024 will include 2023 data as well

# **KPI F3: In-country absorption**



#### Portion of grant budgets that have been reported by country programs as spent on services delivered

# Characteristics Output



Level 2 – GF supported programs



All grants



**Grant reporting** 



Weighted average across all grant funds

#### Definition

#### Formula:

- Numerator: Cumulative in-country expenditure during Grant Implementation Period for relevant Allocation Period
- <u>Denominator</u>: Cumulative grant budget during Grant Implementation Period for relevant Allocation Period
- \*all amounts in USD, calculated using the respective Replenishment Period exchange rates

**Target:** For each Allocation Period, in-country absorption by end Y1: 75%, Y2: 80%, Y3: 85%, assessed annually

**Cohort:** all Global Fund active grants for the relevant Allocation Period, excluding Special Purpose Resource Mobilization such as C19RM

Baseline: Y1: 62%; Y2: 70%; Y3: 89% for 2017-2019 Allocation Period

Data source: routine grant reporting

## Reporting

Reported: Annual (Q4), against annual target

Interpretation of results (progress towards target): Green if result at target or within margin of 5% (relative to target); amber if below target by margin of 6%-10%; red if below target by margin of 11% or more

**Disaggregation reported for this KPI:** Component, Grant implementation year, Cost category, Programmatic module, Country categorization: region, portfolio type, COE vs non-COE etc.

#### Rationale for selection

Important: Provides key information on whether GF investment is used in time by programs in country to implement planned activities

Integrated: Same measure used in Finance reporting to the GF Board's Audit and Finance Committee and in routine GF Secretariat financial management

<u>Accountable</u>: Strong accountability as it is directly linked to the GF Secretariat disbursement process

Actionable: Strong actionability as it is driven by GF Secretariat decisions for disbursement and portfolio optimization

Available: Data collected as part of GF Secretariat routine financial data.

#### Considerations

Absorption is often lower in Year 1 of implementation and increases as grants go into Year
 3. Even though the KPI cohort will include grants in different years of implementation, it is
 likely that there would be a majority of grants in either of Year 1, 2 or 3 of implementation
 (depending when the KPI is reported). Therefore, it is expected that the overall absorption
 figure might vary depending on KPI reporting year and might be lower when most grants in
 the cohort are in Year 1 of implementation

# **KPI F3: In-country absorption**



#### Illustration

**Measure:** Portion of grant budgets that have been reported by country programs as spent on services delivered (in-country absorption)

Numerator (N): Cumulative in-country expenditure during Grant Implementation Period for relevant Allocation Period Denominator (D): Cumulative grant budget during Grant Implementation Period for relevant Allocation Period

Step 1

Collect data on grant expenditures and budgets over current Implementation Period for each grant

Step 2

**Sum** Expenditures (E); and Budget (B) to get current totals for all grants

Step 3

**Determine KPI Result** and compare to appropriate target (based on most common year of implementation for grants reported in the cohort)

# KPI performance (i.e progress towards target) Met Result at target or within margin of 5% (relative to target) Partially met Result below target by margin of 6%-10% Not met Result below target by margin of 11% or more

#### Illustrative example for 2023-2025 Allocation Period in 2024

| Steps  | Grant                                      | Year of implementation | Expenditures, cumulative (E)   | Budget,<br>cumulative (B) |  |
|--------|--|------------------------|--|---------------------------|--|
|        | Grant A                                    | Year 1                 | 5.0  | 4.0                       |  |
|        | Grant B                                    | Year 1                 | 2.8  | 3.3                       |  |
|        | Grant C                                    | Year 1                 | 42.6   | 48.2                      |  |
| Step 1 | Grant D                                    | Year 1                 | 48.5   | 57.0                      |  |
|        | Grant E                                    | Year 2                 | 23.0   | 21.6                      |  |
|        |  |                        |  |                           |  |
|        | Grant Z                                    | Year 2                 | 14.3   | 17.2                      |  |
| Step 2 | Step 2 2024 Total (for 300 active grants)* |                        | N=8,144.5  | D = 9,984.3               |  |
| Step 3 | 2024 KPI re                                | sult (N/D):            | 82% = 8,144.5 / 9,984.3 against 75% target for Year 1 (most common year of implementation for cohort reported) |                           |  |

#### **KPI Result interpretation:**

In-country absorption is at **82%** for active grants in 2023-2025 Allocation Period by end of first year of grant implementation period

| KPI performance |  |  |  |  |
|-----------------|--|--|--|--|
| Met             |  | Result at target or within margin of 5% (relative to target) |  |  |

<sup>\*</sup> Totals are cumulative during Grant implementation period for the Allocation Period. Therefore, KPI results for 2024 will include 2023 data as well



# Annex 1: KPI definition change log



| KPI code | Current definition   | Revised definition   | Type of adjustment       | KPI handbook version | Committee & Board informed |
|----------|--|--|--------------------------|----------------------|----------------------------|
| LVDI 00  |  |  | (Material, Non-material) | with adjustment      | 110.0000                   |
| KPI S6a  | Long title: Percentage of countries with digital HMIS functionality baseline maturity score of 3 or less that increased by at least one maturity level   | Long title: Percentage of countries with digital HMIS functionality baseline maturity level of 3 or less that increased by at least one maturity level   | Non-material             | Nov 2023             | H2 2023                    |
| KPI S6a  | Cohort: All countries that scored <=3 at baseline, limited to High Impact and Core countries, excluding acute emergency countries  | Cohort: All countries with a maturity level of 3 or less at baseline, limited to High Impact and Core countries, excluding acute emergency countries   | Non-material             | Nov 2023             | H2 2023                    |
| KPI S6a  | <b>Numerator</b> : # countries that increased maturity score by one or more  | Numerator: # countries that increased maturity level by one or more  | Non-material             | Nov 2023             | H2 2023                    |
| KPI S6a  | Baseline: TBC Fall 2023  | Baseline: distribution of 51 High Impact and Core countries (excl. acute emergency countries) on the 5-point HMIS maturity scale: "Level 1": 3 countries; "Level 2": 20 countries; "Level 3": 13 countries; "Level 4": 8 countries; "Level 5": 7 countries. 2022 baseline year | Non-material             | Nov 2023             | H2 2023                    |
| KPI S6b  | Long title: Percentage of countries with data use maturity score of 3 or less that increased by at least one maturity level in terms of leveraging programmatic monitoring for data driven decision making | Long title: Percentage of countries with data use maturity level of 3 or less that increased by at least one maturity level in terms of leveraging programmatic monitoring for data driven decision making   | Non-material             | Nov 2023             | H2 2023                    |



| KPI code | Current definition   | Revised definition   | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|--|--|---|--------------------------------------|----------------------------|
| KPI S6b  | Cohort: All countries that scored <=3 at baseline, limited to High Impact and Core countries, excluding acute emergency countries  | Cohort: All countries with a maturity level of 3 or less at baseline, limited to High Impact and Core countries, excluding acute emergency countries   | Non-material                                | Nov 2023                             | H2 2023                    |
| KPI S6b  | <b>Numerator</b> : # countries that increased maturity score by one or more  | Numerator: # countries that increased maturity level by one or more  | Non-material                                | Nov 2023                             | H2 2023                    |
| KPI S6b  | Baseline: TBC Fall 2023  | Baseline: distribution of 49 High Impact and Core countries (excl acute emergency countries) on the 5-point data use maturity scale: "Level 1": 0 countries; "Level 2": 11 countries; "Level 3": 22 countries; "Level 4": 15 countries; "Level 5": 1 country. 2022 baseline year | Non-material                                | Nov 2023                             | H2 2023                    |
| KPI S6b  | Baseline: distribution of 49 High Impact and Core countries (excl acute emergency countries) on the 5-point data use maturity scale: "Level 1": 0 countries; "Level 2": 11 countries; "Level 3": 22 countries; "Level 4": 15 countries; "Level 5": 1 country. 2022 baseline year | Baseline: distribution of 51 High Impact and Core countries (excl acute emergency countries) on the 5-point data use maturity scale: "Level 1": 0 countries; "Level 2": 12 countries; "Level 3": 23 countries; "Level 4": 15 countries; "Level 5": 1 country. 2022 baseline year | Non-material                                | April 2024                           | H1 2024                    |



| KPI code | Current definition   | Revised definition  | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|--|---|---|--------------------------------------|----------------------------|
| KPI S6b  | Data source: Annual LFA review, Global Fund M&E systems country profile  | Data source: Global Fund M&E systems country profile, questionnaire for profile completed by PRs and MOH teams  | Non-material                                | April 2024                           | H1 2024                    |
| KPI S7   | Data source: Targeted country-<br>based survey   | Data source: Global Fund M&E systems country profile, questionnaire for profile completed by PRs and MOH teams  | Non-material                                | April 2024                           | H1 2024                    |
| KPI S8   | Data source: Supply Chain and Health Services Spot Checks  | Data source: electronic Logistics Management Information Systems (eLMIS) for countries with mature systems, on-site data collection for rest of the countries   | Non-material                                | April 2024                           | H1 2024                    |
| KPI R1b  | Cohort: all countries identified as having material risks for cofinancing with mitigation actions specified in grant agreements that were due in the year for which KPI results are reported. Exclusion: Milestones of mitigation actions that were extended beyond the KPI reporting period | Cohort: all countries identified as having material risks for co-financing with mitigation actions specified in grant agreements that were due in the year for which KPI results are reported. Exclusion: Milestones of mitigation actions that were extended beyond the KPI reporting period | Non-material                                | April 2024                           | H1 2024                    |



| KPI code | Current definition | Revised definition  | Type of adjustment<br>(Material, Non-<br>material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|--------------------|---|--|--------------------------------------|----------------------------|
| KPI P1   | Baseline: TBC      | Baseline: Distribution of 38 countries on the 5-point SPAR* scale: "Level 1": 1 country; "Level 2": 1 country; "Level 3": 15 countries; "Level 4": 18 countries; "Level 5": 3 countries.  *2022 SPAR scores for indicator C4.4 Laboratory Testing Capacity Modalities       | Non-material                                       | April 2024                           | H1 2024                    |
| KPI P2   | Baseline: TBC      | Baseline: Distribution of 23 countries on the 5- point SPAR* scale: "Level 1: 0 countries; "Level 2": 0 countries; "Level 3": 4 countries; "Level 4": 15 countries; "Level 5": 4 countries  *2022 SPAR scores for indicator C5.1 Early Warning Surveillance function        | Non-material                                       | April 2024                           | H1 2024                    |
| KPI P3   | Baseline: TBC      | Baseline: Distribution of 10 countries on the 5-point SPAR* scale: "Level 1": 0 countries; "Level 2": 4 countries; "Level 3": 2 countries; "Level 4": 3 countries; "Level 5": 1 country  *2022 SPAR scores for indicator C6.1 Human Resources for the Implementation of IHR | Non-material                                       | April 2024                           | H1 2024                    |

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| KPI code | Current definition  | Revised definition   | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|---|--|---|--------------------------------------|----------------------------|
| KPI R1b  | Long title: Percentage of milestones achieved for implementation of mitigating actions by countries at risk of not meeting co-financing commitments   | Long title: Percentage of milestones achieved for implementation of mitigation actions implemented by countries at risk of not meeting co-financing commitments  | Non-material                                | December 2024                        | H2 2024                    |
|          | Formula: Average score for the % of milestones reached across portfolio   | Formula: Average score for the % of milestones mitigation actions implemented reached across portfolio   | Non-material                                | December 2024                        | H2 2024                    |
|          | Cohort: all countries identified as having material risks for co-financing with mitigation actions that were due in the year for which KPI results are reported. Exclusion: Milestones of mitigation actions that were extended beyond the KPI reporting period | Cohort: all countries in the Allocation Period identified as having material risks for co-financing with mitigation actions that are past the completion due date. were due in the year for which KPI results are reported. Exclusion: Milestones of mitigation actions that were extended beyond the KPI reporting period | Material                                    | December 2024                        | H2 2024                    |
|          | Target: 80% mitigation actions implemented by countries at risk of not meeting co-financing commitments, assessed annually  | Target: 80% mitigation actions implemented by countries at risk of not meeting co-financing Assessed annually, For the 2023-2025 Allocation Period, mitigation action completion rate is: 80% (by end 2023), 80% (by end 2024), 85% (by end 2025), 90% (by end 2026)  For the 2026-2028 Allocation Period,                 | Material                                    | December 2024                        | H2 2024                    |
|          |   | mitigation action completion rate is: 80% (by end 2026), 80% (by end 2027), 85% (by end 2028), 90% (by end 2029)   |   |                                      |                            |



| KPI code | Current definition   | Revised definition  | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|--|---|---|--------------------------------------|----------------------------|
| KPI S6a  | Baseline: distribution of 51 High Impact and Core countries (excl. acute emergency countries) on the 5-point HMIS maturity scale: "Level 1": 3 countries; "Level 2": 20 countries; "Level 3": 13 countries; "Level 4": 8 countries; "Level 5": 7 countries. 2022 baseline year | Baseline: distribution of 51 High Impact and Core countries (excl. acute emergency countries) on the 5-point HMIS maturity scale: "Level 1": 4 countries; "Level 2": 20 countries; "Level 3": 14 countries; "Level 4": 8 countries; "Level 5": 5 countries.  2022 baseline year | Non-material                                | December 2024                        | H2 2024                    |
| KPI S1   | Baseline: 2023 results used as baseline for 2024 and 2025 results. 2025 results used as baseline for 2026-2028 results   | <ul> <li>Baseline:</li> <li>first score in 2023-2024, for 2024 and 2025 results; and</li> <li>first score in 2025-2027 for 2026,2027,2028 results</li> <li>provided score is from a year earlier than the current year</li> </ul>   | Non-material                                | December 2024                        | H2 2024                    |
|          | Target: 100% countries improved scores compared to latest baseline (2023, 2025) by mid Strategy (2025) and end of Strategy (2028)  | <ul> <li>Target: 100% countries show improvement in scores by:</li> <li>mid Strategy (2025), and</li> <li>end Strategy (2028) respectively compared to latest baseline</li> </ul>   | Non-material                                | December 2024                        | H2 2024                    |



| KPI code | Current definition  | Revised definition  | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|----------|---|---|---|--------------------------------------|----------------------------|
| KPI S2   | Baseline: 2023 results used as baseline for 2024 and 2025 results. 2025 results used as baseline for 2026-2028 results            | <ul> <li>Baseline:</li> <li>first score in 2023-2024, for 2024 and 2025 results; and</li> <li>first score in 2025-2027 for 2026,2027,2028 results</li> <li>provided score is from a year earlier than the current year</li> </ul> | Non-material                                | December 2024                        | H2 2024                    |
|          | Target: 100% countries improved scores compared to latest baseline (2023, 2025) by mid Strategy (2025) and end of Strategy (2028) | <ul> <li>Target: 100% countries show improvement in scores by:</li> <li>mid Strategy (2025), and</li> <li>end Strategy (2028) respectively compared to latest baseline</li> </ul>   | Non-material                                | December 2024                        | H2 2024                    |
| KPI S3   | Baseline: 2023 results used as baseline for 2024 and 2025 results. 2025 results used as baseline for 2026-2028 results            | <ul> <li>Baseline:</li> <li>first score in 2023-2024, for 2024 and 2025 results; and</li> <li>first score in 2025-2027 for 2026,2027,2028 results</li> <li>provided score is from a year earlier than the current year</li> </ul> | Non-material                                | December 2024                        | H2 2024                    |
|          | Target: 100% countries improved scores compared to latest baseline (2023, 2025) by mid Strategy (2025) and end of Strategy (2028) | <ul> <li>Target: 100% countries show improvement in scores by:</li> <li>mid Strategy (2025), and</li> <li>end Strategy (2028) respectively compared to latest baseline</li> </ul>   | Non-material                                | December 2024                        | H2 2024                    |



| KPI code      | Current definition  | Revised definition  | Type of adjustment (Material, Non-material) | KPI handbook version with adjustment | Committee & Board informed |
|---------------|---|---|---|--------------------------------------|----------------------------|
| KPI S5        | Baseline: 2023 results used as baseline for 2024 and 2025 results. 2025 results used as baseline for 2026-2028 results  | <ul> <li>Baseline:</li> <li>first score in 2023-2024, for 2024 and 2025 results; and</li> <li>first score in 2025-2027 for 2026,2027,2028 results</li> <li>provided score is from a year earlier than the current year</li> </ul> | Non-material                                | December 2024                        | H2 2024                    |
|               | Target: 100% countries improved scores compared to latest baseline (2023, 2025) by mid Strategy (2025) and end of Strategy (2028)   | <ul> <li>Target: 100% countries show improvement in scores by:</li> <li>mid Strategy (2025), and</li> <li>end Strategy (2028) respectively compared to latest baseline</li> </ul>   | Non-material                                | December 2024                        | H2 2024                    |
| KPI S8        | Formula: On-Shelf Availability (OSA) for each product category is the ratio of:  • Numerator: # of health facilities with tracer products available on the day of the visit • Denominator: Total health facilities where tracer products are expected to be available | Formula: Average of OSA scores across countries   | Material                                    | December 2024                        | H2 2024                    |
| *) INE GLODAL | Baseline: OSA for HIV = 83%; TB = 81%; Malaria = 84%, based on Round 2 spot checks conducted in 2022.   | Baseline: OSA for HIV = 85%; TB = 84%; Malaria = 84%, based on Round 2 spot checks conducted in 2022.   | Non-material                                | December 2024                        | H2 2024                    |

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